



Ospedale Classificato Equiparato
Sacro Cuore - Don Calabria
Presidio Ospedaliero Accreditato - Regione Veneto



*Incontri di aggiornamento
del Dipartimento Oncologico*

Protonterapia e oncologia: l'oggi e il domani

Responsabile Scientifico:
DOTT.SSA STEFANIA GORI

Giovedì 5 ottobre 2017

SEDE: "Sala Convegni Fr. F. Perez"
Ospedale "Sacro Cuore - Don Calabria"
Via Don Angelo Sempredoni, 5 - 37024 Negrar (Verona)



Protonterapia e tumori testa-collo: gli scenari futuri

Ester Orlandi

Lisa Licitra

Fondazione IRCCS Istituto Nazionale Tumori, Milano

RT Technical progress



Dosimetric gain +++

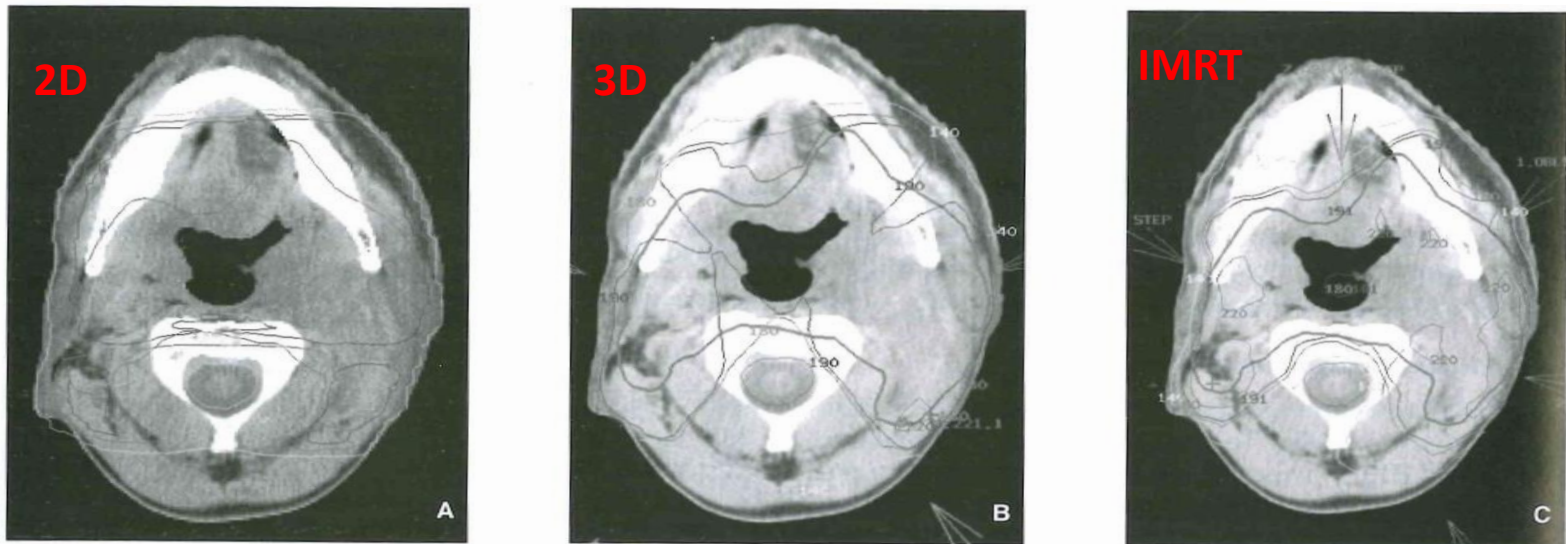
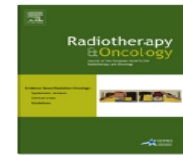


Figure 1 - Example of PTV2 contour and coverage for case 5. A) Conventional plan. B) 5-field conformal plan. C) IMRT plan. Lines shown are PTV2 (red line), 70% isodose (light blue line, 140), 90% isodose (magenta line, 180), 95% isodose (dark blue line, 190) and 110% isodose (green line, 220).

Five phase III trials comparing 2D/3D vs IMRT

| | Site | Stage I/II | III/IV | Overall Npts | RT technique | RT dose, Gy (tumor) | | CHT |
|---------------------|---------------------|---------------|--------|-----------------|---------------------------|---------------------|---------|------------------|
| | | | | | | 2D/RT | IMRT | |
| Pow IJROBP 20106 | Naso | 45 | - | 45 | 2D-RT vs IMRT | 68 | 66-68 | no |
| Kam JCO 2017 | Naso | 56 | - | 56 | 2D-RT vs IMRT | 66+/-BT | 66+/-BT | no |
| Nutting LO 2011 | Oro- Hypo | 23 | 71 | 80 14 | 2D-RT vs IMRT (postop) | 65 | 65 | Neo (40%) |
| Gupta R&O 2014 | Oro- Hypo Lar | 12 | 48 | 32 17 11 | 3D-RT vs IMRT | 70 | 66 | Conc |
| Peng R&O 2012 | Naso | 194 422 | | 616 | 2D-RT vs IMRT | 74+/-BT | 74+/-BT | Neo/co nc/adj |



Systematic review

Intensity-modulated radiation therapy for head and neck cancer: Systematic review and meta-analysis



Gustavo Nader Marta ^{a,b,*}, Valter Silva ^c, Heloisa de Andrade Carvalho ^{a,d}, Fernando Freire de Arruda ^a, Samir Abdallah Hanna ^a, Rafael Gadia ^a, João Luis Fernandes da Silva ^a, Sebastião Francisco Miranda Correa ^a, Carlos Eduardo Cintra Vita Abreu ^a, Rachel Riera ^c

► Xerosotomia scores grades 2-4

A significant overall benefit in favor of IMRT was found for all studies with an HR of 0.76 (95% CI:0.66,0.67;p< 0.0001)

► Locoregional control (LRC)

Even if not significant, there was an increase in locoregional control favoring IMRT:

HR 1.07 (95% CI:0.93,1.23;p< 0.35)

► Overall survival (OS)

Again, any significant increase in OS favoring IMRT was observed:

HR 1.12 (95% CI:0.97,1.29;p< 0.11)

BUT 5-year LRC and OS rates were significantly higher in IMRT group vs 2D-RT group (Peng R&O 2012)

Potential advantages with IMRT:

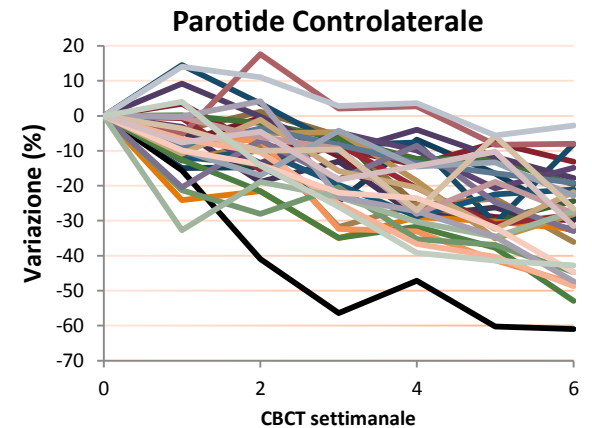
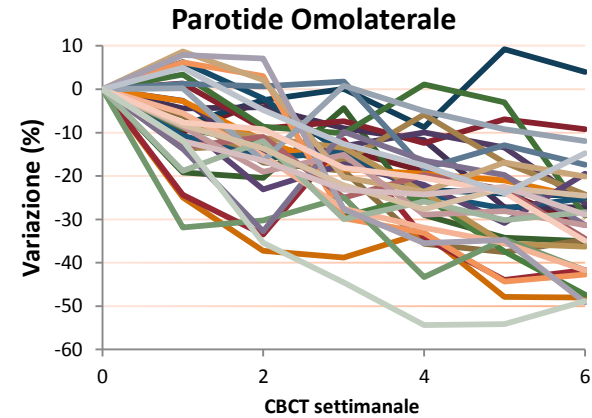
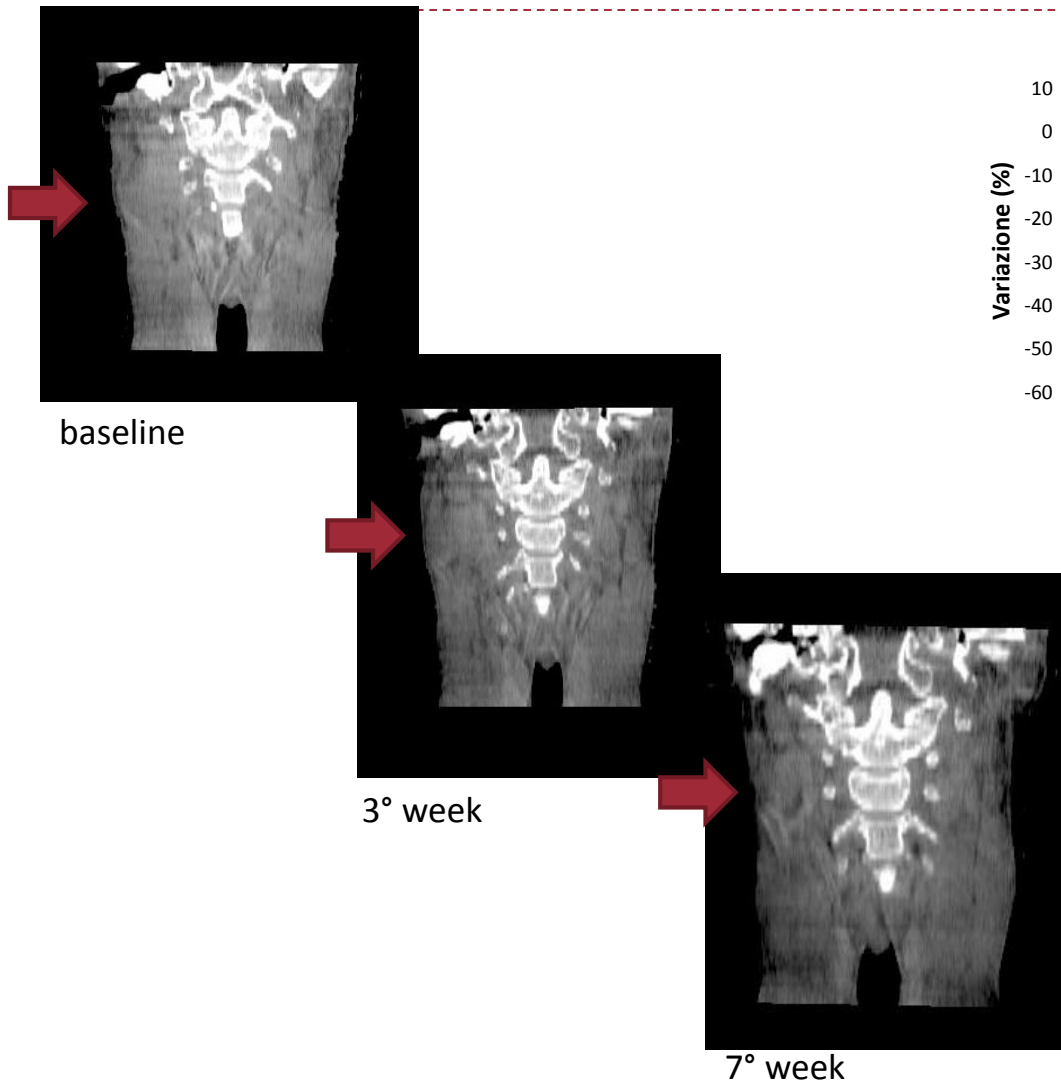
- ▶ Sparing of swallowing related structures and minimizing risk of late dysphagia.
- ▶ Improvement in Quality of Life (Xerostomia and Dysphagia).
- ▶ Reduction of trismus, temporal lobe neuropathy (nasopharyngeal cancers) and hearing loss.

-
- ▶ Dose gradient are steep ,especially near organs at risk
 - ▶ Inacurrancies in repositioning and anatomical changes during RT may influence target volume coverage and sparing of OARs



Careful imaging protocols (image guided radiotherapy, IGRT)

Monitoring setup, 3D technique: CBCT-CT simulation



Adaptive radiotherapy (ART)



Contents lists available at [ScienceDirect](#)

Radiotherapy and Oncology

journal homepage: www.thegreenjournal.com

2016



Systematic review

Identifying patients who may benefit from adaptive radiotherapy: Does the literature on anatomic and dosimetric changes in head and neck organs at risk during radiotherapy provide information to help?



Charlotte L. Brouwer, Roel J.H.M. Steenbakkers, Johannes A. Langendijk, Nanna M. Sijtsema *

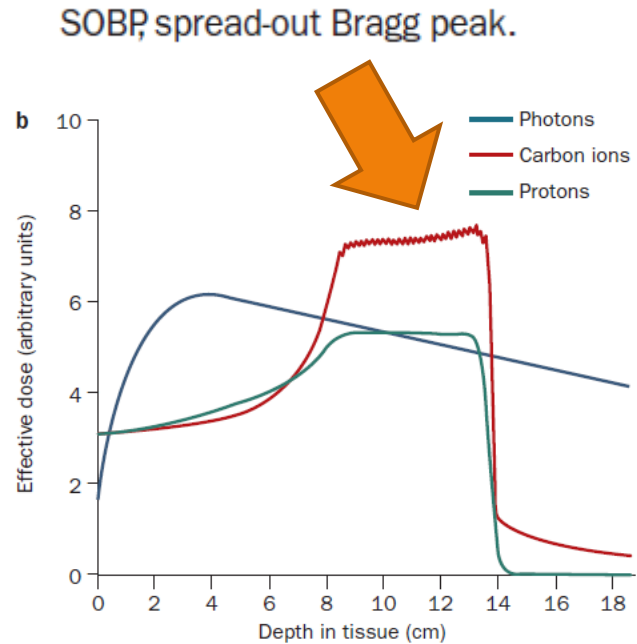
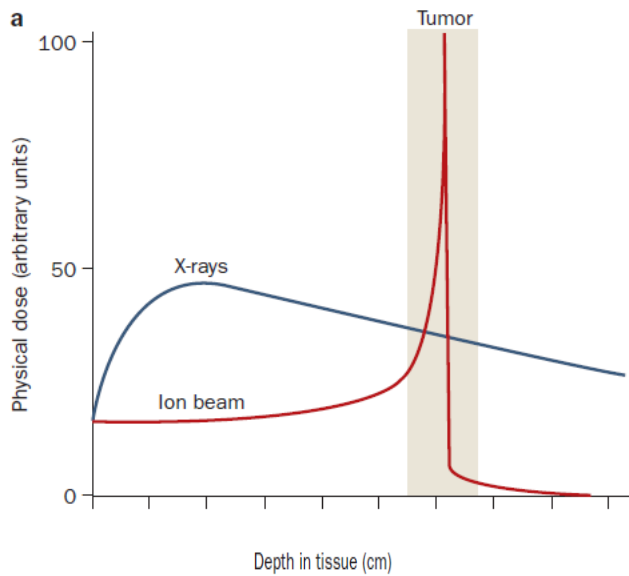
University of Groningen, University Medical Center Groningen, Department of Radiation Oncology, The Netherlands



Photon therapy: limitations

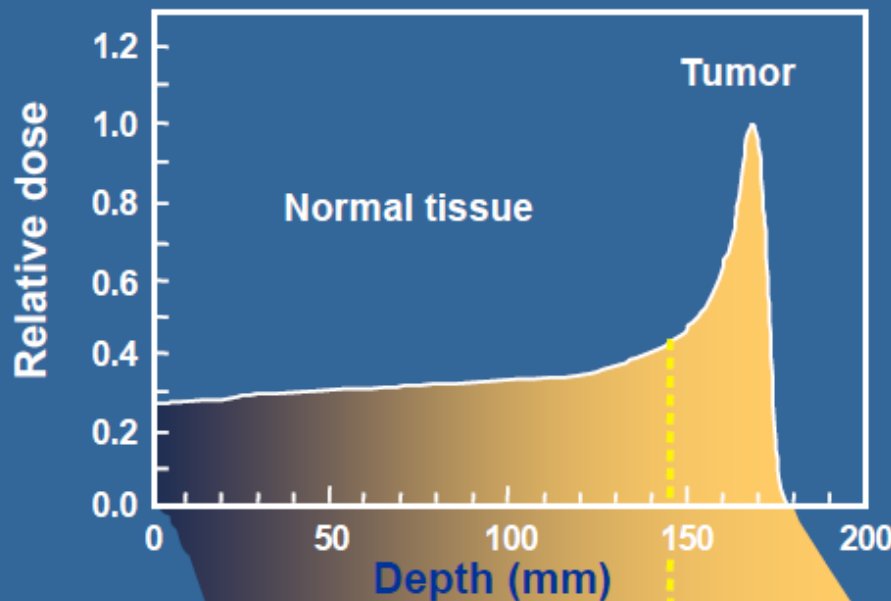
- From a ballistic and dosimetric point of view, Photon therapy is likely to have reached a plateau
- Unavoidable irradiation of normal tissues from low to moderate doses even at substantial distances from the tumor
 - extensive toxicities
 - nausea, vomiting , acute fatigue, occipital alopecia
anterior oral mucositis
 -
- No more RT dose escalation for radioresistant tumors

CHARGED PARTICLE THERAPY



Durante M, 2010

Durante & Loeffler,
Nature Rev Clin Oncol 2010



Potential advantages

HEAVY ION THERAPY

| | | |
|--------------------------|-------------|-----------|
| Energy | high | low |
| LET | low | high |
| Dose | low | high |
| RBE | ≈ 1 | > 1 |
| OER | ≈ 3 | < 3 |
| Cell-cycle dependence | high | low |
| Fractionation dependence | high | low |
| Angiogenesis | Increased | Decreased |
| Cell migration | Increased | Decreased |

High tumor dose, normal tissue sparing

Effective for radioresistant tumors

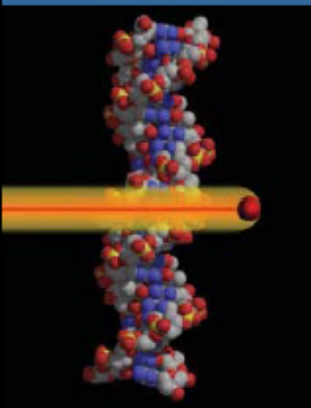
Effective against hypoxic tumor cells

Increased lethality in the target because cells in radioresistant (S) phase are sensitized

Fractionation spares normal tissue more than tumor

Reduced angiogenesis and metastatization

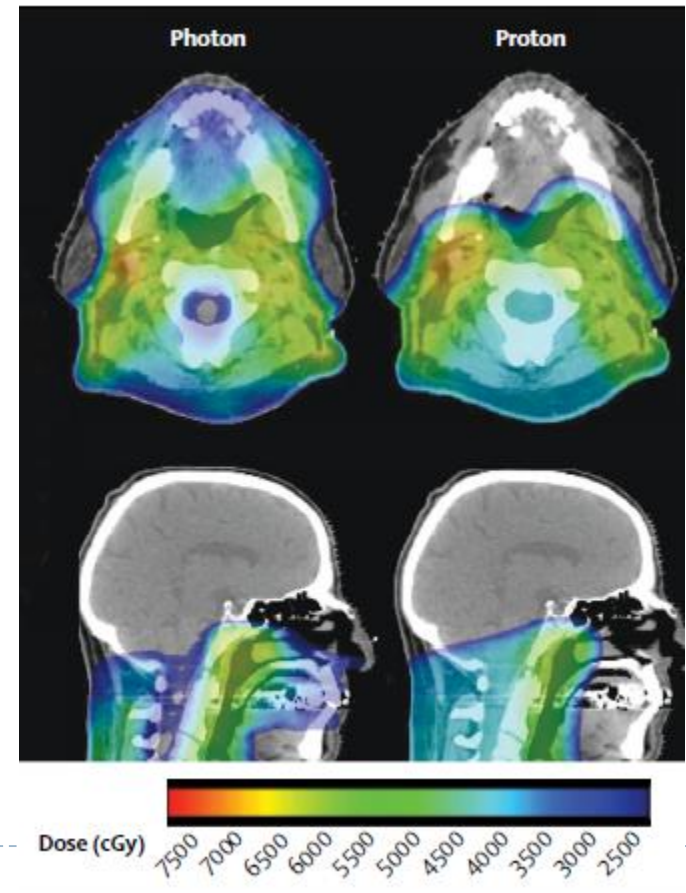
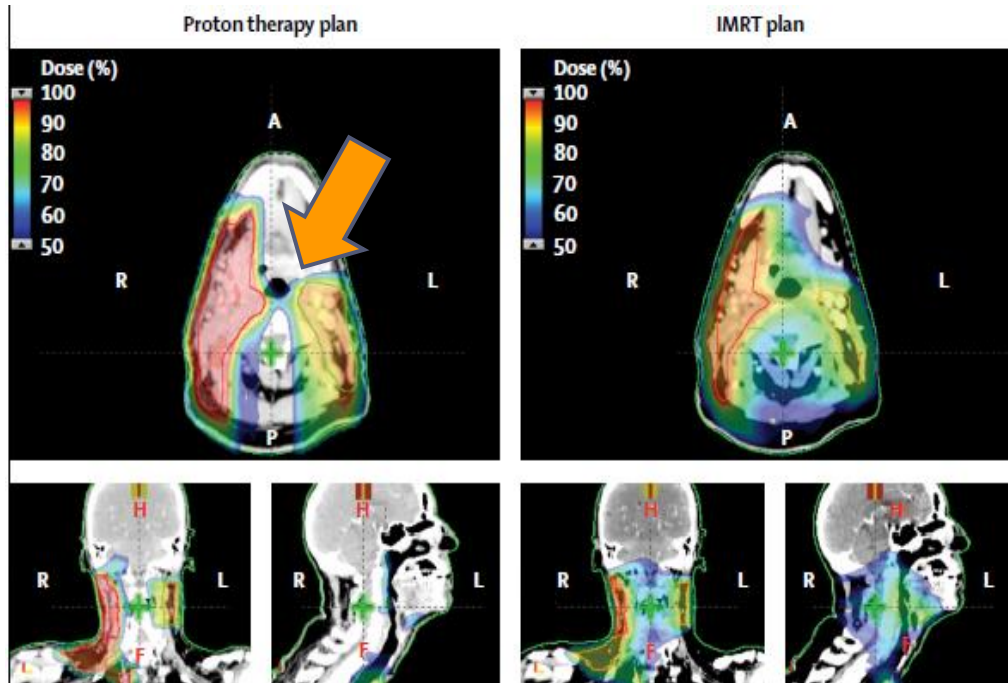
Courtesy by Durante



Physical (dosimetric) advantages of PT (Proton Therapy) over IMRT:

- ▶ to significantly reduce the normal tissue irradiation while delivering a similar dose to the tumor
- ▶ and/or
- ▶ to escalate the dose to the tumor without exceeding the radiation dose delivered to the surrounding normal tissues

- **OPC:** sparing of multiple critical organs including the oral cavity (in particular the anterior mucosa) , major salivary glands (van de Water TA , 2011, 2012; Cozzi L 2001, Holliday EB 2016) and mandible (Zhang W, 2017) ; reduction or elimination of the dose to uninvolved controlateral oropharyngeal and nasopharyngeal mucosa (Perkins SM 2012)



- ▶ **Unilateral head and neck irradiation:** reduction of 10 times of higher to critical medline (oropharyngeal mucosa) and contralateral OARS (Kandula S 2013, Stromberger C 2016)
- ▶ **NPC:** lower doses to multiple OARs, including major salivary glands, spinal cord, brainstem and optic chiasm; reduction of the averaged mean dose to OARs by a factor of 2–3 (Widesott L, 2008); reduction of low-to medium dose volumes (Liu SW, 2010; Lewis GD 2016)
- ▶ **PNSC:** lower doses to pituitary gland, optical pathway structures, brain, non target tissue by up to 65% (Lomax AJ 2003; Mock U 2004, Chera BS 2009, Cavallo A 2014)



Can we increase the dose with particle therapy versus IMRT? A dosimetric study for sinonasal cancer

PURPOSE: Dosimetric comparison among treatment plans from different RT techniques aiming at the evaluation of the impact of combined treatment modalities on target coverage and OARs sparing for sinonasal tumors.

MATERIALS and METHODS:

Patients

- 5 (SINTART 2)
- with ENI (upper neck)

Plans

- SIB photons (ph)
- Sequential photons + Carbon-ions (ph+C)
- Sequential protons + Carbon-ions (p+C)

Technique

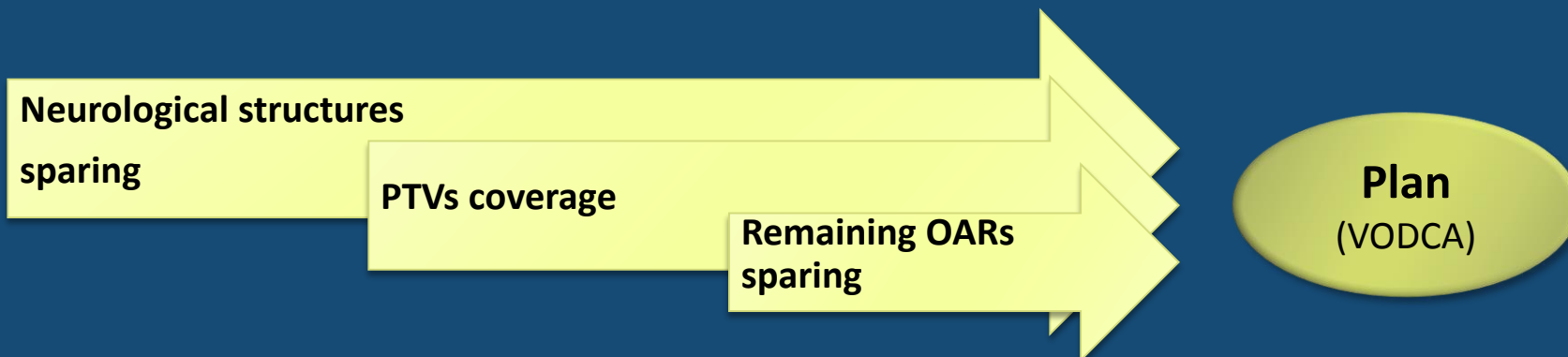
- VMAT (Varian Eclipse) for ph plans – 2/4 coplanar and non-coplanar arcs [Orlandi, RO, 2014]
- IMPT (Siemens Syngo) for p/C plans

Prescription doses (PD)

***C boost of 21 Gy(RBE)
at 3 Gy(RBE)/fr**

| | ph | ph+C | p+C |
|--------|--------------------|----------------------|----------------------------|
| HR-PTV | 70 Gy 2 Gy/fr | 54 Gy + 21 Gy(RBE) * | (54 + 21) Gy(RBE) * |
| LR-PTV | 56 Gy 1.6 Gy/fr | 54 Gy 2 Gy/fr | 54 Gy(RBE) 2 Gy(RBE)/fr |

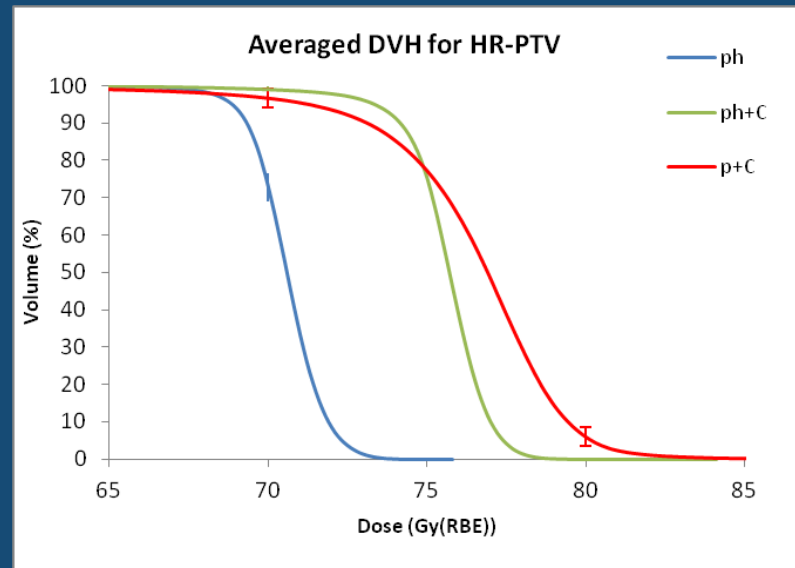
Optimization process



RESULTS

HR-PTV coverage

- CI and HI: better for **ph** plan
- Dmin ↑ for **ph** plan
- V70Gy ↑ for **+C** plan



| | Dmin (%) | V70Gy (%) | CI | HI |
|------|----------|-----------|--------|--------------------|
| ph | 96.5 | 72.86 | 1.135° | 0.063 ^a |
| ph+C | 93.5 | 99.00* | 1.259 | 0.077 ^a |
| p+C | 88.0 | 96.64* | 1.333 | 0.161 |

* is statistically significant for **p+C** and **ph+C** vs SIB ($p < 0.001$)

° and ^a are statistically relevant for **ph** vs p+C ($p = 0.003$ and $p < 0.02$)

All plans could be considered clinically acceptable and deliverable.

RESULTS

OARs sparing

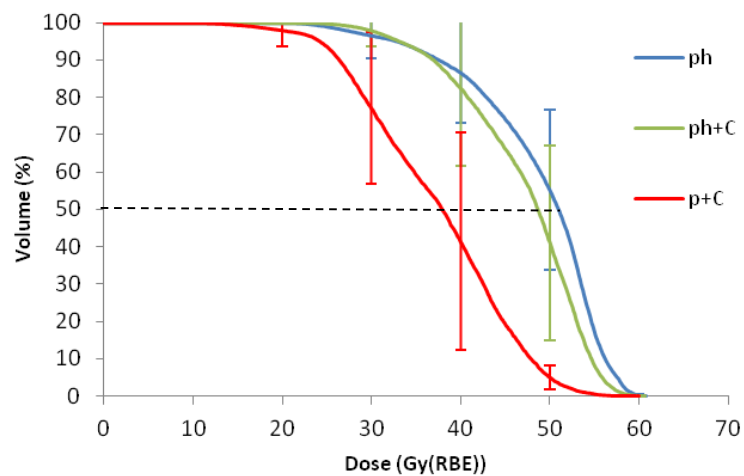
- Dmean ↓ in **p+C** plans for contra-lateral optic nerve, chiasm and cochleae ($p < 0.03$)
- V10Gy ↓ in **p+C** plans for temporal lobes and brain ($p < 0.05$)

Healthy tissue

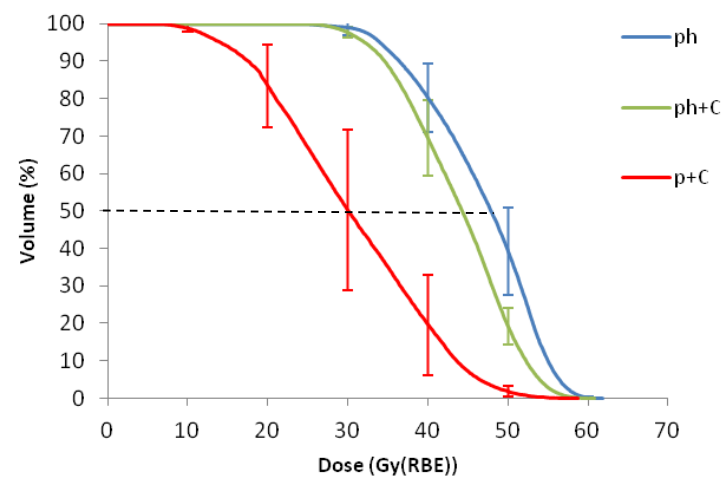
- Integral dose to HT ↓ in **p+C** plans vs the others, but also in ph+C vs ph ($p < 0.01$)

p+C: better sparing of OARs far from and not involved in PTVs

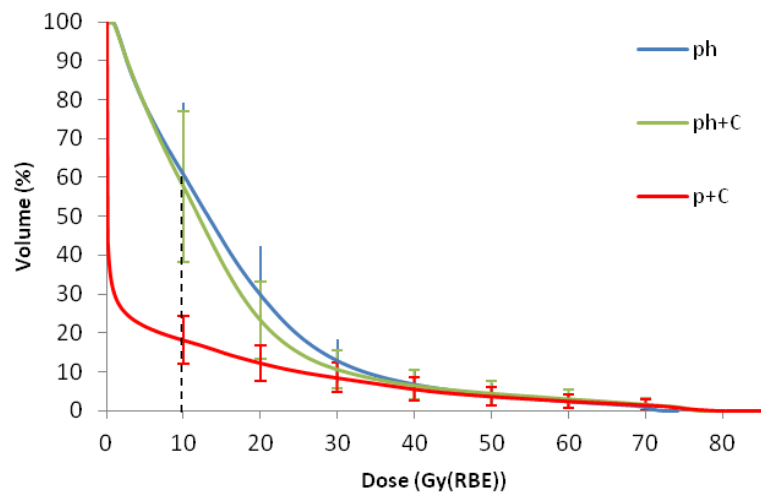
Averaged DVH for contra-lateral optic nerve



Averaged DVH for chiasm



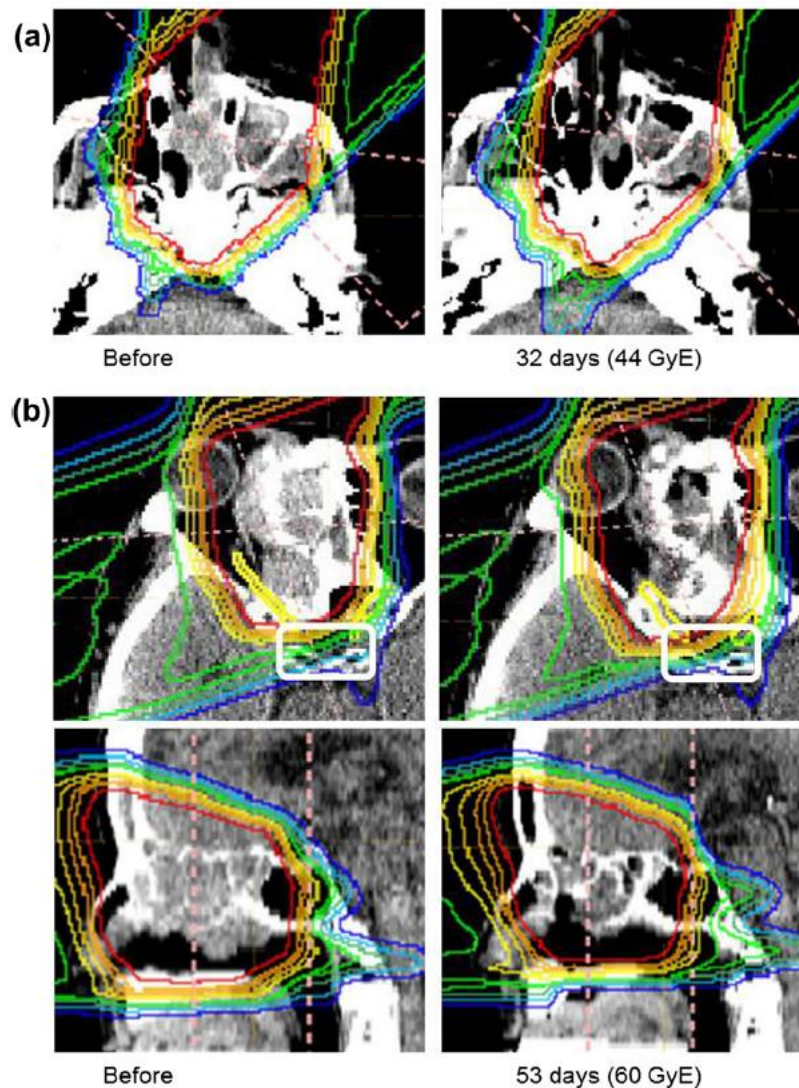
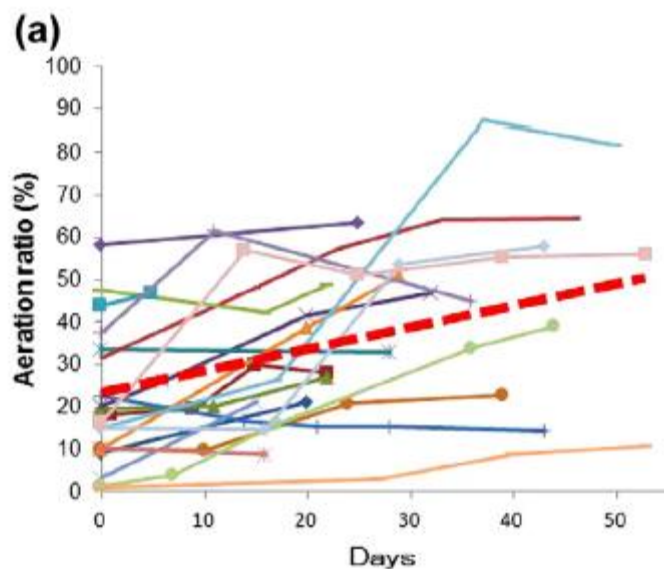
Averaged DVH for brain



Potential Concerns (1)

- ▶ High sensitivity of proton dosimetry to tissue heterogeneity and changes in target depth.
- ▶ Protons are more sensitive to geometric variations (due to setup inaccuracies, tumor shrinkage, weight loss, and organ motion) during treatment than photons

The aeration ratio



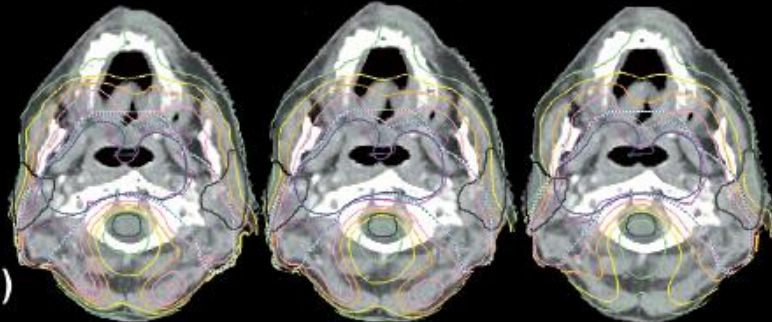
Results: The aeration ratio was increased in 18 patients. The largest increase was from 15% to 82%. Three patients had a simulated maximum cumulative dose in the brainstem of beyond 60 GyE, while 10 patients had a simulated maximum cumulative dose in the optic chiasm of beyond 50 GyE. The shortest simulated time period to reach the dose limitation was 21 days.

Patient with large volume shrinkage

Patient with small volume shrinkage

VMAT

(a)

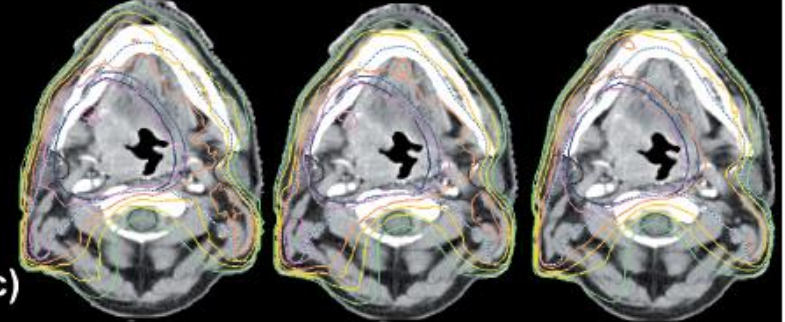


Initial plan

Non-adapted plan

Adapted plan

(c)



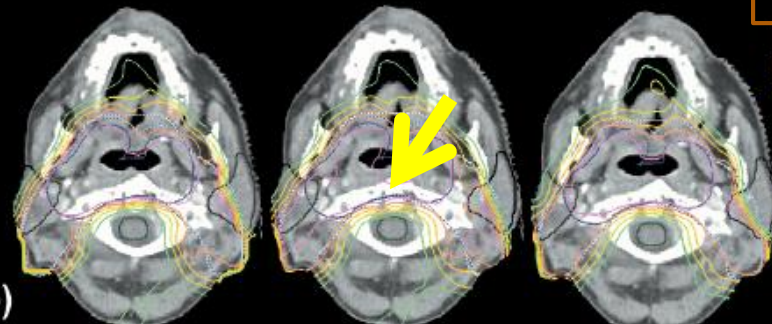
Initial plan

Non-adapted plan

Adapted plan

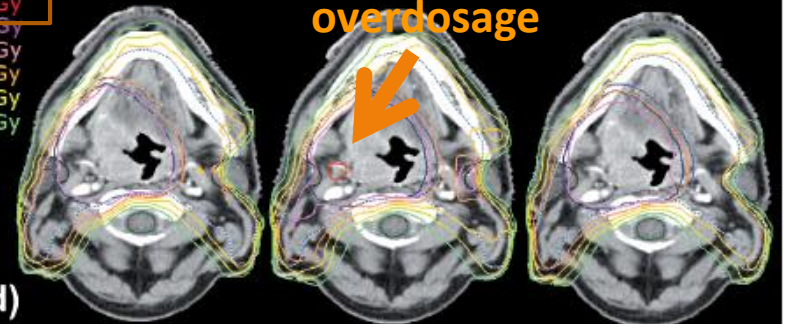
IMPT

(b)



>77 Gy
66.5 Gy
59.8 Gy
53.2 Gy
45.0 Gy
35.0 Gy

(d)

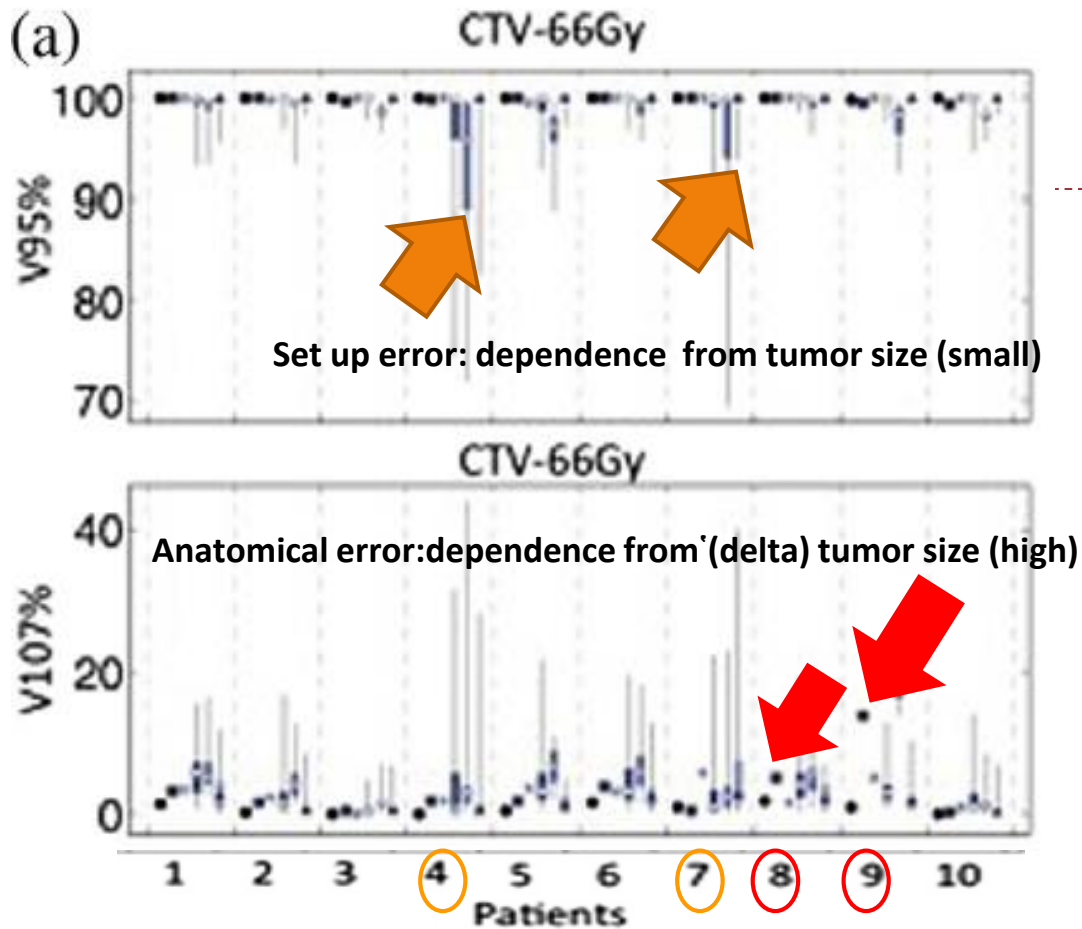


overdosage

4th week

4th week

half of the patients, treatment plan parameters were still acceptable with VMAT ($V_{95\%} > 95\%$, OARs within limits) after recalculating the initial plan on the updated CTs. Treatment plan adaptation, performed for those patients, optimized OARs sparing even further. For IMPT none of the recalculated plans was found to be acceptable in terms of target coverage, since even small anatomic variations had a large impact on the resulting dose distributions.



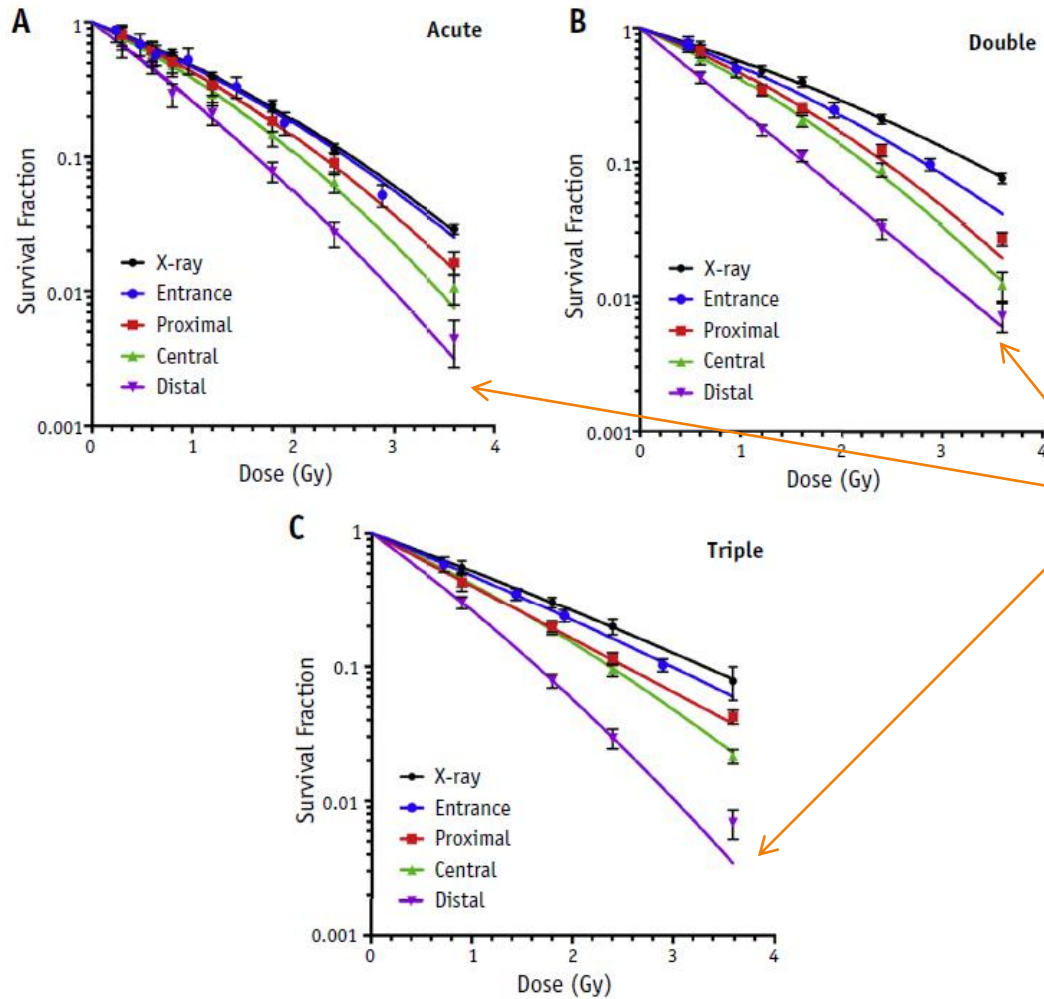
10 OPC pts

Without action against treatment uncertainties, the treatment intent ($D98\% \geq 95\%$ for at least 90% of the patient population) was not fulfilled. Given the mixed causes for major deviations observed, we advise acquisition of repeat CT scans and dose recalculation to properly assess

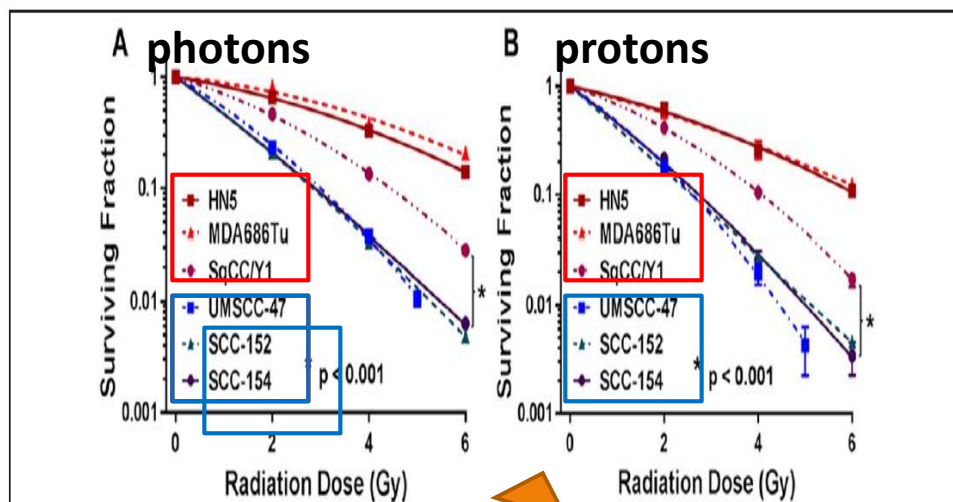
Potential Concerns (1)

- A uniform RBE (radiobiological effective dose), or measurement of proton efficacy compared with photon, of 1.1 is assumed almost universally for the purposes of proton beam therapy treatment planning, despite evidence that the RBE might fluctuate depending:
 - ▶ proton's depth in tissue (SOBP size and position)
 - ▶ Fraction size and fractionation
 - ▶ types of cells

SOBP position and fractionation



Increased LET and increased RBE
 **critical neurological structures!!!**



HPV pos

HPV neg

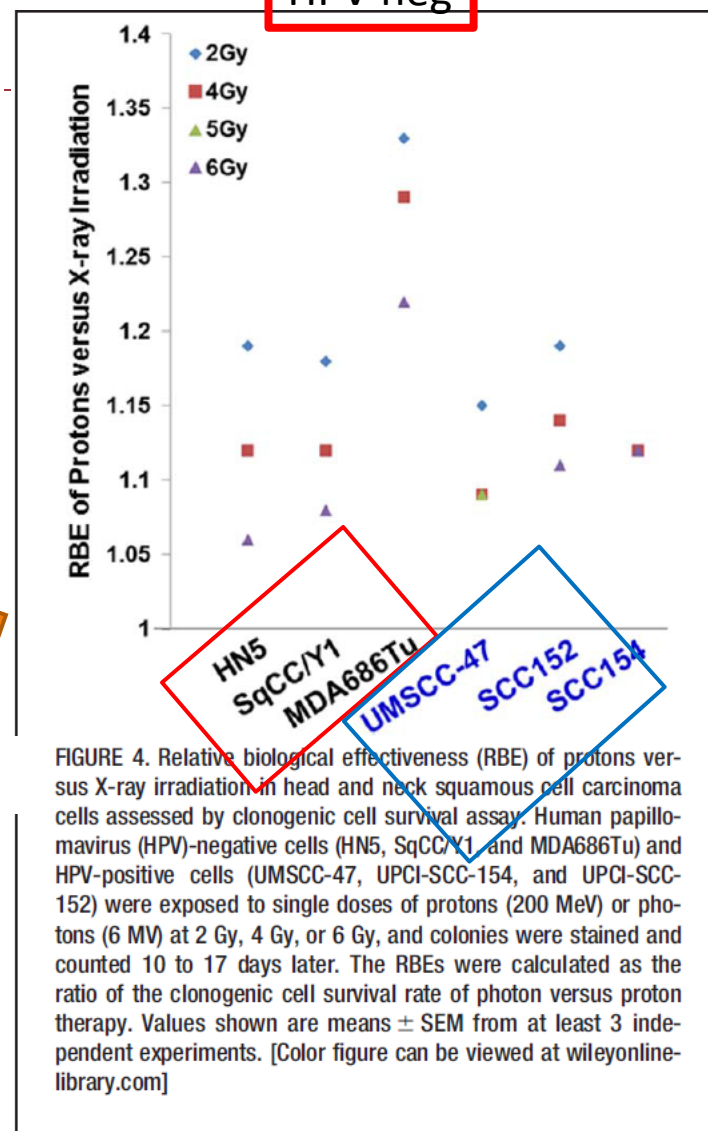
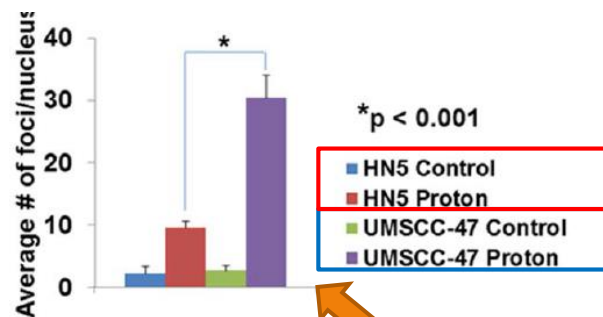


FIGURE 4. Relative biological effectiveness (RBE) of protons versus X-ray irradiation in head and neck squamous cell carcinoma cells assessed by clonogenic cell survival assay. Human papillomavirus (HPV)-negative cells (HN5, SqCC/Y1 and MDA686Tu) and HPV-positive cells (UMSCC-47, UPCI-SCC-154, and UPCI-SCC-152) were exposed to single doses of protons (200 MeV) or photons (6 MV) at 2 Gy, 4 Gy, or 6 Gy, and colonies were stained and counted 10 to 17 days later. The RBEs were calculated as the ratio of the clonogenic cell survival rate of photon versus proton therapy. Values shown are means \pm SEM from at least 3 independent experiments. [Color figure can be viewed at wileyonlinelibrary.com]



The HPV-positive cells had more unrepaired DNA double-strand breaks after treatment than did the HPV-negative cells ($p < .001$).

Direct comparisons of photon versus proton toxicity in head and neck cancer

| | Number of patients | Disease sub-site | Methodology | Toxicity evaluated | Outcomes | | |
|-------------------------------------|--|---|---|--|-------------------------------------|--------------------------------------|--|
| | | | | | Photon | Proton/charged particle | p value |
| Romesser et al (2016) ³ | 18 proton beam therapy, 23 intensity modulated radiotherapy | Unilateral head and neck cancer (major salivary gland or cutaneous primary) | Retrospective cohort comparison | Mucositis, grade 2 or worse; nausea, grade 1 or worse; dysgeusia, grade 1 or worse; fatigue, grade 1 or worse; dermatitis, grade 2 or worse | 52%; 70%; 83%; 91%; 74% | 17%; 17%; 22%; 39%; 100% | 0.005; 0.003; <0.001; 0.002; 0.032 |
| McDonald et al (2016) ⁷ | 14 proton beam therapy, 12 intensity modulated radiotherapy, 14 proton beam therapy to primary site and intensity modulated radiotherapy to neck | Nasopharyngeal, nasal cavity or paranasal sinus cancer | Retrospective cohort comparison | Gastrostomy tube dependent at completion of radiotherapy; | 0.03 (<0.01-0.15)*; | .. | <0.001; |
| | | | | gastrostomy tube dependent 1 month after radiotherapy; | 0.11 (<0.01-0.61)*; | .. | 0.028; |
| | | | | equivalent morphine dose greater than baseline at end of radiotherapy | 0.09 (0.01-0.57)* | .. | 0.006 |
| Sio et al (2016) ⁵ | 35 intensity modulated proton therapy, 46 intensity modulated radiotherapy | Oropharyngeal cancer | Retrospective cohort comparison | Subacute food taste symptoms†; subacute appetite symptoms†; chronic appetite symptoms†; subacute mucous symptoms (% with moderate-severe symptoms) | 7.70; 6.37; 4.14; 84% | 5.76; 4.68; 2.12; 62% | 0.01; 0.048; 0.036; 0.038 |
| Blanchard et al (2016) ⁶ | 50 intensity modulated proton therapy, 100 intensity modulated radiotherapy | Oropharyngeal cancer | Retrospective case-matched control comparison | Patient-rated xerostomia, grade 2-3, 3 months after radiotherapy; gastrostomy tube presence or weight loss >20%, 1 year after radiotherapy | 61%; 25% | 42%; 8% | 0.009; 0.010 |
| Holliday et al (2015) ⁴ | 10 intensity modulated proton therapy, 20 intensity modulated radiotherapy | Nasopharyngeal cancer | Retrospective case-matched control comparison | Gastrostomy tube needed during or after treatment | 65% | 20% | 0.02 |
| Patel et al (2014) ⁸ | 286 charged particle (proton, carbon ion, helium ion, or other), 1186 photon (41 studies included) | Nasal cavity and paranasal sinus cancer | Systematic review and meta-analysis | Neurological toxicity (95% CI) | 0.04 (0.02-0.08) | 0.20 (0.13-0.31) | <0.001 |

MDASI-HN=MD Anderson Symptom Inventory-Head and Neck. * Proton vs photon odds ratio (95% CI). † mean MDASI-HN score.

Table 1: Direct comparisons of photon versus proton toxicity in head and neck cancer

Nasopharyngeal carcinoma

➤ Chan A, Adams JA, Weyman E, et al. A phase II trial of proton radiation therapy with chemotherapy for nasopharyngeal carcinoma. *Int J Radiat Oncol Biol Phys* 2012; **84**: **S151–52**.

23 patients treated with a combined photon and proton technique : LC 100%; OS : 100 (2y). Toxicity: grade 3 or worse hearing loss: 29% ; grade 3 or worse weight loss: 38% and no grade 3 xerostomia

➤ Chan A, Liebsch L, Deschler D, et al. Proton radiotherapy for T4 nasopharyngeal carcinoma. ASCO Annual Meeting Proceedings. *J Clin Oncol* 2004; **22**: **557**

17 patients, only one local failure median fu 43 m. Late toxicities: radiographic temporal lobe changes (29%), one case of endocrine dysfunction, and one case of mandibular osteoradionecrosis

Skull base cordoma and condrosarcoma

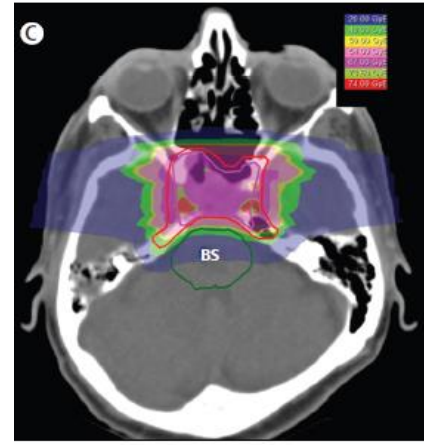
✓ Radioresistent tumors



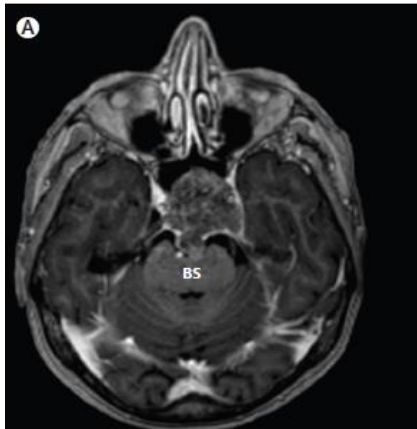
Diagnosis



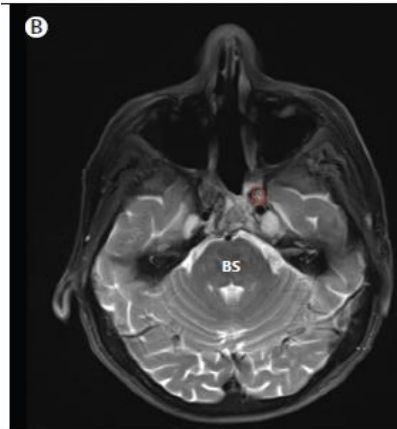
Surgery R1



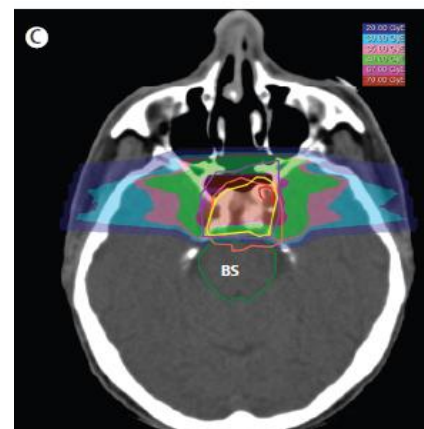
Postoperative PBT 74GyE (37 frs x 2Gy E in 7 week)s



Diagnosis



Surgery R2



Postoperative CIRT 70.4 GYE (16 frs x4.4 GyE in 4 week)s

Skull base cordoma and condrosarcoma

- ▶ 5-year Local control: 70–100%, depending on histology, (median dose 74 GyE) ; high grade toxicity effects: 0-6%

Munzenrider JE, 1999, Catton 1996 Noel G, 2011 Mc Donald 2016

- ▶ A $D_{1cm(3)} \geq 74.5$ Gy (RBE) represents a proposed treatment planning objective

Mc Donald 2016

Adenoid cystic carcinoma

Unresectable disease or macroscopic residual disease after surgery

- ▶ **German experience** (COSMIC trial): a shrinking fields technique. CIRT boost to the GTV plus margin followed by photons IMRT to a wider volume that always included perineural spread. CIRT is delivered in 8 fractions of 3 Gy [RBE] each for a total of 24 Gy[RBE] with 5 or 6 fractions per week and was followed by 50 Gy of IMRT in fractions of 2 Gy. Jensen 2015
- ▶ **Japanese experience:** CIRT as exclusive therapy. Shrinking fields were used and a dose per fractions of 3.6–4 Gy [RBE] is employed with 4 fractions per week. The wider target volume received 9 fractions and included areas at risk of microscopic spread, perineural and submucosal spread (paranasal sinuses that were partially infiltrated by the tumor were entirely included). In smaller target volume received 7 fractions and included GTV plus margins, and also perineural routes in cases of ACC at high risk of infiltration Mizoe JE 2012

Reirradiation (66-70. 2 GyRBE)

Tableau 2

Sélection d'études évaluant la protonthérapie en cas de réirradiation ORL.

| Reference | Période | Technique | Type | Patients (n) | Chirurgie (%) | Histologie | Suivi médian (mois) | Résultat |
|----------------------|-----------|--|---------------|--------------|---------------|--|---------------------|---|
| McDonald et al. [54] | 2004–2014 | Protonthérapie conformationnelle ^a | Rétrospective | 61 | 47,5 | Carcinome épidermoïde (32) Autre (29) | 29 | À deux ans, récidence locale 19,7 %, survie globale 32,7 % Toxicité tardive : 8 de grade 3 (nécrose osseuse et muqueuse), 3 de grade 4 et 3 de grade 5 |
| Phan et al. [55] | 2011–2015 | Protonthérapie conformationnelle ^a (n = 15), protonthérapie conformationnelle avec modulation d'intensité (n = 45) | Prospective | 60 | 58 | Carcinome épidermoïde (40) Autre (20) | 13,6 | À un an, survie sans rechute locorégionale 68,4 %, survie globale 83,8 % Toxicité tardive à un an 16,7 % ; trois de grade 5 |
| Romesser et al. [56] | 2011–2014 | Protonthérapie conformationnelle ^a | Rétrospective | 92 | 39 | Carcinome épidermoïde (52) Autre (40) | 13,3 | À un an, récidence locorégionale 25,1 %, survie globale 65,2 % Toxicité tardive : de grade 3+ chez 10 patients. Trois de grade 5 |

Blanchard 2017



Ongoing and planned trials

| | Institution | Inclusion | Treatment | Primary endpoints | Study start |
|-------------|--------------------------------|---|--|---|--------------------|
| NCT02923570 | MSKCC | Unilateral head and neck targets (salivary, skin tumours) | Randomised to proton beam therapy vs intensity modulated radiotherapy | Acute toxicity | October, 2016 |
| NCT02736786 | Mayo Clinic | Resected oropharyngeal tumours by TORS | Mucosal sparing proton beam therapy | Local control at 2 years | March, 2016 |
| NCT02663583 | MDACC | Stage 1-3 previously untreated oropharyngeal squamous cell carcinoma | Intensity modulated proton therapy or transoral surgery | Functional outcome measured with patient reported outcomes and longitudinal digital wristband activity monitoring of study participants | January, 2016 |
| NCT01973179 | Technische Universität Dresden | Previously irradiated head and neck cancer | Proton beam therapy | Late toxicity (24 months after therapy) | July, 2015 |
| NCT01893307 | MDACC, MGH, NCI, NIDCR | Stage 3-4 squamous cell carcinoma of the oropharynx | Randomised to intensity modulated radiotherapy vs intensity modulated proton therapy | Incidence of severe late toxicity 90 days to 2 years after RT | August, 2013 |
| NCT01346124 | MGH, MDACC, NCI | Sarcoma of the spine, sacrum, or base of skull | Intensity modulated proton therapy | Local control at 3 years | December, 2012 |
| NCT01586767 | MGH, NIH, NCI, Mayo clinic | Locally advanced sinonasal tumours | Proton beam therapy or intensity modulated radiotherapy | Local control at 2 years | July, 2011 |
| NCT01228448 | MGH, NCI | Brain, head and neck, and skull base tumours | Proton beam therapy | Effectiveness of in-room PET | October, 2010 |
| NCT02130427 | University of Pennsylvania | Multiple tumour sites, including head and neck | Proton beam therapy | Number of adverse events at 4-6 weeks | September, 2010 |
| NCT00797043 | University of Florida | Carcinoma of the skin of the head and neck with perineural invasion | Combined intensity modulated radiotherapy/proton beam therapy | Grade 3 or higher xerostomia at 1 year | September, 2008 |
| NCT00592501 | MGH, DFCI, BWH | Squamous cell carcinoma of the nasopharynx, non-metastatic, T2b and/or N+ | Proton beam therapy | Acute toxicity, treatment compliance, quality-of-life measures | October, 2006 |
| NCT00496119 | MDACC | Skull base chordoma | Proton beam therapy | Time to local recurrence | September, 2006 |
| NCT00797498 | University of Florida | Cancer of the nasal cavity and/or paranasal sinuses | Proton beam therapy | Incidence of grade 3 or worse xerostomia at 1 year | August, 2006 |
| NCT00496522 | MDACC | Skull base chondrosarcoma | Proton beam therapy | Time to local recurrence | April, 2006 |
| .. | MSKCC | Cancer of the nasal cavity and/or paranasal sinuses | Endoscopic surgical resection and proton beam therapy | Local control at 2 years | Planned 2017 start |
| .. | MSKCC | Recurrent or second primary head and neck cancer, previously treated with radiation | Proton beam therapy | Locoregional control | Planned 2017 start |

MSKCC= Memorial Sloan Kettering Cancer Center. MDACC=MD Anderson Cancer Center. MGH=Massachusetts General Hospital. NCI=National Cancer Institute. NIDCR=National Institute of Dental and Craniofacial Research. PET=positron emission tomography. DFCI=Dana-Farber Cancer Institute. BWH=Brigham and Women's Hospital. TORS=transoral robotic surgery.

Patient selection strategies for PBT

- **Evidence based medicine: randomization** of the study population into photon and proton treatment (“**all- or -none**” question).
- **Model based approach:** to estimate the potential clinical benefit for protons over photons in terms of reduction in normal tissue complication probability (NTCP) for **each individual patient** and assign the patient to PBT only if the reduction in toxicity is above a specified threshold (**precision medicine**).

Ramaekers, 2012
Blanchard 2017
Legendjik 2013



Patient selection strategies for PBT

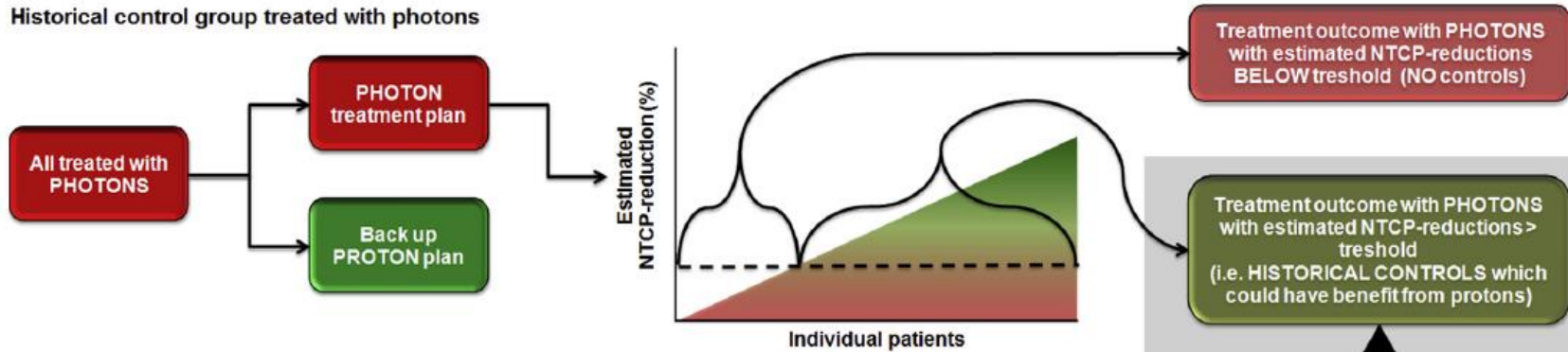
➤ Randomised controlled trials (RCT): when dose escalation can be expected to improve tumor control.

➤ RCT's investigating the added value of protons compared to photons with regard to reduction of side effects, run the risk of being ethically compromised.

Netherlands ' approach

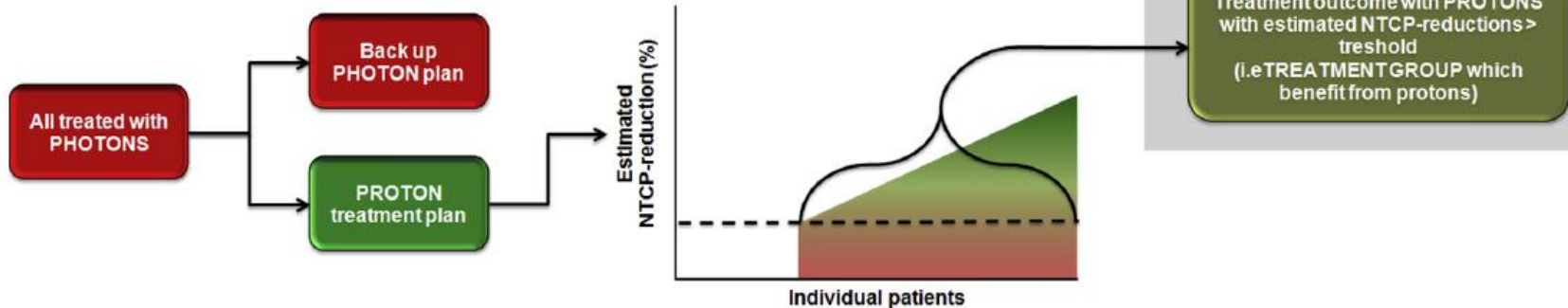
NO PROTONS AVAILABLE

Historical control group treated with photons

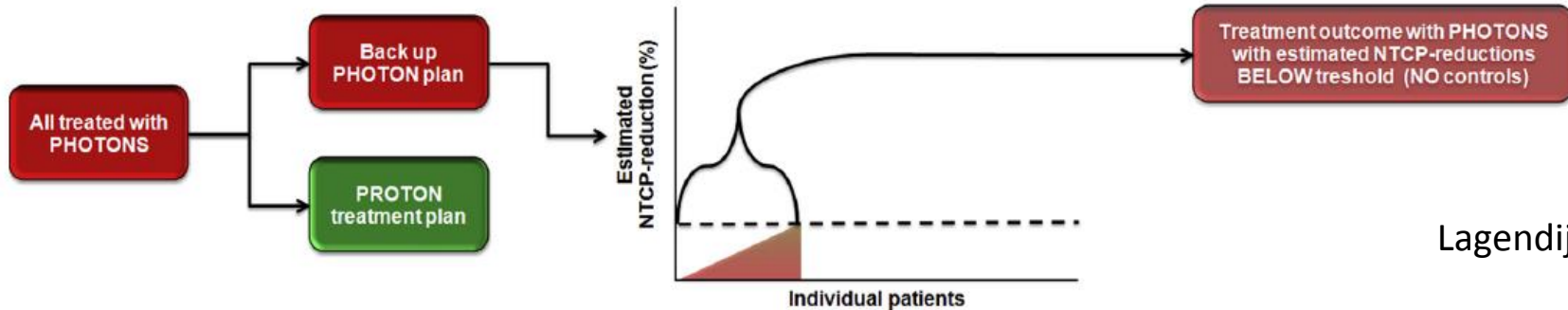


PROTONS AVAILABLE

Group treated with PROTONS (only if estimated NTCP-reductions > threshold)



Group not selected for protons and treated with PHOTONS (because estimated NTCP-reductions remains BELOW threshold)



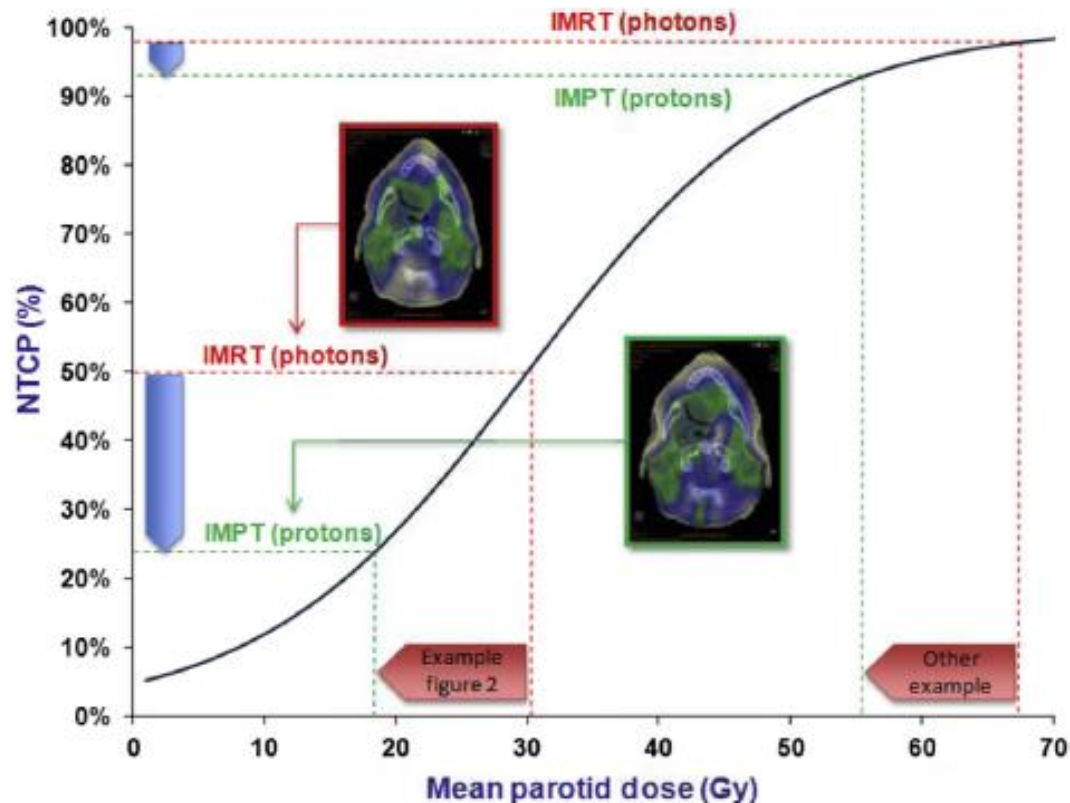


Fig. 3. Translation of the results of the individual ISPC-study depicted in Fig. 2 with regard to xerostomia. The reduction of the mean parotid dose from 30.1 Gy to 18.4 Gy (red arrow: example Fig. 2) corresponds with an estimated NTCP-value reduction for severe xerostomia from 50% to 24% according to the NTCP-model published by Semenenko. However, exactly similar absolute dose reductions (red arrow: other example) result in a minimal estimated NTCP-value reduction when the initial dose is much higher, due to the shape of the NTCP-curve.

Toward a model-based patient selection strategy for proton therapy: External validation of photon-derived normal tissue complication probability models in a head and neck proton therapy cohort



Pierre Blanchard^{a,c}, Andrew J. Wong^a, G. Brandon Gunn^a, Adam S. Garden^a, Abdallah S.R. Mohamed^a, David I. Rosenthal^a, Joseph Crutison^a, Richard Wu^b, Xiaodong Zhang^b, X. Ronald Zhu^b, Radhe Mohan^b, Mayankkumar V. Amin^b, C. David Fuller^a, Steven J. Frank^{a,*}

^a Department of Radiation Oncology; ^b Department of Radiation Physics, The University of Texas MD Anderson Cancer Center, Houston, USA; ^c Department of Radiation Oncology, Gustave Roussy Cancer Campus, Villejuif, France

Table 2

Discrimination properties of photon-derived NTCP models in proton-treated patients.

| Model | | Development set | IMPT validation | IMPT cross-validation |
|---|-----------------------------|-----------------|-------------------|-----------------------|
| Persistence of feeding tube 6 months after treatment [9] | N patients | 355 | 89 | 89 |
| | N events | 38 | 4 | 4 |
| | AUC [95% CI] | 0.88 | 0.947 [0.85–1.00] | 0.90 [0.75–1.00] |
| | Model fit (HL) | 0.70 | 0.43 | |
| Physician-rated grade 2 + dysphagia 6 months after treatment [10] | N patients | 354 | 89 | 89 |
| | N events | NA | 27 | 27 |
| | AUC [95% CI] | 0.8 | 0.708 [0.59–0.82] | 0.697 [0.58–0.80] |
| | Model fit (HL) | NR | 0.23 | |
| Patient-rated dry mouth 6 months after treatment [12] | N patients | 161 | 94 | 94 |
| | N events | 83 | 36 | 36 |
| | AUC [95% CI] | 0.68 | 0.735 [0.63–0.83] | 0.704 [0.59–0.81] |
| | Model fit (HL) | 0.84 | 0.05 | |
| Hypothyroidism 12 months after treatment [11] | N patients | 105 | 58 | 58 |
| | N events | 35 | 40 | 40 |
| | AUC [95% CI] | 0.85 | 0.743 [0.57–0.91] | 0.728 [0.55–0.90] |
| | Model fit (HL) | NR (“good”) | 0.01 | |
| Acute mucositis [8] | N patients | 148 | 113 | 113 |
| | N events | NP | 40 | 40 |
| | AUC [95% CI] | 0.85 | 0.700 [0.60–0.80] | 0.68 [0.58–0.78] |
| | Model fit (R ²) | 0.8 | 0.22 | |

Abbreviations: AUC, area under the ROC curve; HL, Hosmer-Lemeshow test; NR, no value reported; ROC, receiver operator characteristics. The Hosmer-Lemeshow test evaluates the model fit, a p-value of 0.05 or lower indicates a poor fit to the data. The R² is another measure of goodness of fit, and values close to one a good fit.

CONCLUSIONS

- ▶ PT could broaden the therapeutic window for patients with HNC
- ▶ Need of applying strategies to account for all uncertainties : robust treatment planning techniques, multicriteria optimization, CT-based image guidance, adaptive proton therapy,etc..
- ▶ Need to define the optimal target population for PT: RCTs vs model based approach (according to primary endpoint?)



That's all Folks!