



# CARCINOMA DEL POLMONE NON MICROCITOMA: QUALI NOVITA' PER IL 2016?



TERZA SESSIONE

L'IMMUNOTERAPIA NEL NSCLC

## Commento sulla metodologia



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# Disclosures

- Advisory Boards/Honoraria/Speakers' fee/Consultant for:
  - MSD, Astra-Zeneca, Celgene, Pfizer, Helsinn, Eli-Lilly, BMS, Novartis
- Research Support / Grants from:
  - A.I.R.C. (Associazione Italiana Ricerca sul Cancro)
  - I.A.S.L.C. (International Association for the Study of Lung Cancer)
  - Fondazione *Cariverona*

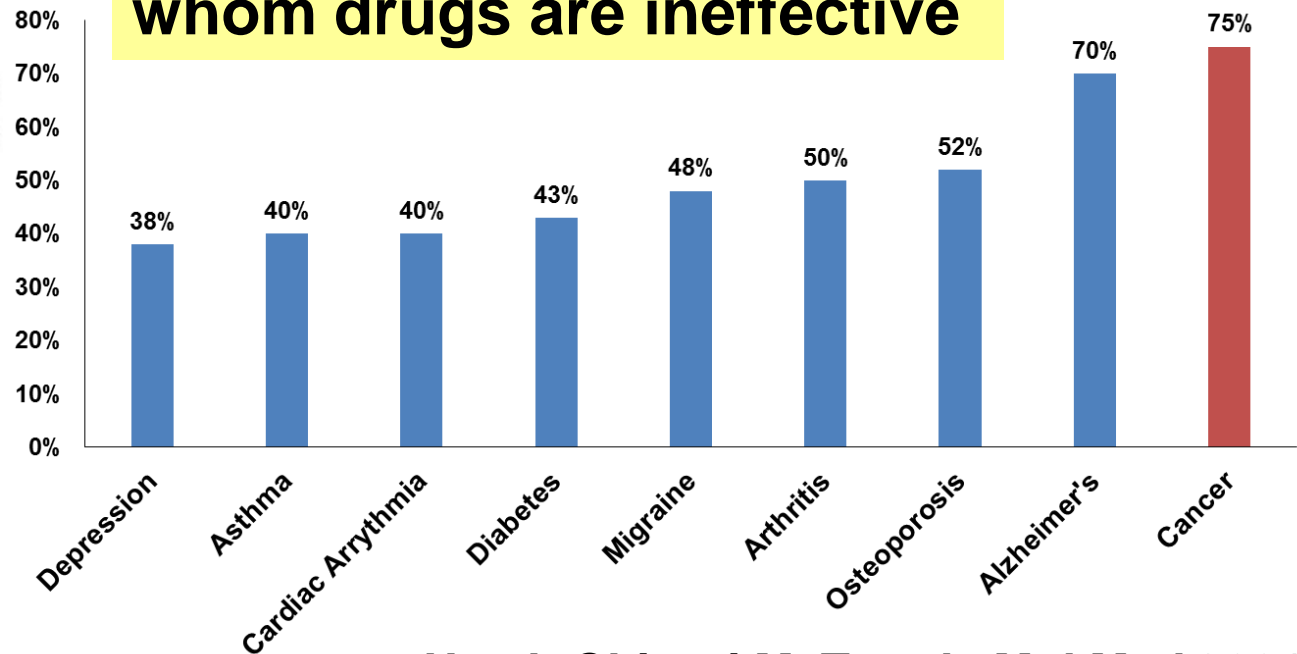


# Immunotherapy for NSCLC

## Why do we need a Methodology speech?



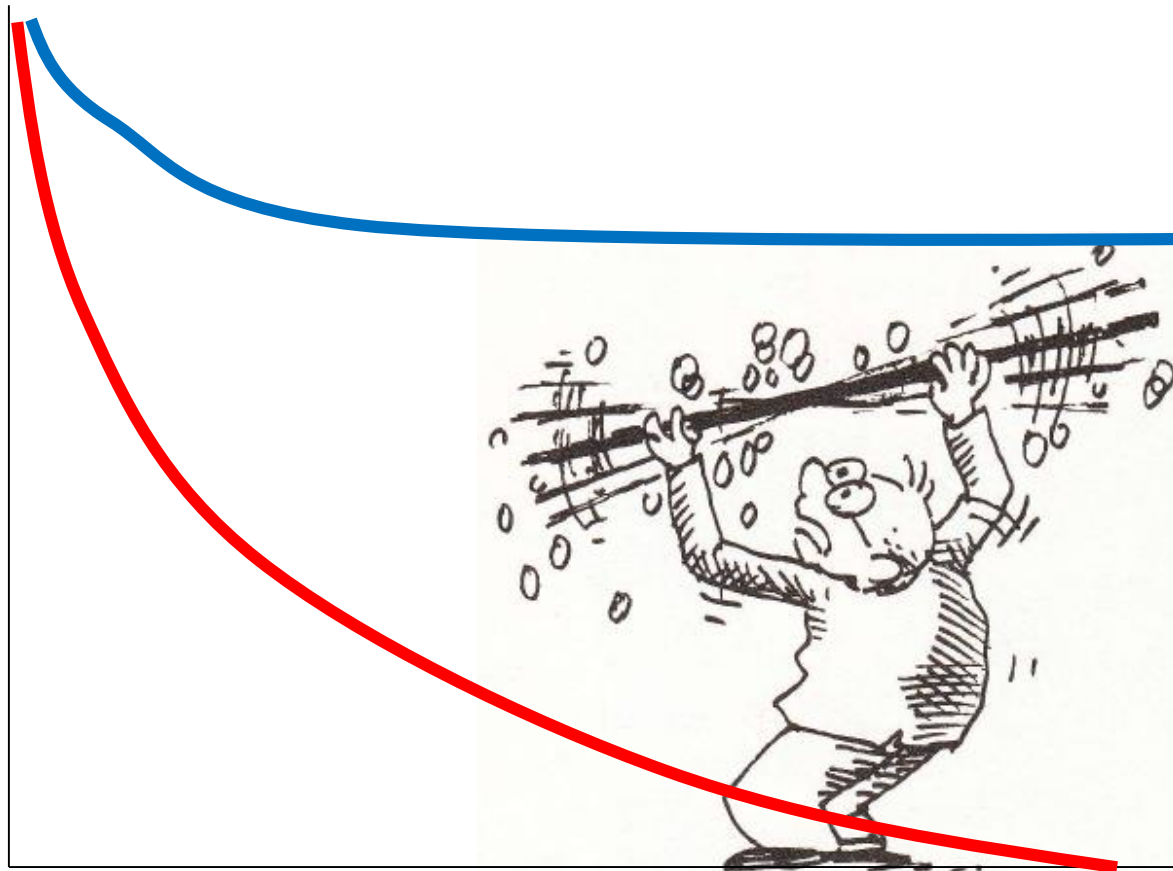
Percentage of patients for whom drugs are ineffective



Heath-Chiozzi M, Trends Mol Med 2001.

# Immunotherapy for NSCLC 'Comments' upon Methodology

Hazard Ratio, Medians, other, *who cares if...*



**New, Safe,  
Targeted**

**Old, Toxic,  
Standard**

# Immunotherapy for NSCLC

## 'Comments' upon Methodology



- **Hazard Ratio (HR)**

- Which model for survival analysis?
- HR: Principles, Assumptions and Limitations

- **Curves' Models**

- Median survivals and Late rates
- 'Adjusted' Data

- **'Visual' Maturity**

- **'Tricks' to enlarge HRs.....**

- **Correlation & Surrogates**

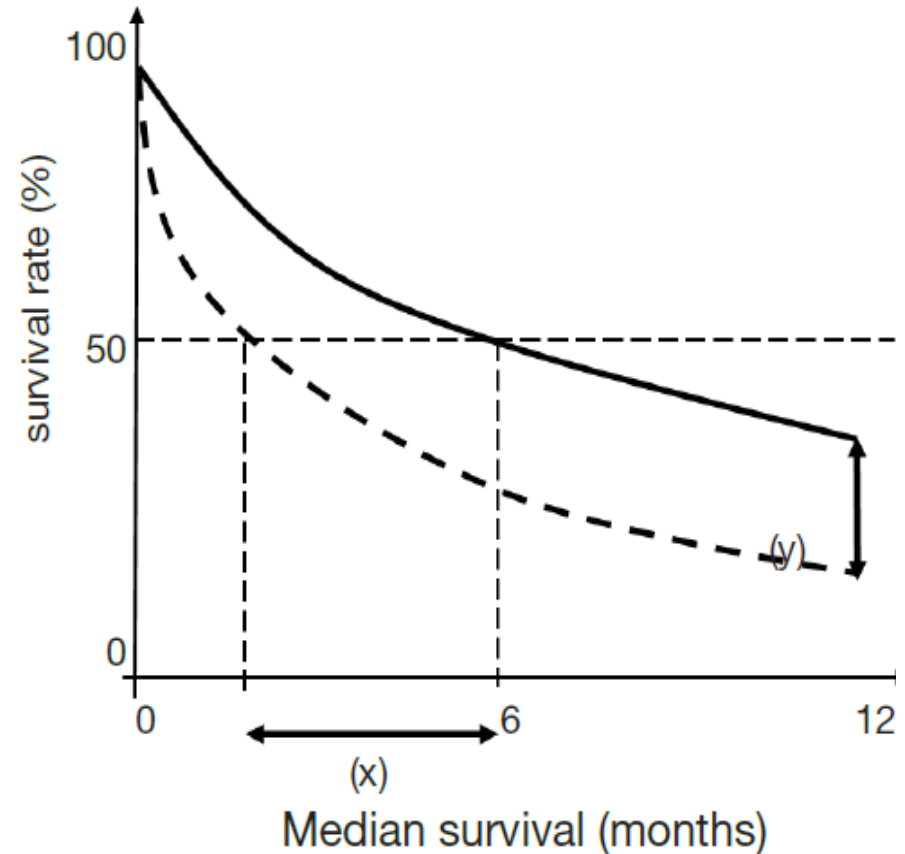
- **Implications for clinical trials' design**

- **The '*Two-Fingers*' Rule i.e. the '*quantity*' or .....**

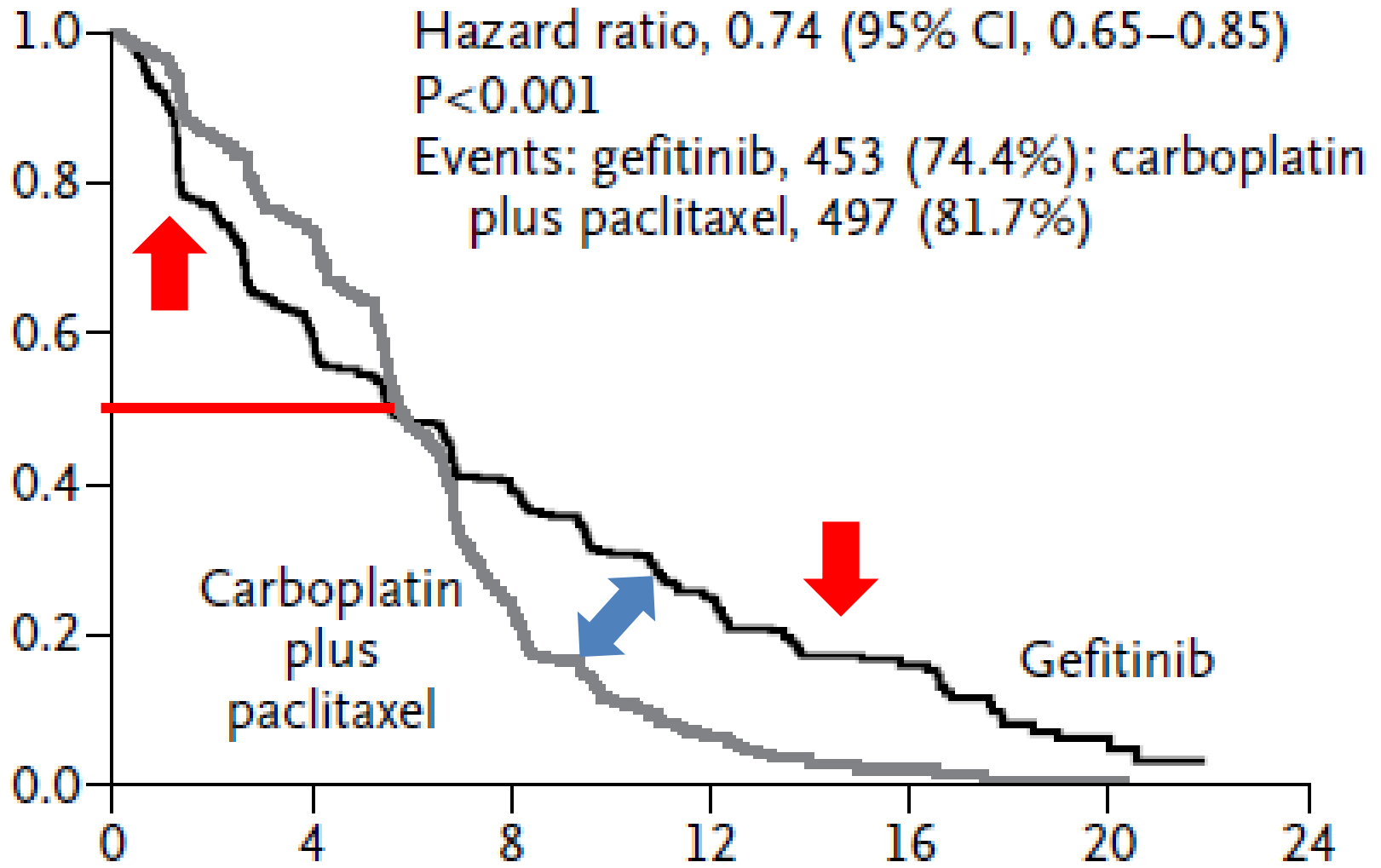


# Hazard Ratio (HR)

- When **HR** is adopted, it is assumed that:
  - Difference between groups was proportional;
  - Graphically the K-M survival curves displayed a constant distance apart.
- **HR BECOMES MEANINGLESS WHEN THIS ASSUMPTION OF PROPORTIONALITY IS NOT MET!!!!!!**



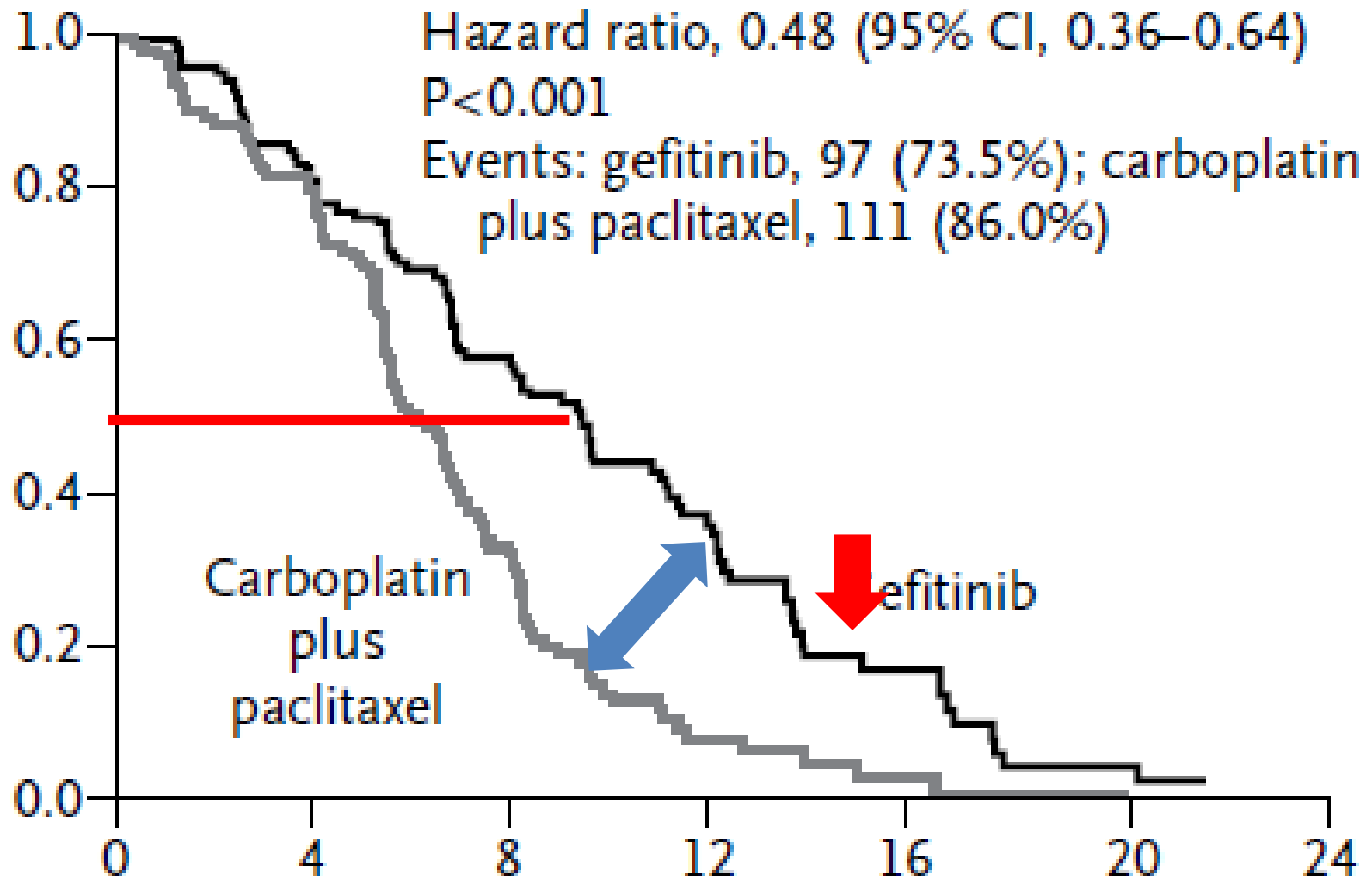
# Curves' Crossing



**Overall population**

Source: Mok et al, NEJM 2009

# Curves' Crossing... *anymore*



**EGFR-Mutant**

Source: Mok et al, NEJM 2009



# Immunotherapy for NSCLC

## 'Comments' upon Methodology

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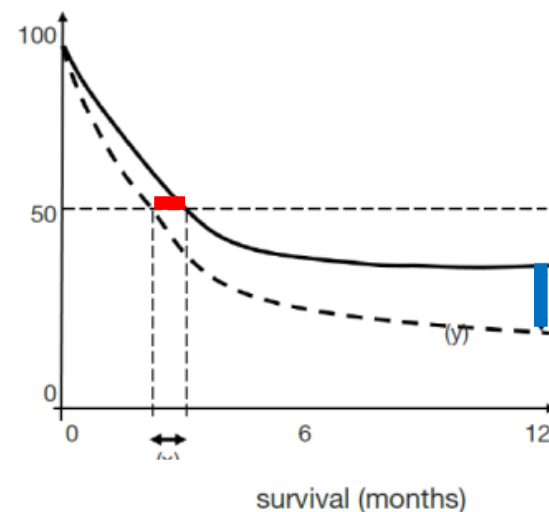
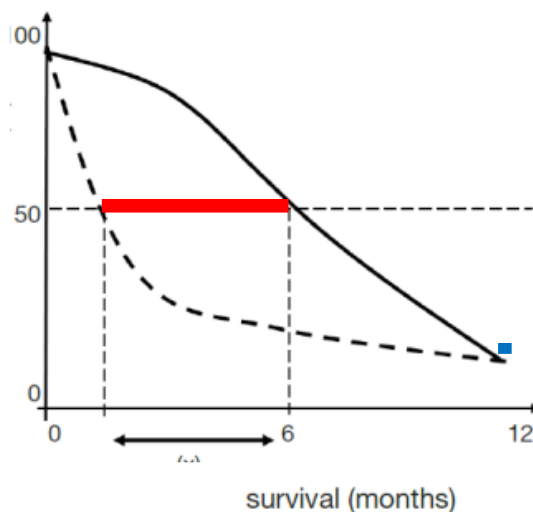
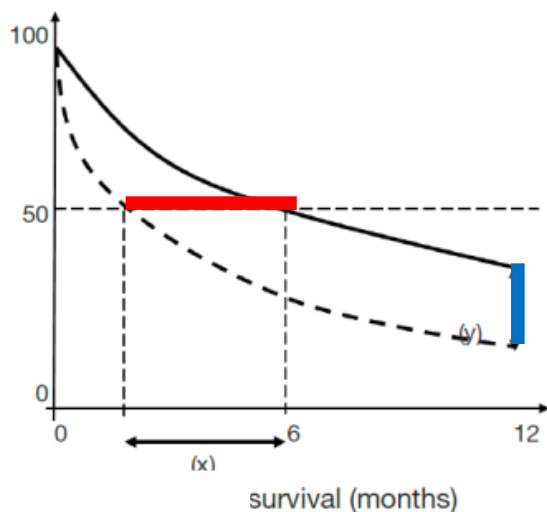


# Immunotherapy for NSCLC

## 'Comments' upon Methodology: CURVES

Typical survival curves (Kaplan-Meier model) observed in clinical trials

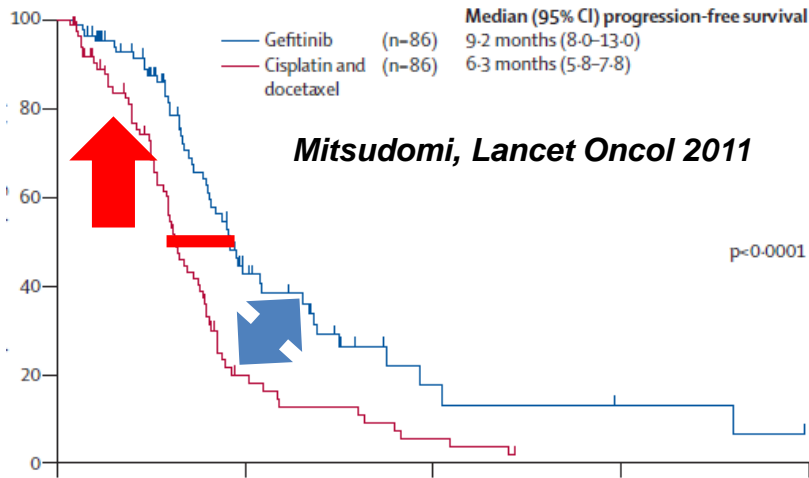
(x) difference in median survival;  
 (y) 12-month difference in survival rate.



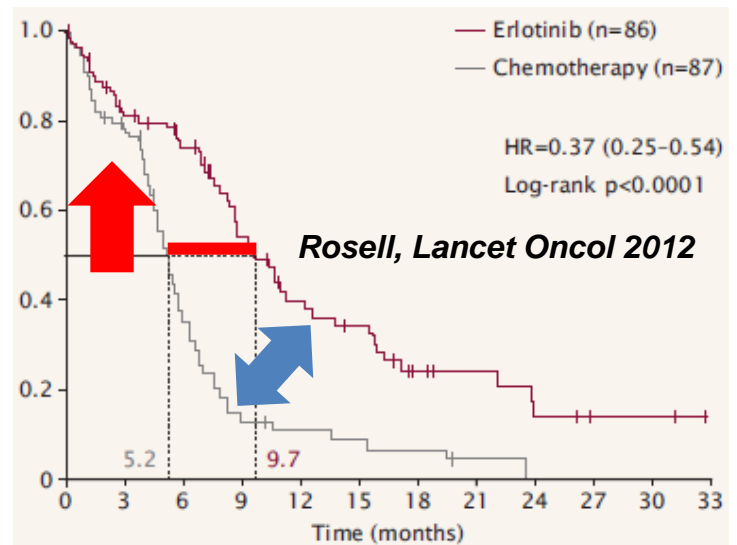
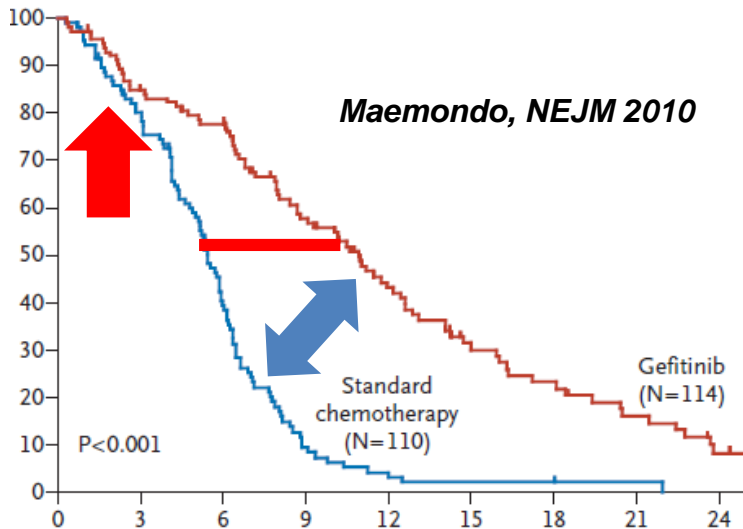
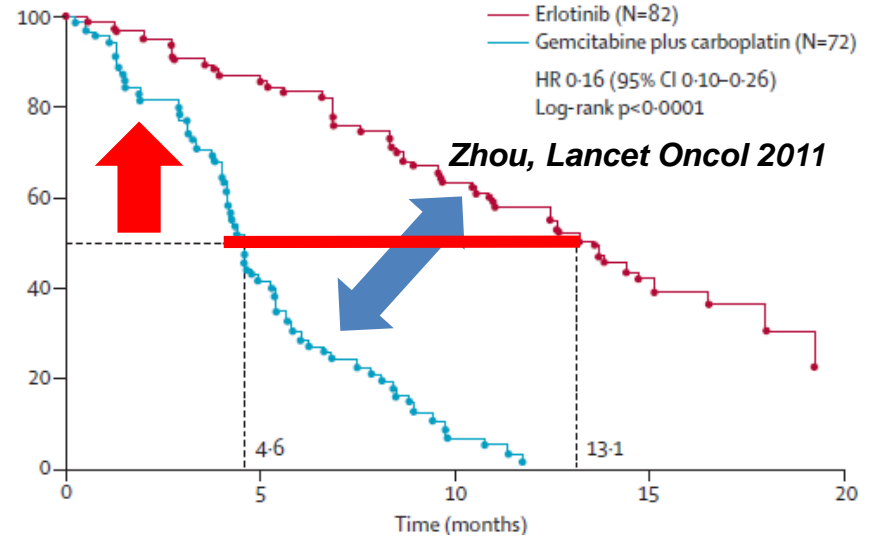
Early Stop for Futility	YES	YES	NO
Correlation with late benefit	YES	NO	NO

# EGFR TKIs versus chemotherapy as 1st-line therapy for EGFR mutant

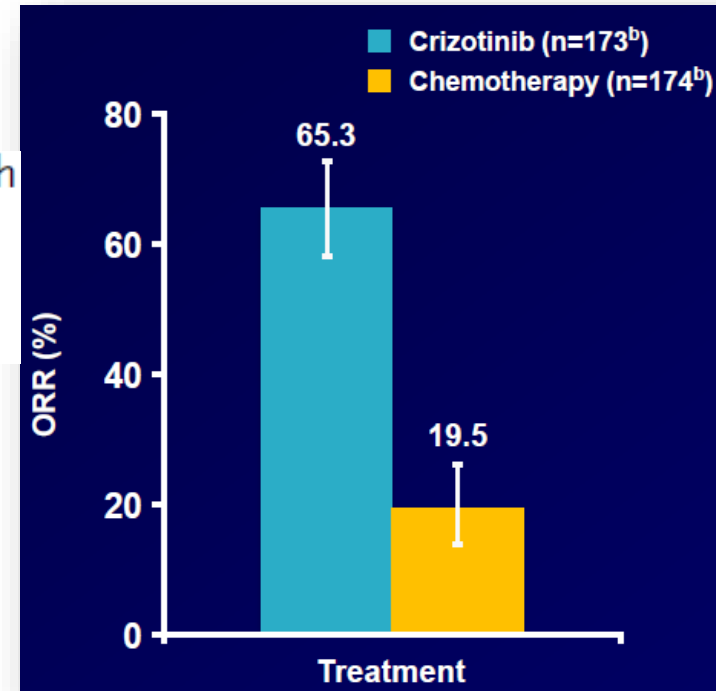
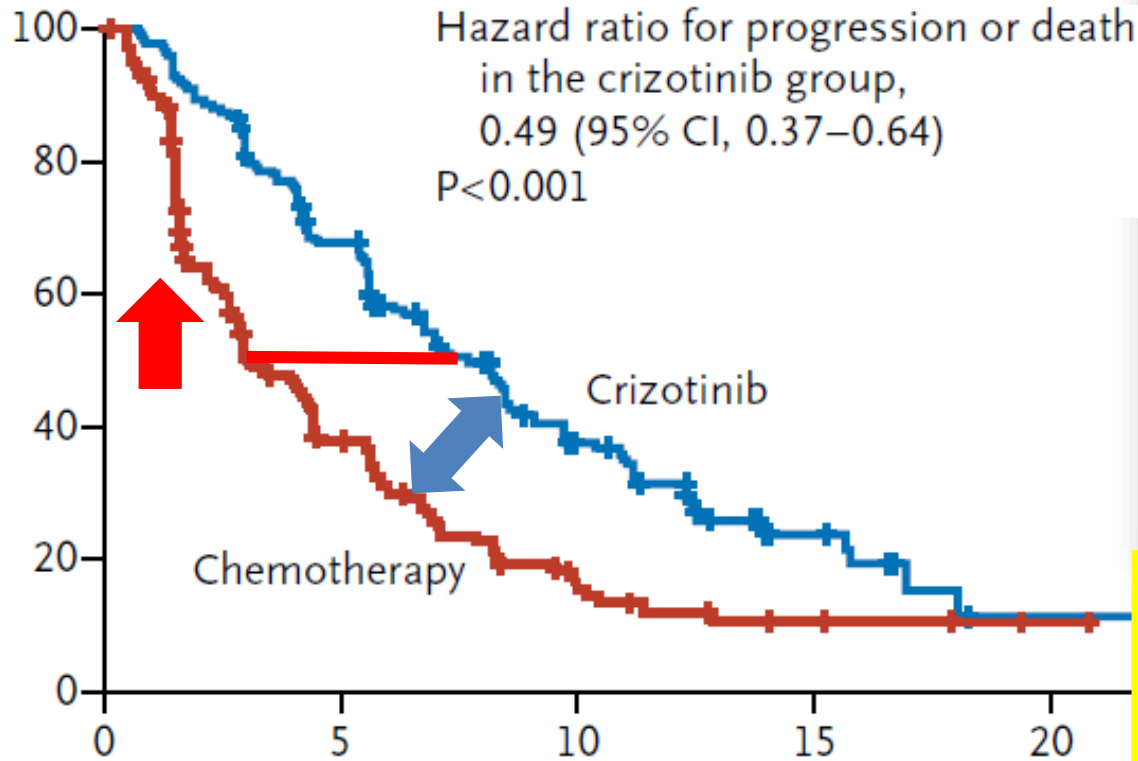
## Gefitinib



## Erlotinib



# Crizotinib versus Chemotherapy in Advanced ALK-Positive Lung Cancer



**Statistical Design**

- Primary endpoint: PFS per independent radiology review
- Sample size: 217 events (PD or death) needed to detect HR of 0.64 (or increase in median PFS from 4.5 to 7 months) at one-sided 2.5% significance level with 90% power

**Clear and strong signal of activity**

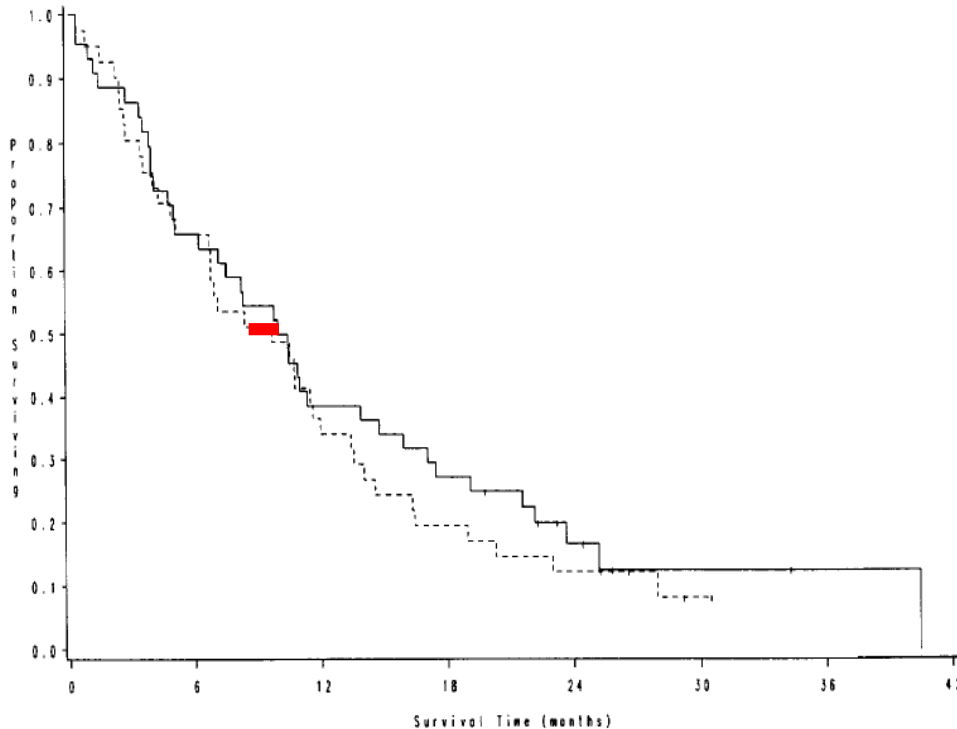
- Objective response is tripled
- PFS is improved by 4,7 months (HR of 0,49)
- Improvement of PFS in almost all subgroups
- Improvement of lung cancer-related symptoms and global QOL

Shaw A et al [PROFILE 007] NEJM 2012

# HR or Medians? Curves' Shapes

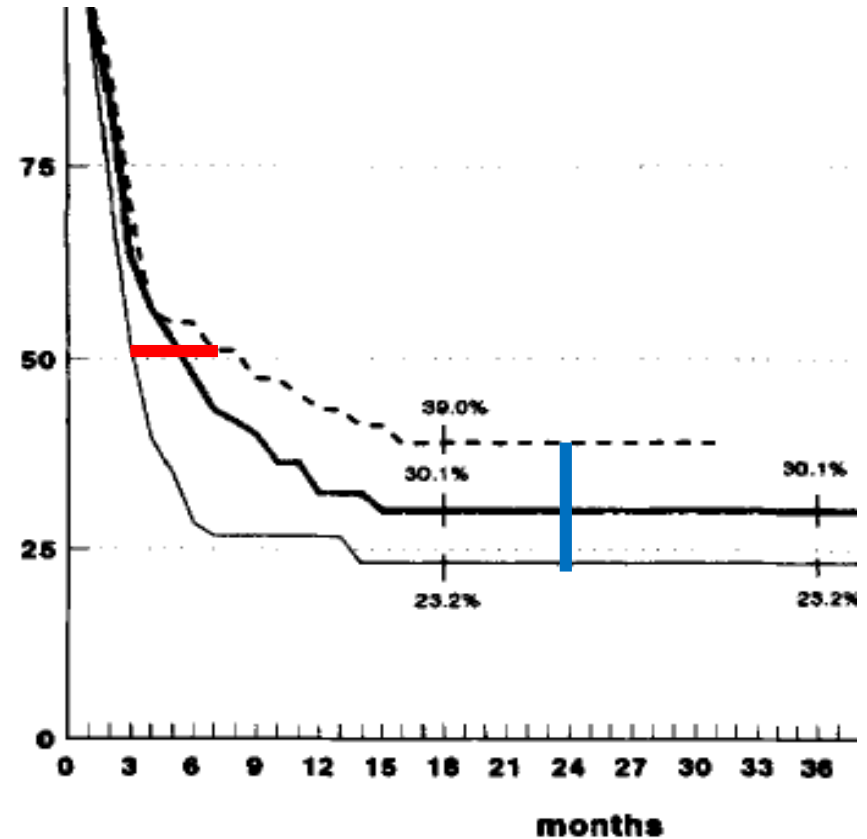
## Is that a modern issue?

IL-2 + IFN- $\alpha$  vs. IL-2



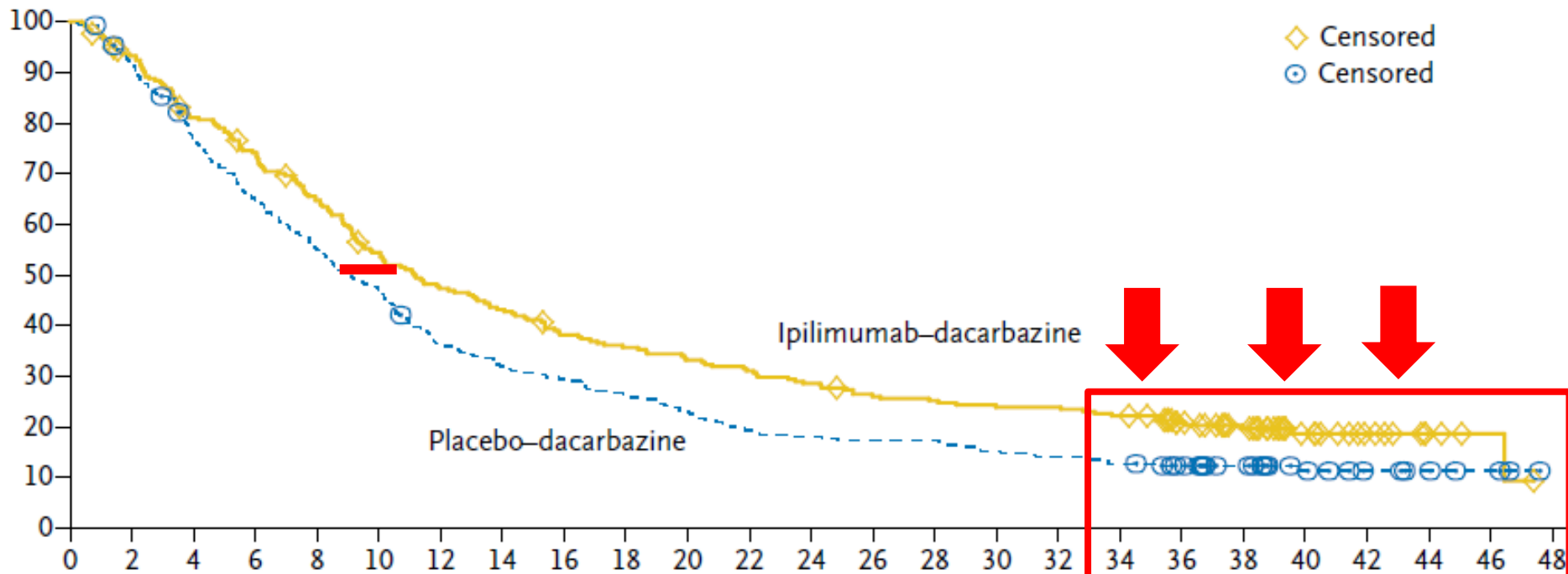
*Sparano JA et al, JCO 1993*

DTIC+rIFN (9mU) vs. DTIC+rIFN (3mU) vs. DTIC+rIFN



*Baietta E et al, JCO 1994*

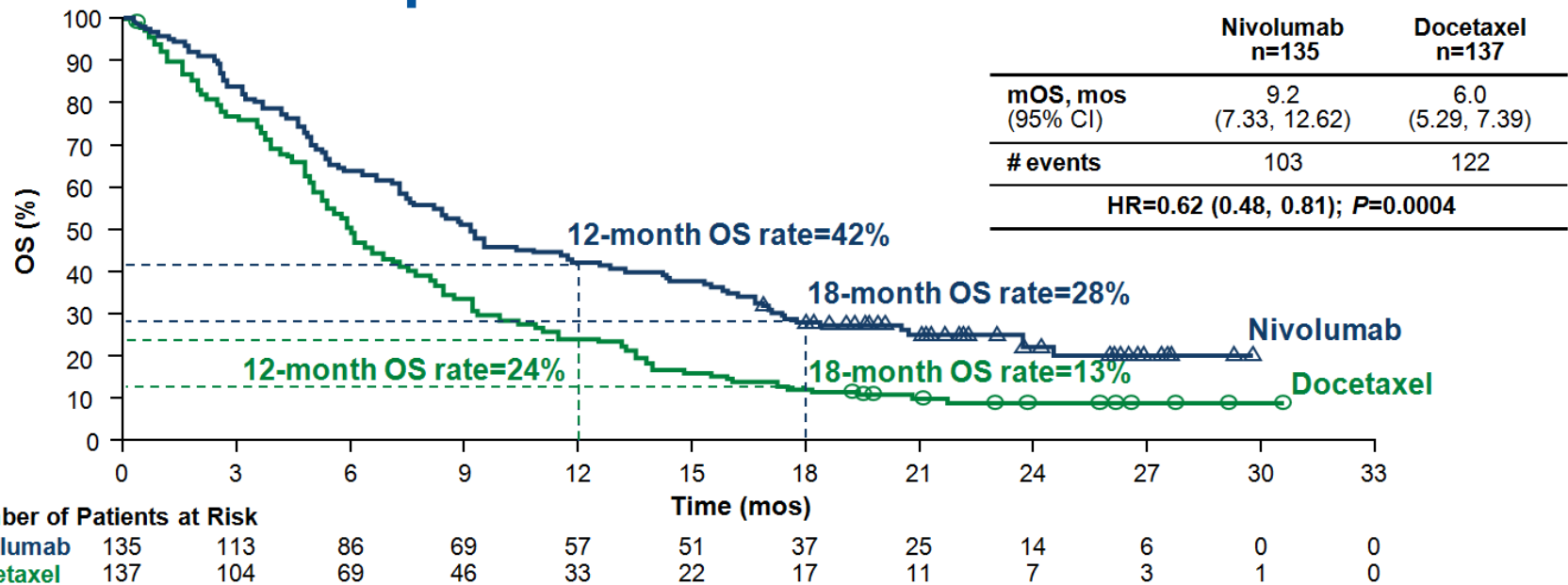
# Ipilimumab plus Dacarbazine for Previously Untreated Metastatic Melanoma



- Late 'rates' better than HRs
- Absolute differences at specific time-points
- NNT

# CheckMate 017: Updated OS Data

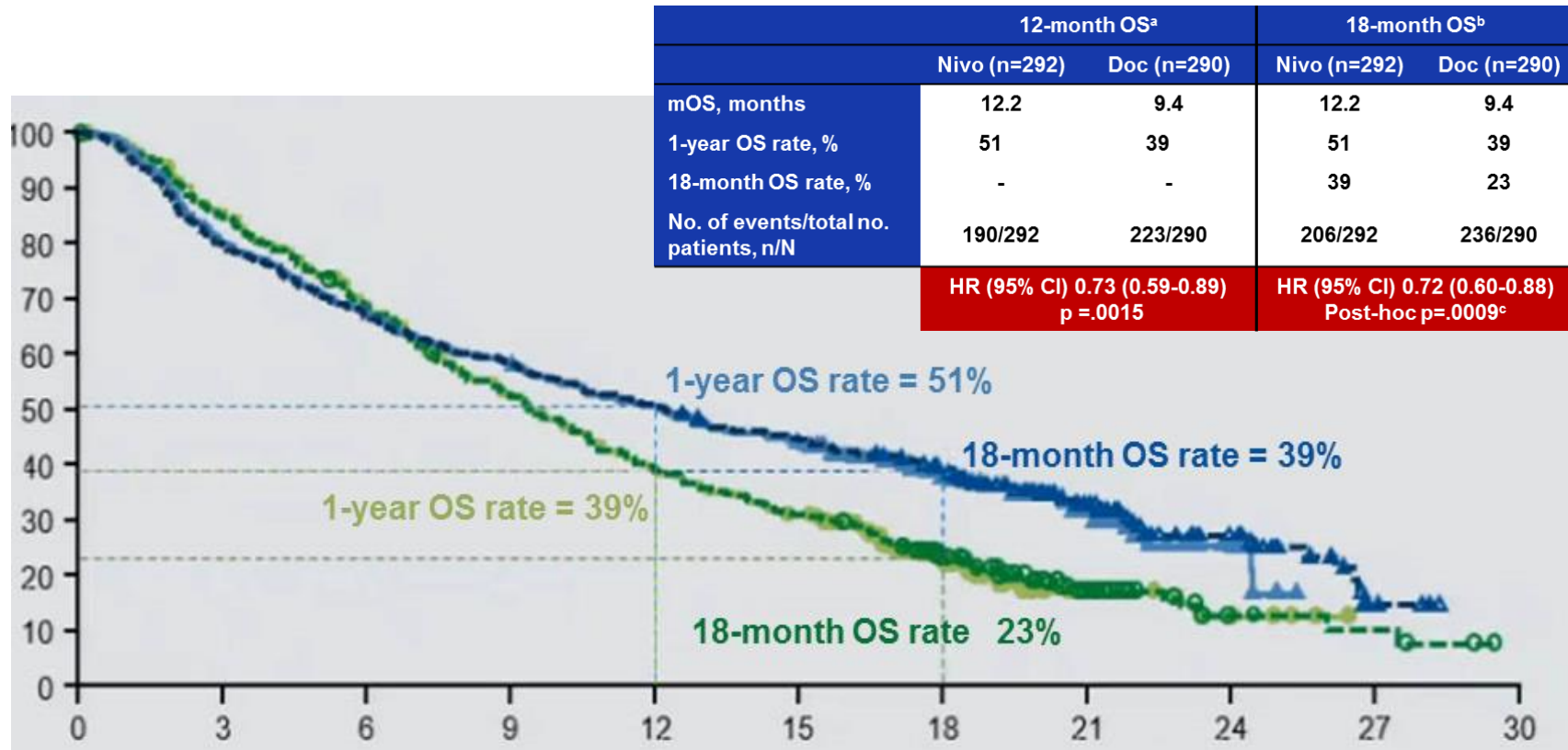
## Updated Overall Survival



Minimum follow-up for survival: 18 months

Based on August 2015 DBL.  
Symbols refer to censored observations.

# CheckMate 057: Updated OS Data



No. at risk (12-month OS)<sup>a</sup>

Nivolumab	292	232	194	169	146	123	62	32	9	0	0
Docetaxel	290	244	194	150	111	88	34	10	5	0	0

No. at risk (18-month OS)<sup>b</sup>

Nivolumab	292	233	195	171	148	128	107	55	27	4	0
Docetaxel	290	244	194	150	111	89	61	23	6	4	0

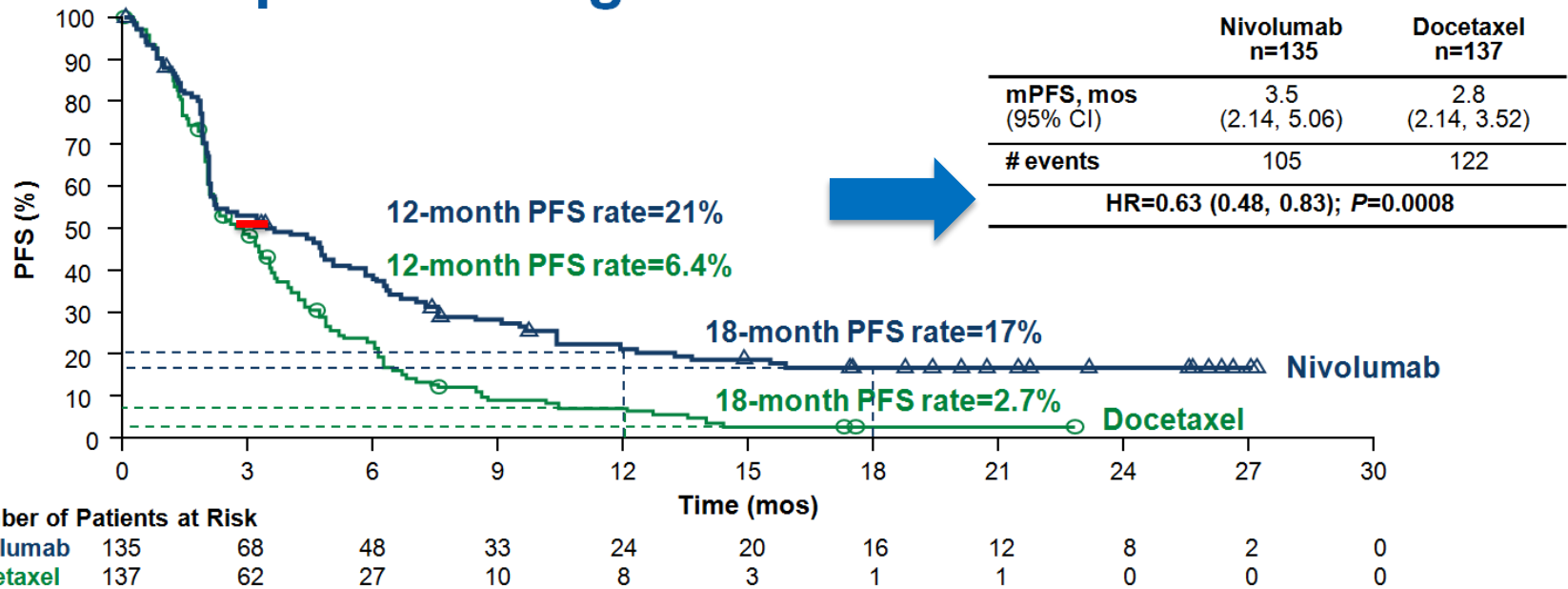
Minimum F.U. for 12-month OS rate, 13.2 months; for 18-month OS rate, 17.1 months

Horn L, et al, ECC 2015



# CheckMate 017: Updated PFS Data

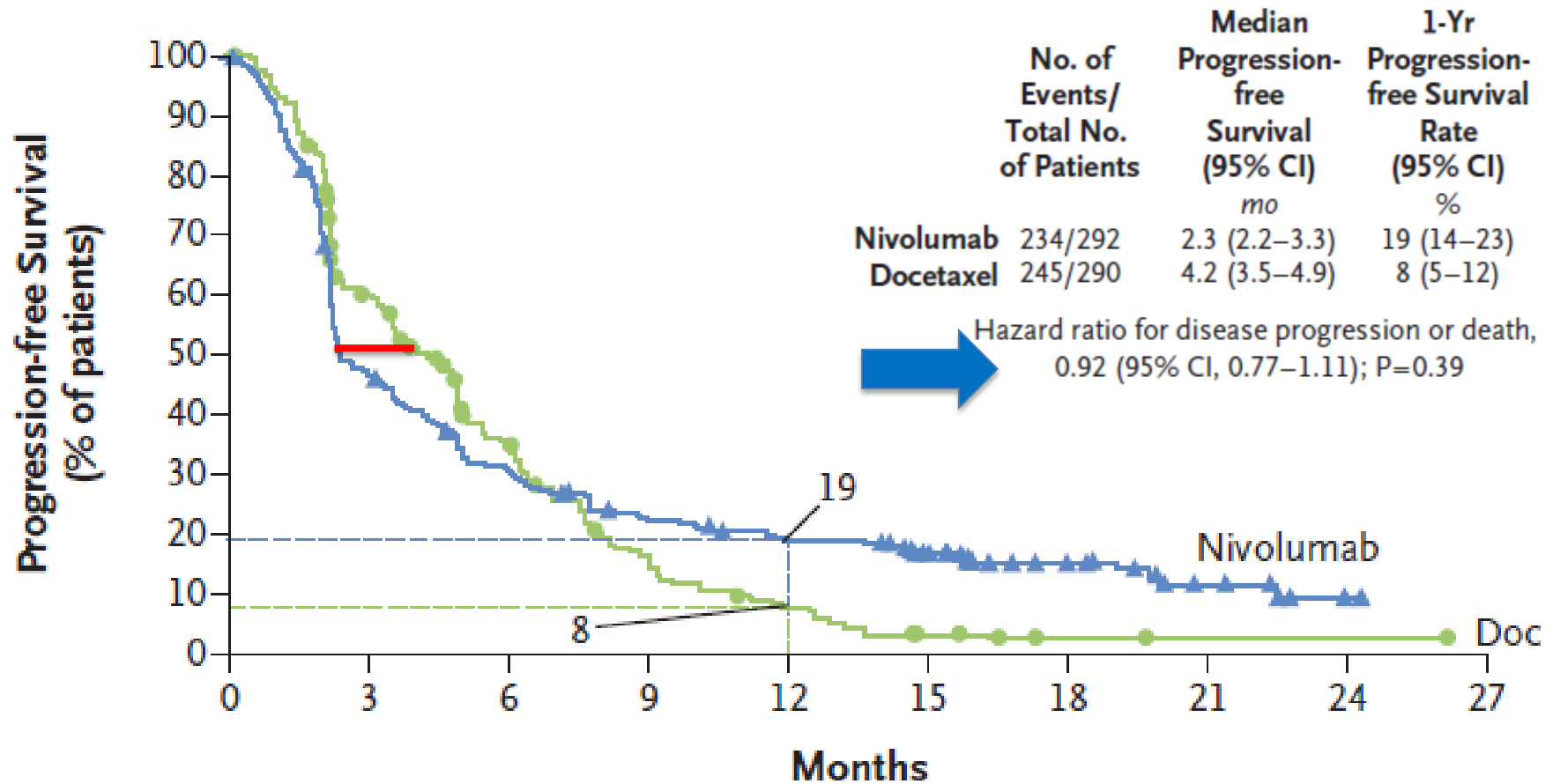
## Updated Progression-free Survival



Minimum follow-up for survival: 18 months

Based on August 2015 DBL.  
Symbols refer to censored observations.

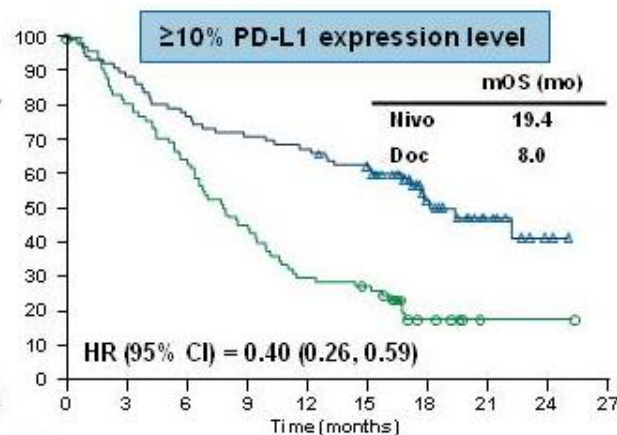
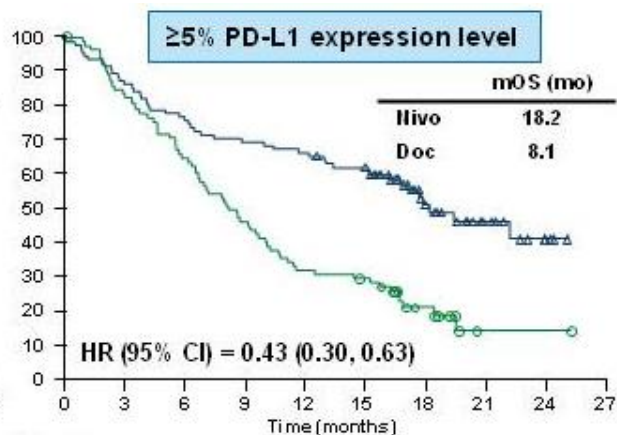
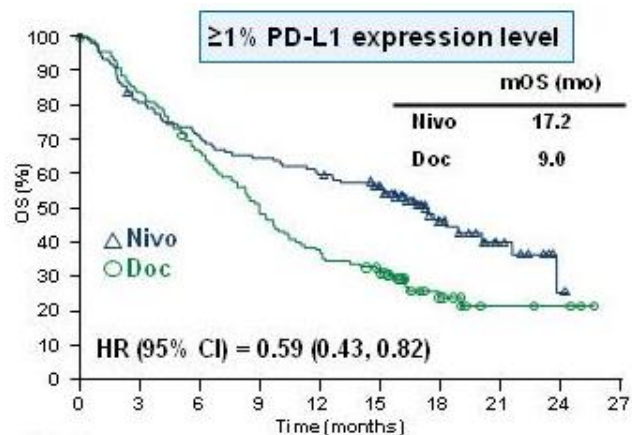
# CheckMate 057: PFS Data



## No. at Risk

Nivolumab	292	128	82	58	46	35	17	7	2	0
Docetaxel	290	156	87	38	18	6	2	1	1	0

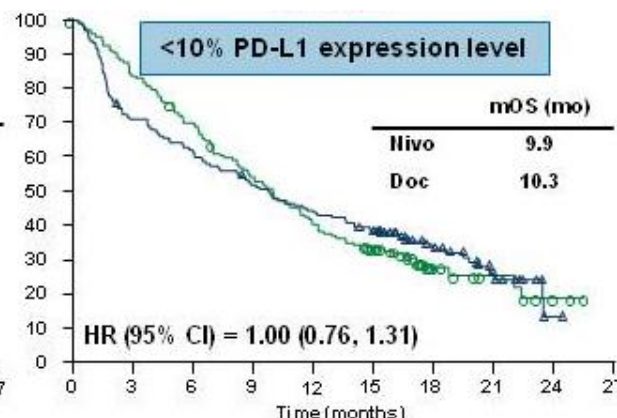
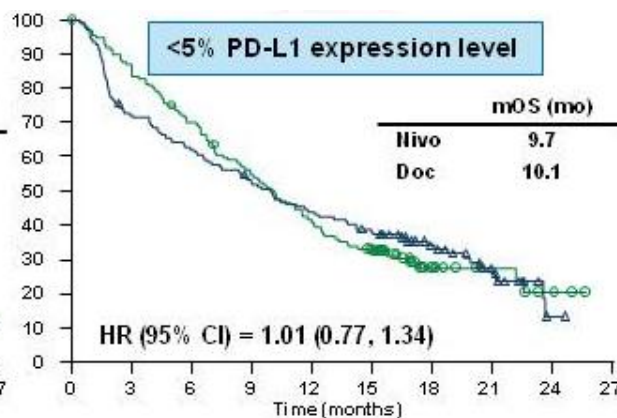
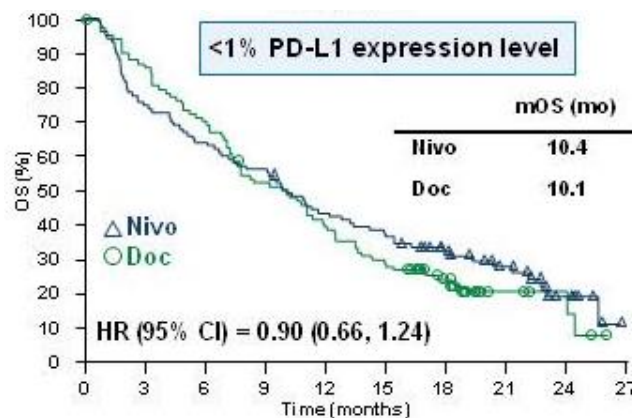
# CheckMate 057: OS According to PD-L1



**HR 0.59**

**HR 0.43**

**HR 0.40**



**HR 0.90**

**HR 1.01**

**HR 1.00**

# Immunotherapy for NSCLC

## 'Comments' upon Methodology

- **Hazard Ratio (HR)**
  - Which model for survival analysis?
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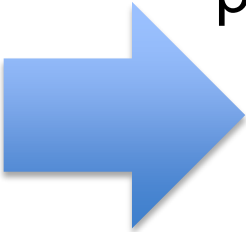


- **'Visual' Maturity**

- 'Tricks' to enlarge HRs.....
- Correlation & Surrogates
- Implications for clinical trials' design
- The '*Two-Fingers*' Rule i.e. the '*quantity*' or .....



# Cancer Survival Analysis

- Thus, patients should be considered:
    - Uncensored:
      - Subjects who are observed until they reach the endpoint of interest (i.e. recurrence or death).
    - Censored :
      - Those patients who survived beyond the end of the follow-up or who are lost to follow-up at some point.
-  – Censoring: the loss of subjects from a study before the events of interest has occurred.

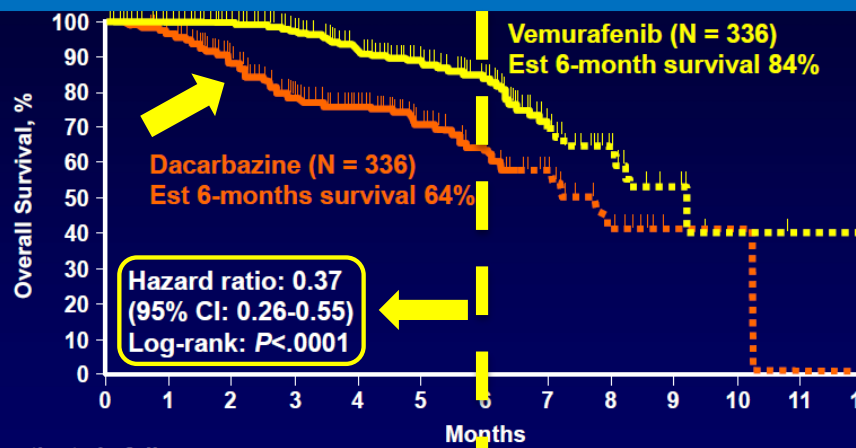
*Source: AJCC Cancer Staging Manual, 7th Edition 2010;  
Everitt BS, Medical Statistics from A to Z, Ed. 2003*

# Immunotherapy for NSCLC

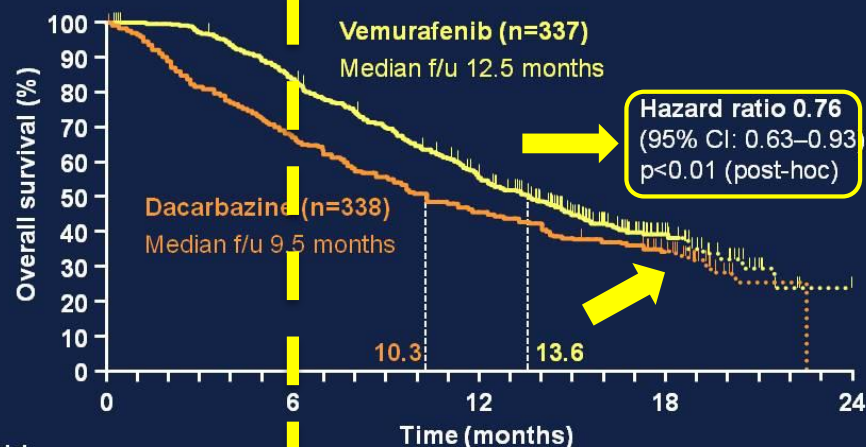
## 'Comments' upon Methodology: MATURITY

Data Cut-Off: Dec 2010

Data Cut-Off: Feb 2012



No. of patients in follow-up	
Dacarbazine	336 283 192 137 98 64 39 20 9 1 1
Vemurafenib	336 320 266 210 162 111 80 35 14 6 1



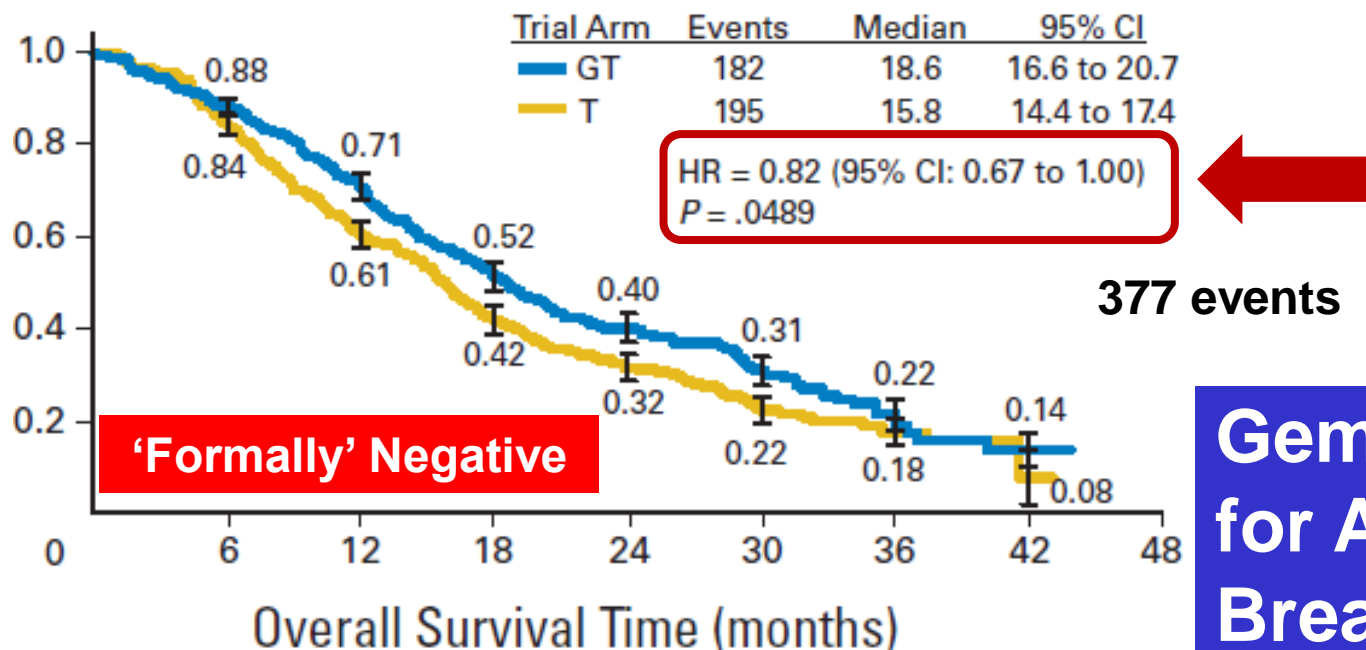
No. at risk	
Dacarbazine	338 255 211 173 136 81 34 6 0
Vemurafenib	337 326 280 231 178 109 44 7 1

- HR 0.37
- Majority of Censored WITHIN 6 months (Left Side)
- Majority of Censored in the Experimental Arm

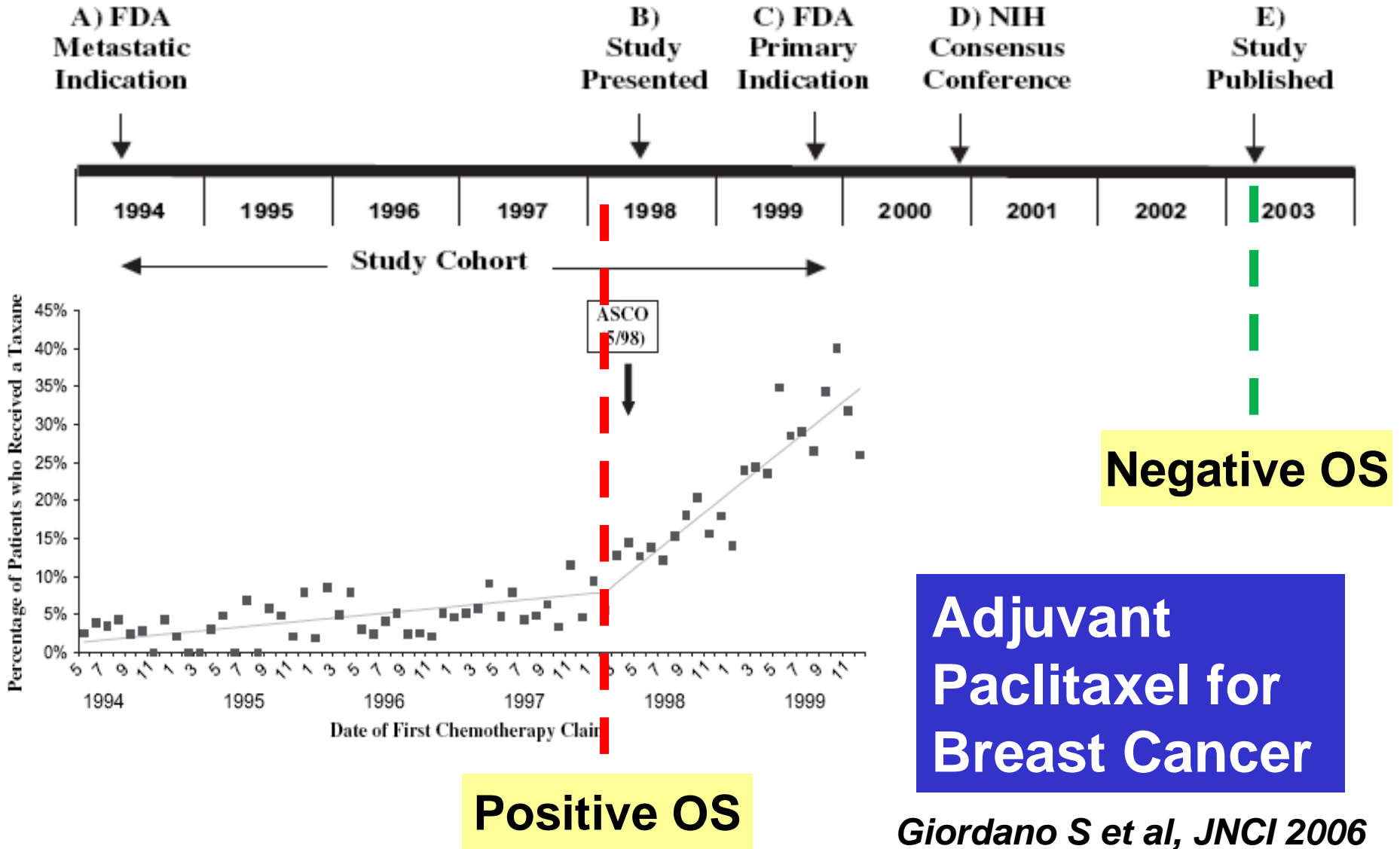
- HR 0.76
- Majority of Censored AFTER 12 months (Right Side)
- Majority of Censored in the Experimental Arm

# Data Maturity: CRUCIAL FOR: **AGENCIES' APPROVAL**

- Designed for HR 0.75 (for PFS and OS), power 80%
- First Analysis:  $p=0.028$  (PFS) and  $p=0.03$  (OS) BUT power 75%
  - Nevertheless, FDA Fast Track APPROVAL (2004)
- Finally, FDA requires only OS (HR 0.75), censoring rate  $<30\%$  ( $<158$  censored)

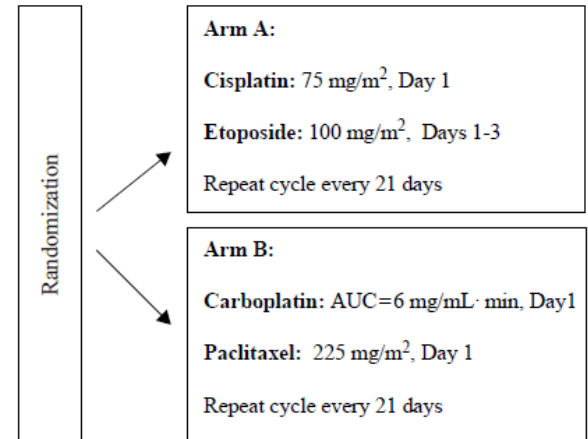
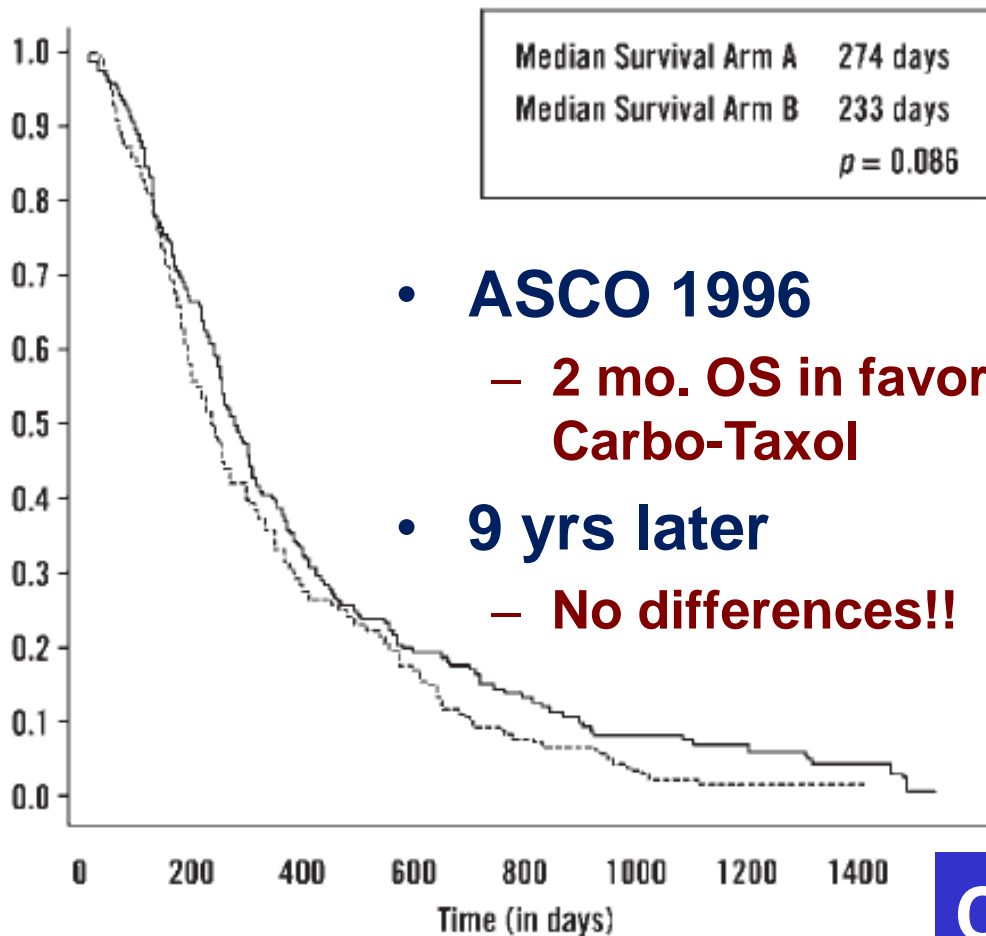


# Data Maturity: CRUCIAL FOR: DRUGS' MARKETING





# Data Maturity: CRUCIAL FOR: DEFINITION OF STANDARDS & CONTROL ARMS



**Carbo-Taxol for NSCLC**

*Belani C et al, Ann Oncol 2006*

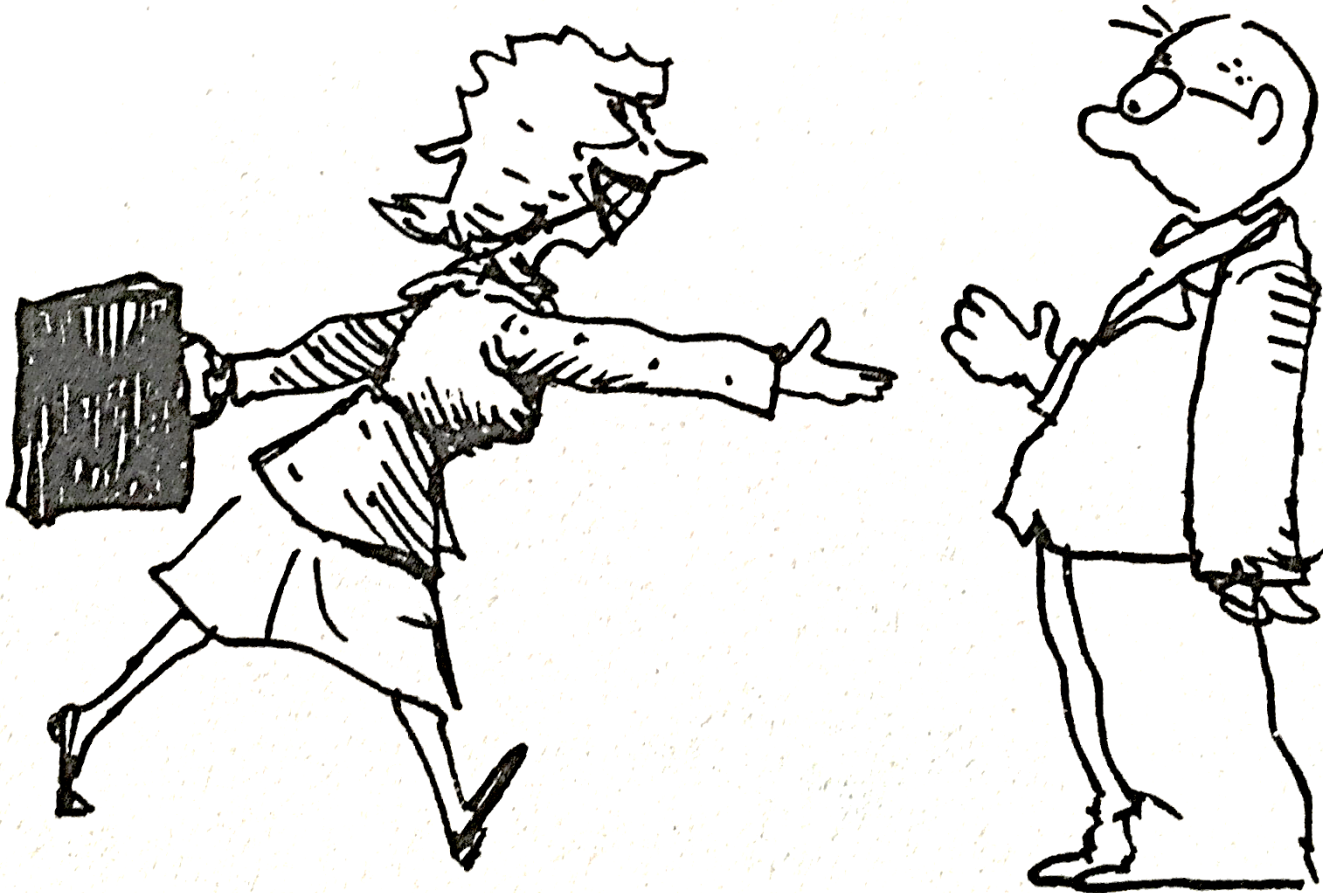
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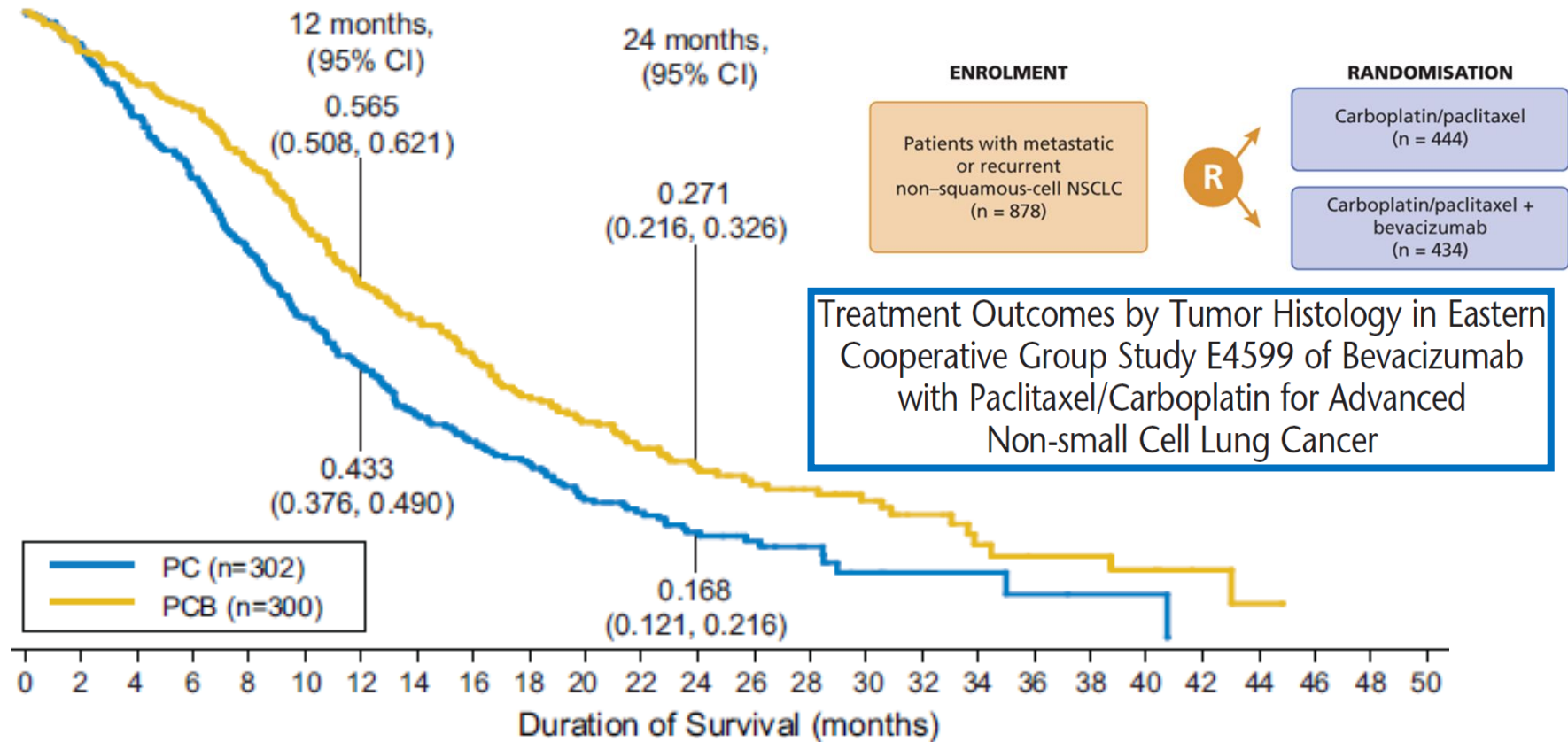


DO YOU HAVE ADEQUATE  
STATISTICAL MALPRACTICE  
INSURANCE?



# ‘Seeking’ for a Larger benefit

## Subgroup Analysis



**Larger HR.....but no significant interaction according to Histology!**

# Immunotherapy for NSCLC

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# Immunotherapy for NSCLC

## 'Comments' upon Methodology: CORRELATION

### *What if this model?*



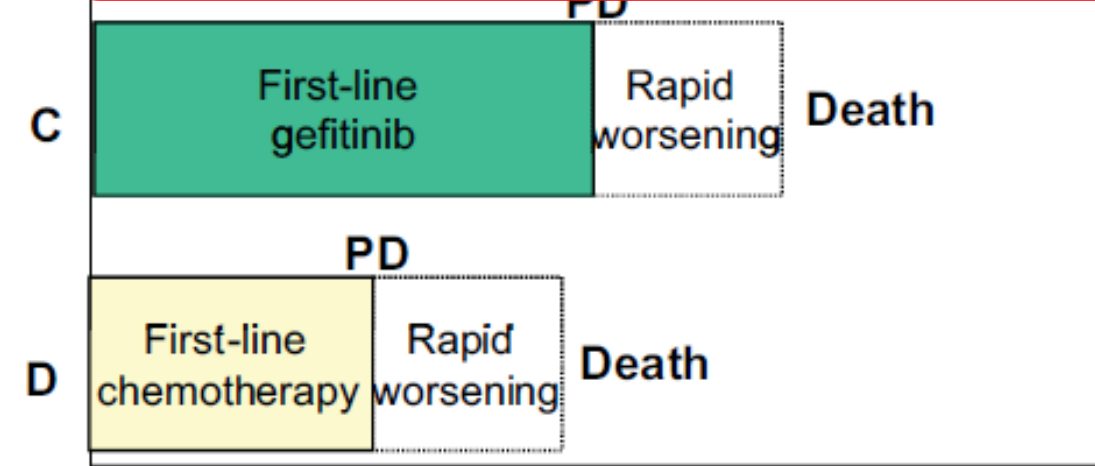
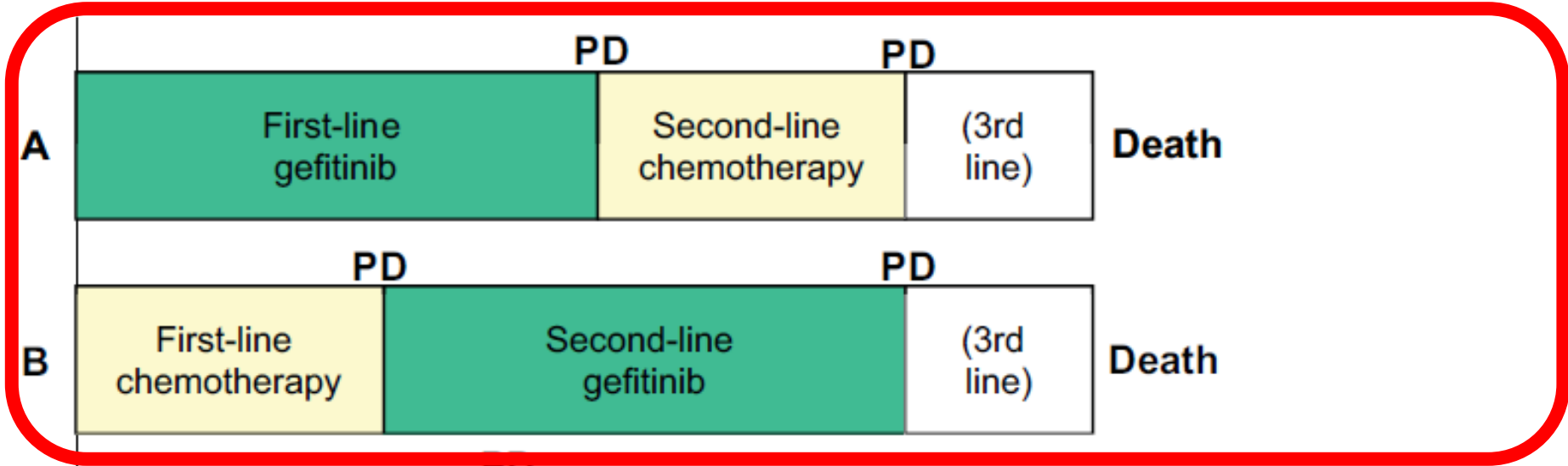
**Crossover between treatment groups allowed!**

- Experimental treatment
- Standard treatment
- Other treatment for relapse

- Progression
- Death

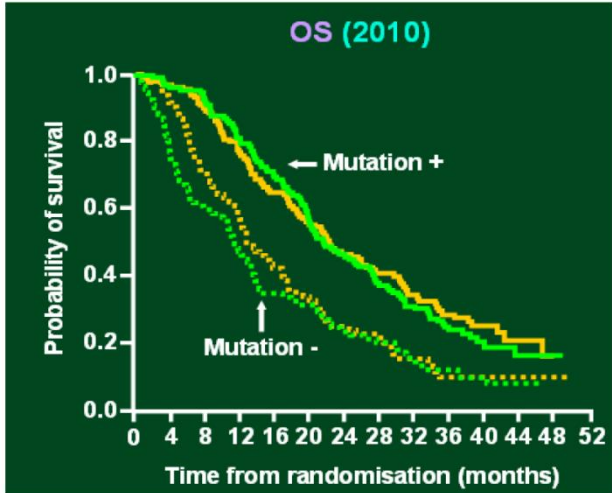
*MODIFIED - Booth CM, et al, JCO 2011*

# Gefitinib as first-line treatment for patients with advanced non-small-cell lung cancer with activating epidermal growth factor receptor mutation: Review of the evidence

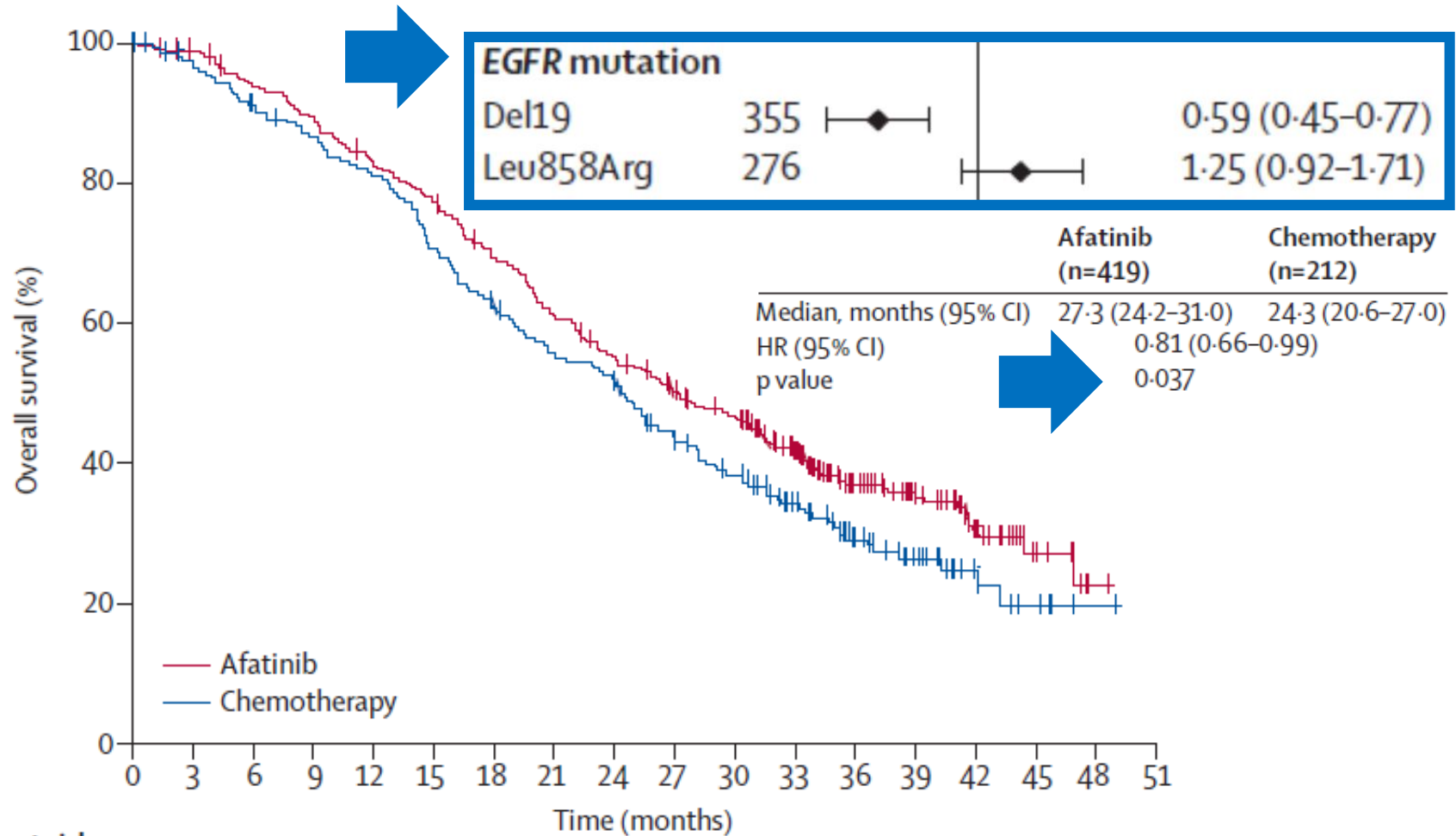


Theoretical survival

Intrinsic Prognostic value of EGFR mutation



# 'Combining' LUX-Lung 3 + 6: Overall Survival [Common EGFR mutation population]



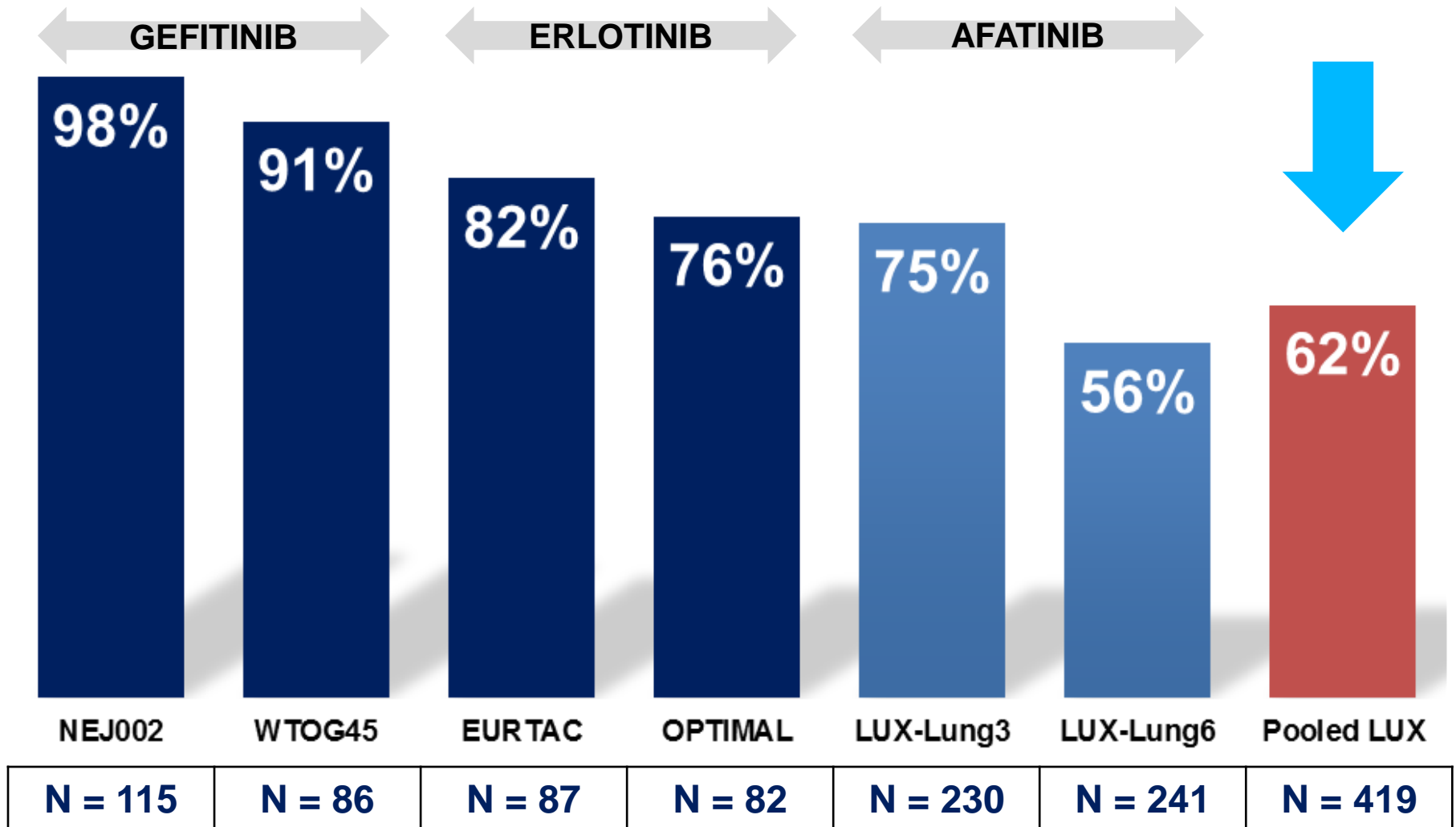
## Number at risk

Afatinib	419	411	390	371	343	320	284	251	225	201	181	141	77	58	33	9	1	0
Chemotherapy	212	199	185	173	162	141	124	110	101	83	70	52	34	23	10	5	1	0



# [Prospective RCTs with EGFR-TKIs]

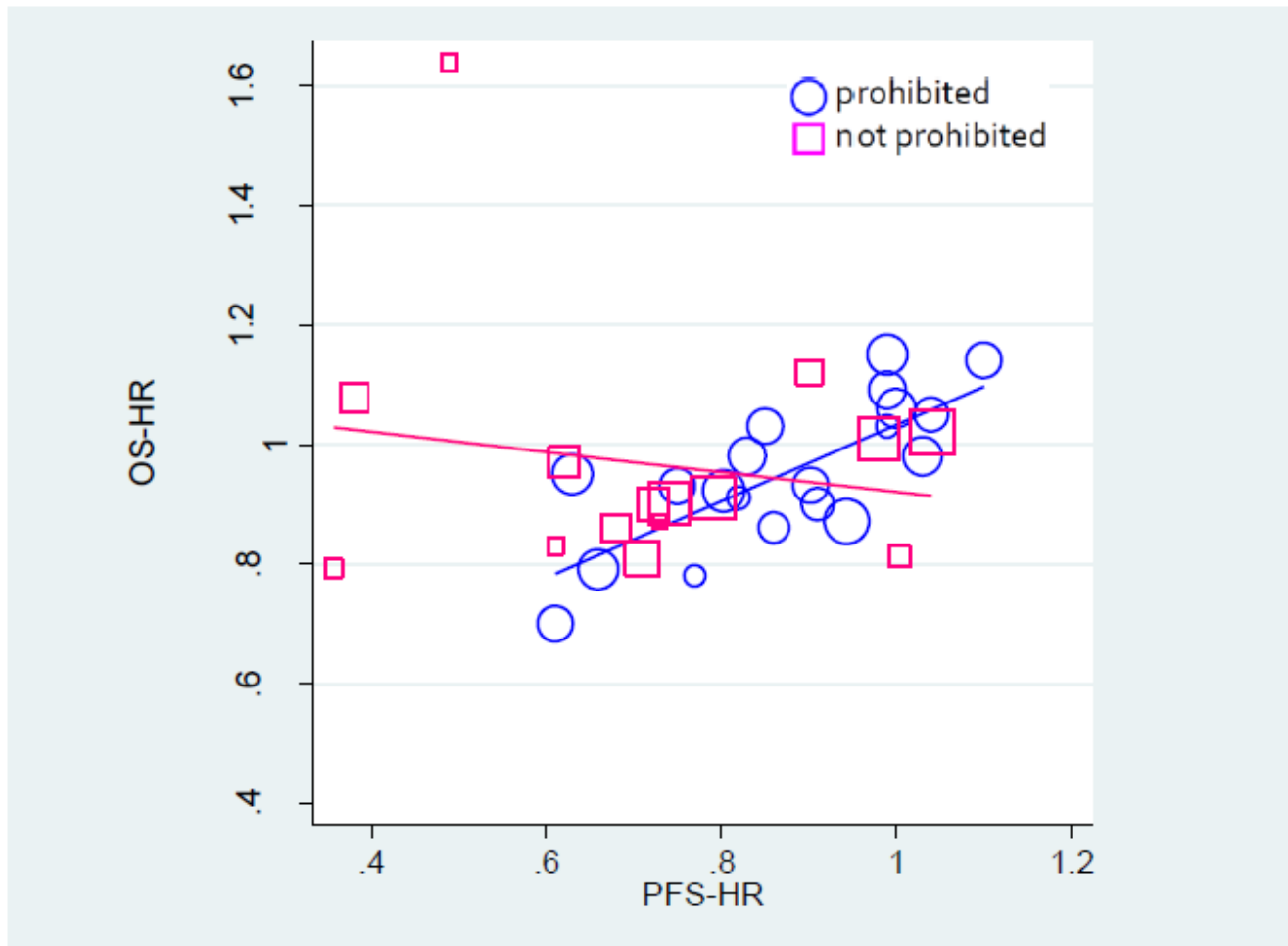
## Cross-Over Rates



*Modified from West J, ASCO 2014*

# Impact of cross-over on correlation between PFS and OS

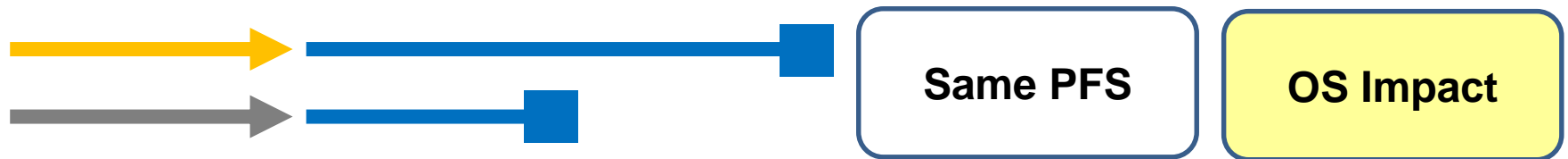
Trials of targeted agents in advanced NSCLC



# Immunotherapy for NSCLC

## 'Comments' upon Methodology: CORRELATION

### *What if this model?*



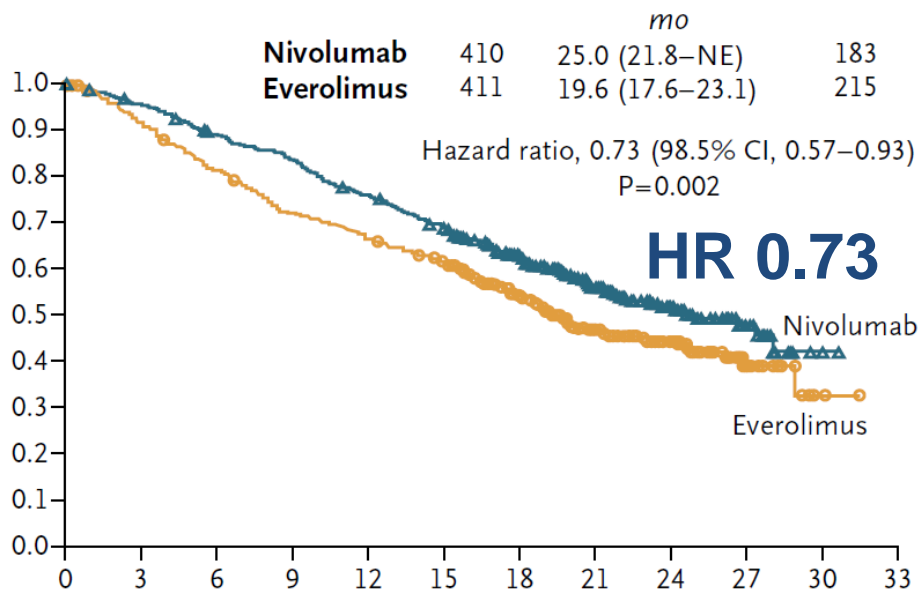
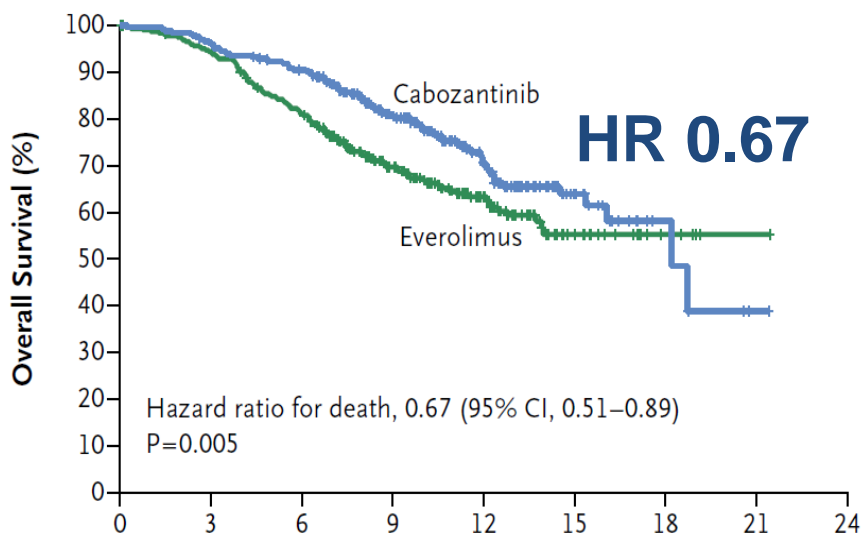
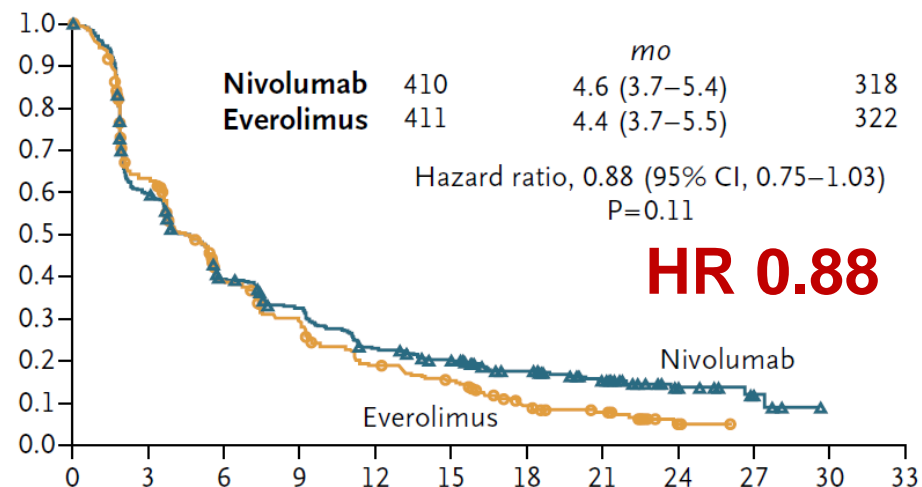
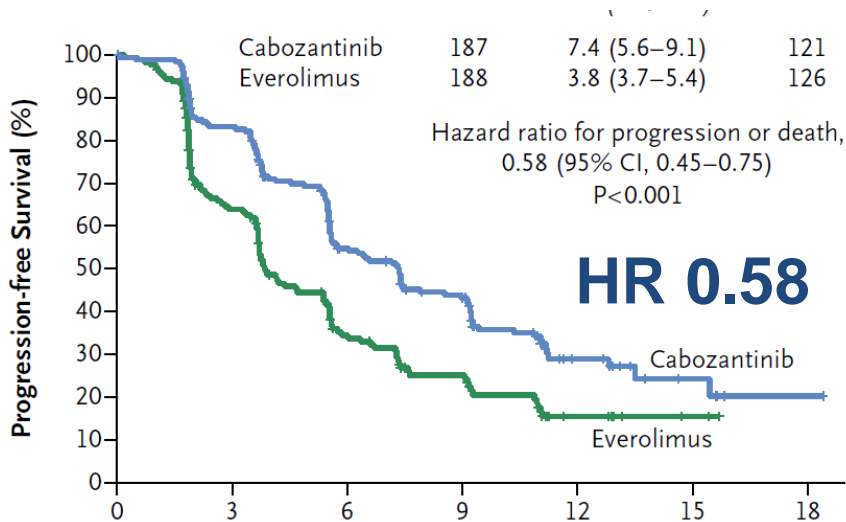
**Crossover between treatment groups not allowed!**

- Experimental treatment
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- Other treatment for relapse

- Progression
- Death

*MODIFIED - Booth CM, et al, JCO 2011*

# Same disease [RCC], same OS benefit, same NEJM issue!



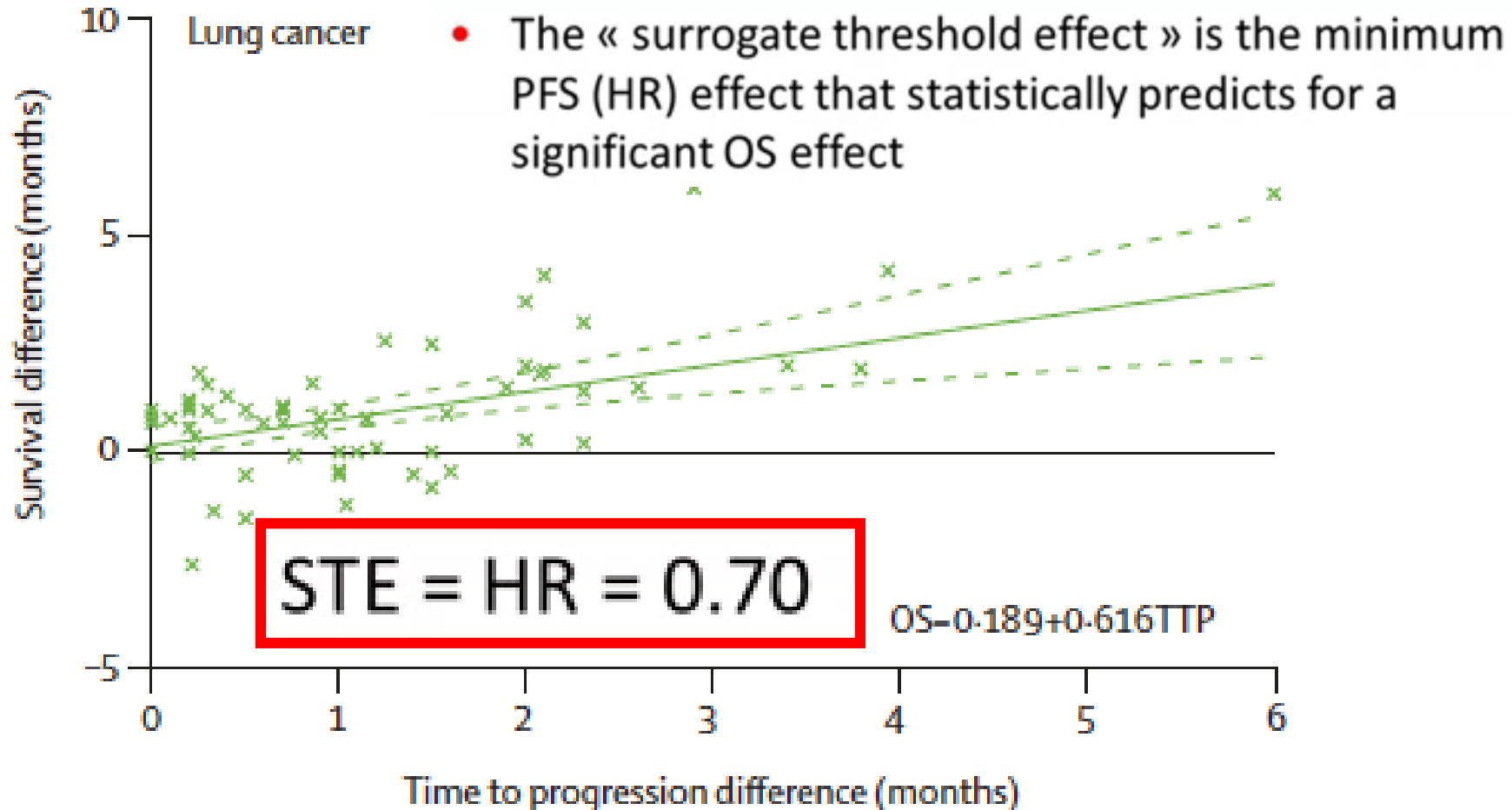
# Immunotherapy for NSCLC

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# How much PFS gain do we need to impact upon OS?

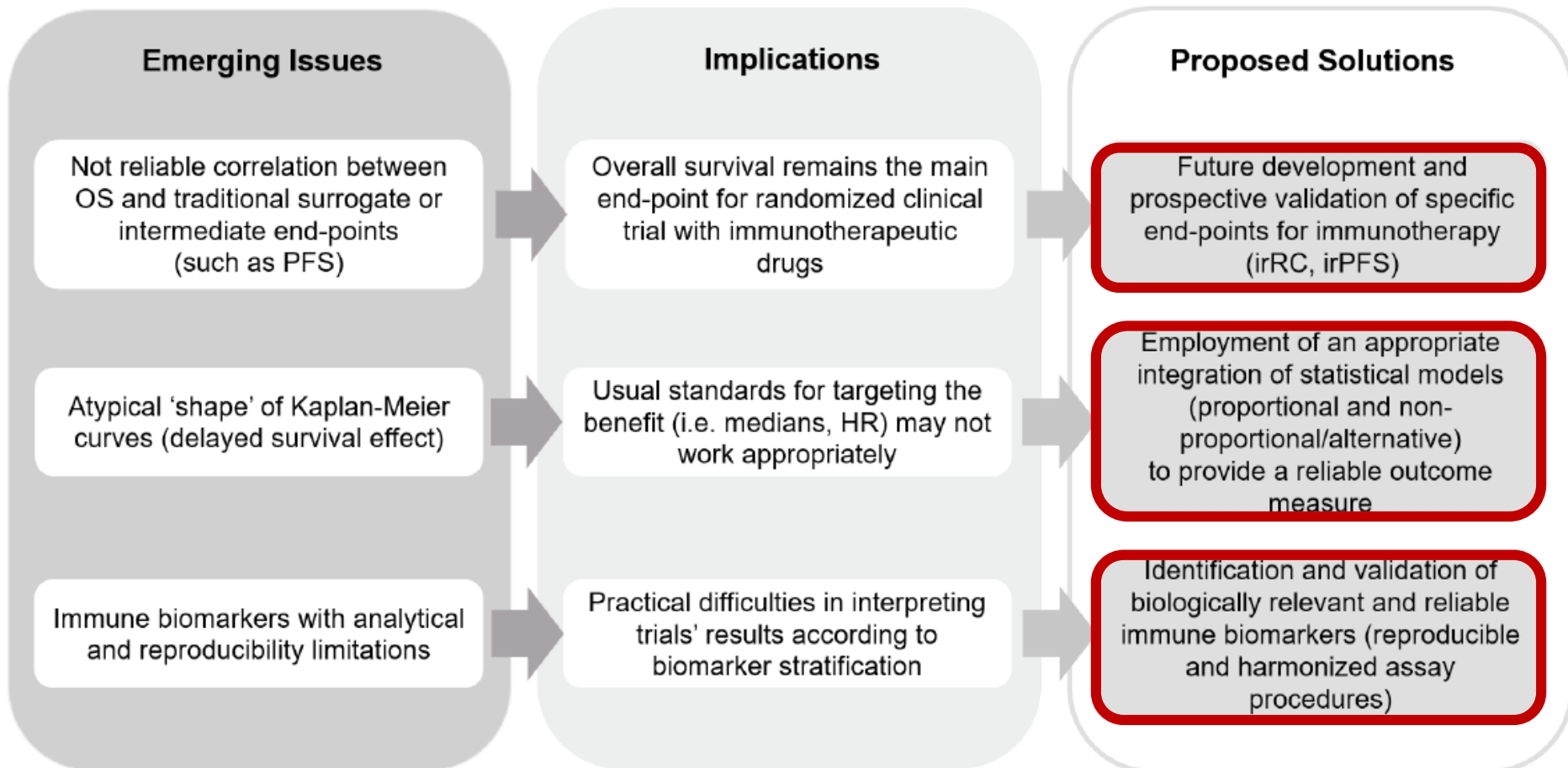


- Hence, in future trials, a reduction of at least 30% in the risk of progression (or death) would predict a significant effect on survival *Lancet Oncol 2006;7:741-46*

# Immunotherapy for NSCLC

## 'Comments' upon Methodology:

### PERSPECTIVES for Clinical Trial Design



# Immunotherapy for NSCLC

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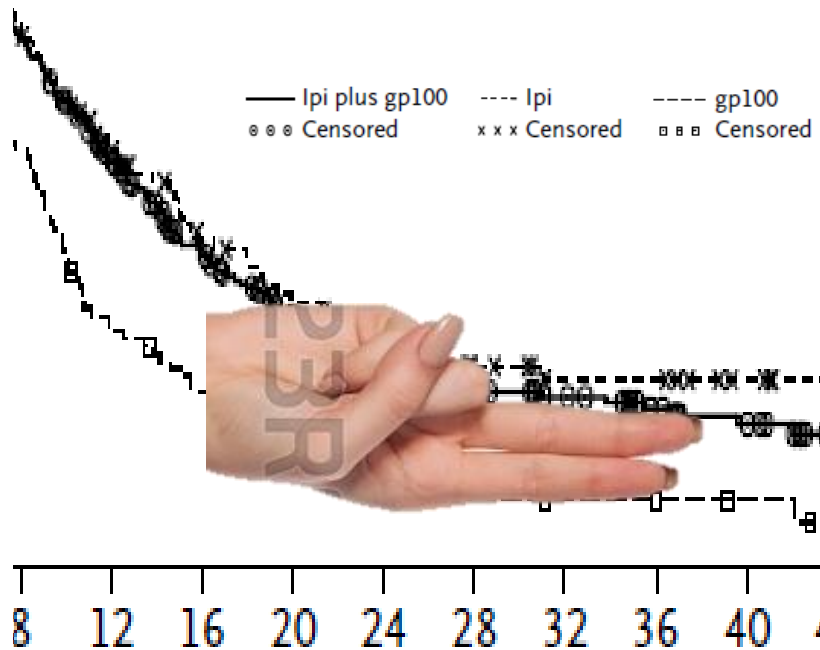


- 
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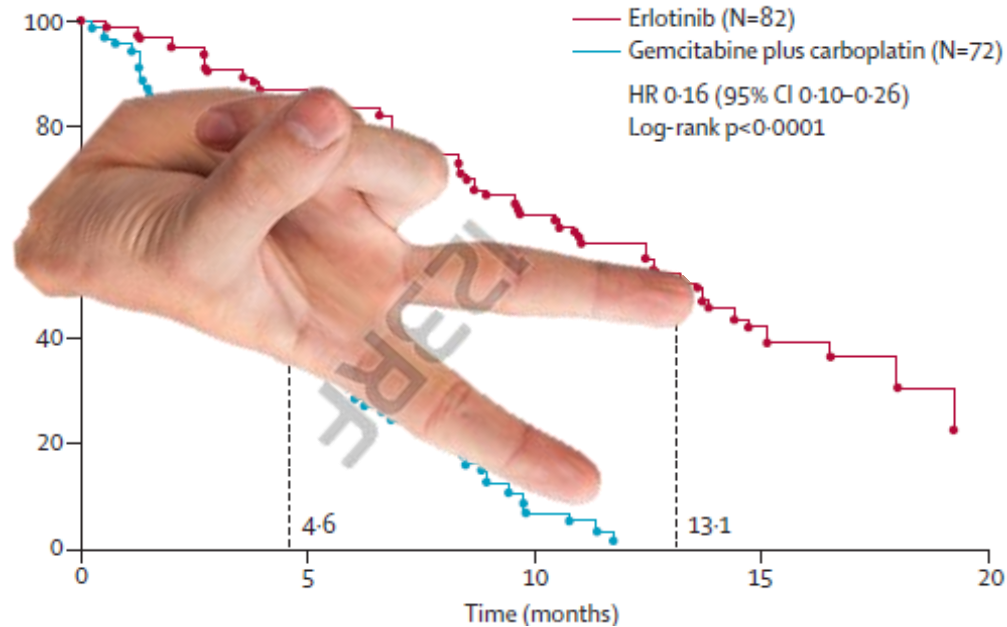


# The 'Two-Fingers' Rule

- Clinically Meaningful Data if 'at least' two fingers separates curves!



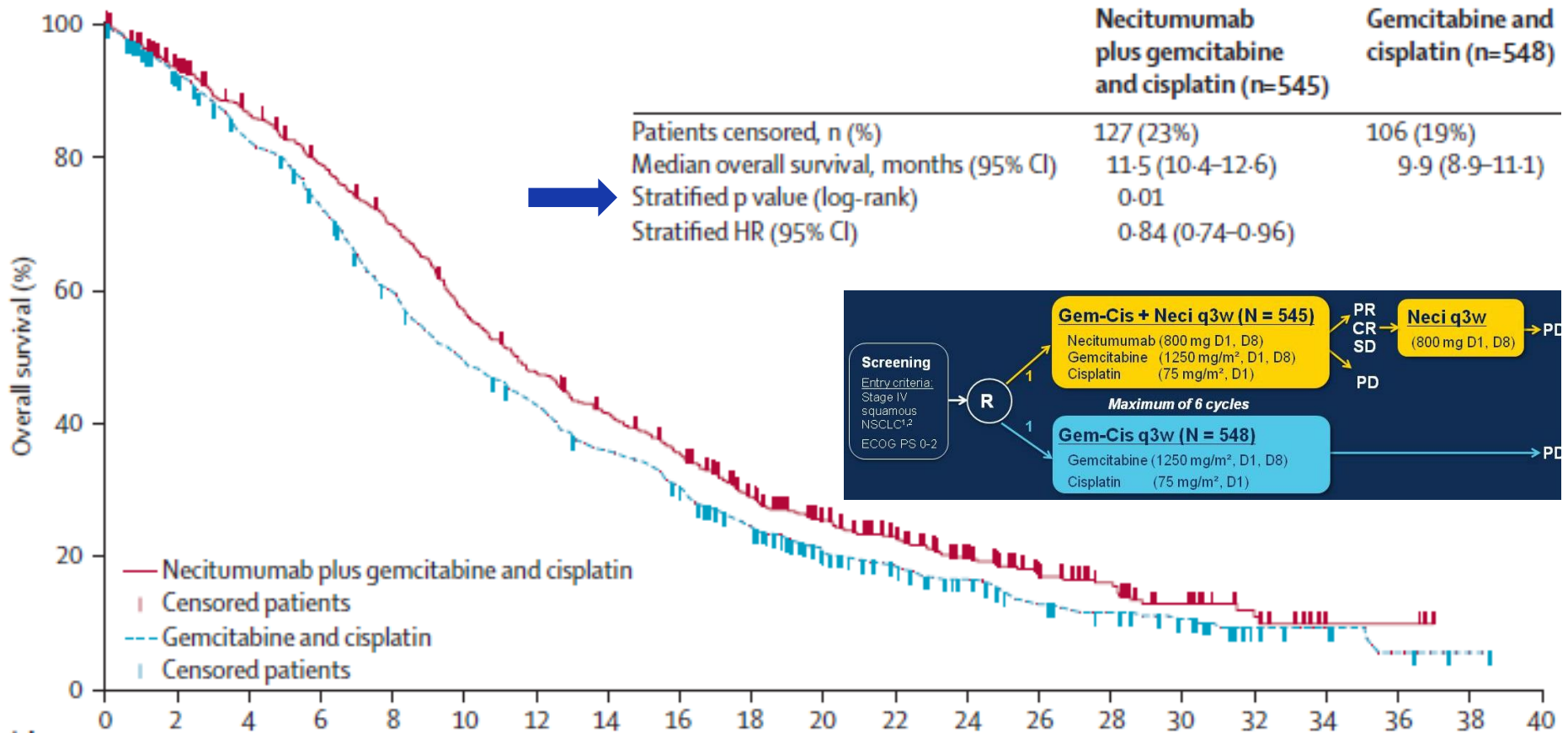
Hodi et al, NEJM 2010



Zhou et al [OPTIMAL], Lancet Oncol 2011

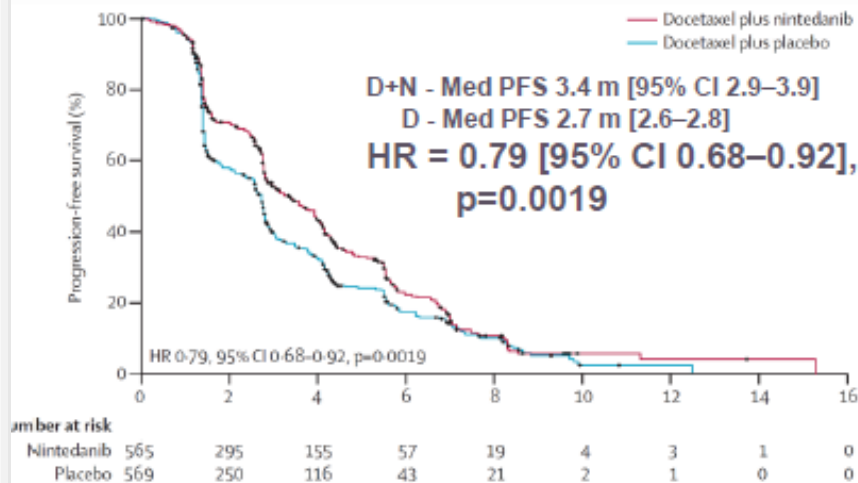
# HR or Median? Curves' Shapes

## What if statistically significant but.....



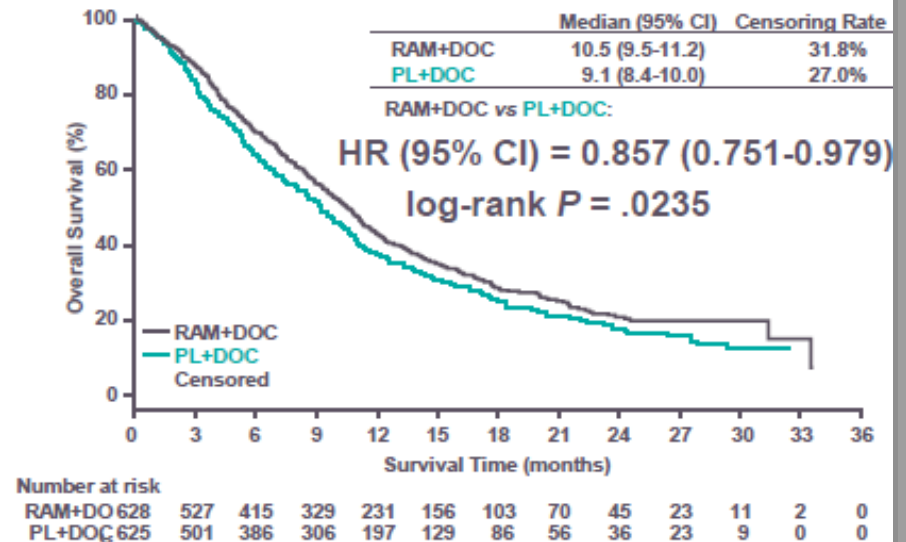
# Anti-angiogenic agents in 2<sup>nd</sup> line?

## PFS - Docetaxel +/- nintedanib (VEGFR TKI)



**OS benefit in adenocarcinoma**  
**PFS benefit in refractory pts**  
**(HR= 0.67 (0.43-1.04,p=0.0725)).**

## OS - Docetaxel +/- ramucirumab (VEGFR2 Ab)



**OS benefit in SCC and non SCC**

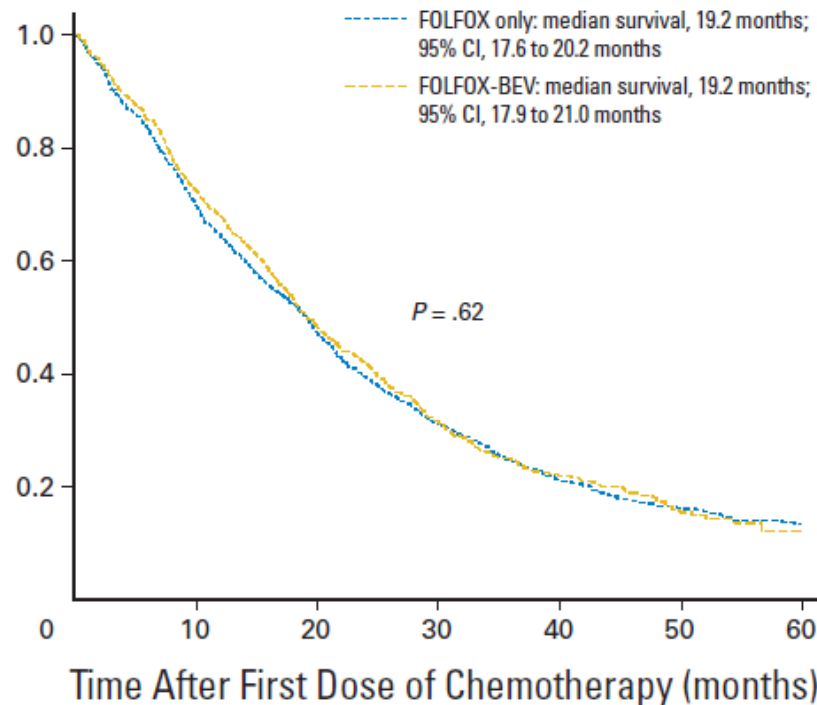
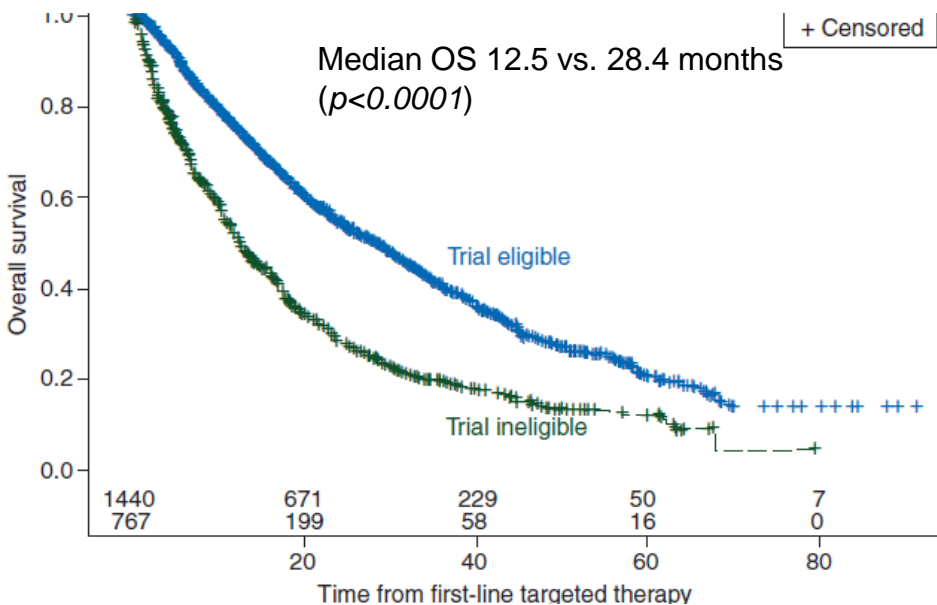
# Targeted Therapy Performance in the 'Real World'

**Outcomes of patients with metastatic renal cell carcinoma that do not meet eligibility criteria for clinical trials**

Effectiveness of Bevacizumab With First-Line Combination Chemotherapy for Medicare Patients With Stage IV Colorectal Cancer

**Trials' *Ineligible* Pts vs. *Eligible* (all receiving targeted agents)**

**Addition of Bevacizumab to FOLFOX, 'Registry' Context**



# Key-Concepts for Clinical Trials

## What do we assess in clinical trials?

- Activity:

- ability of the treatment to induce modifications of the disease thanks to which it is assumed that the patient may have a benefit **[Phase II]**

- Efficacy:

- ability of the treatment to induce a clinical benefit in patients who are administered *in an experimental context* **[Phase III]**

- Effectiveness:

- ability of a treatment to be effective in a *non-experimental, concrete and coincident with the clinical practice* **[are Phase IV, 'Real World' Data]**

# Key Elements of Quality Health Care Delivery

- **Safety**
- **Effectiveness**
- **Cost**
- **Readily measured, ascertainable from high-quality medical evidence, and central to the mission of the clinical oncologist.**
- **Patient centeredness**
- **Timeliness**
- **Efficiency**
- **Equity**
- **Not as easily measured and are only rarely reported as outcomes of clinical trials.**

# American Society of Clinical Oncology Perspective: Raising the Bar for Clinical Trials by Defining Clinically Meaningful Outcomes

## Recommended Targets for Meaningful Clinical Trial Goals



Cancer Type	Patient Population	Current Baseline Median OS (months)	Primary End Point		Secondary End Point	
			Improvement Over Current OS That Would Be Clinically Meaningful (months)	Target HRs	Improvement in 1-Year Survival Rate (%)*	Improvement in PFS (months)
Pancreatic cancer	FOLFIRINOX-eligible patients	10 to 11 <sup>19</sup>	4 to 5	0.67 to 0.69	48 → 63	4 to 5
Pancreatic cancer	Gemcitabine or gemcitabine/nab-paclitaxel-eligible patients	8 to 9 <sup>20,21</sup>	3 to 4	0.6 to 0.75	35 → 50	3 to 4
Lung cancer	Nonsquamous cell carcinoma	13 <sup>22</sup>	3.25 to 4	0.76 to 0.8	53 → 61	4
Lung cancer	Squamous cell carcinoma	10 <sup>23</sup>	2.5 to 3	0.77 to 0.8	44 → 53	3
Breast cancer	Metastatic triple negative, previously untreated for metastatic disease	18 <sup>24,25</sup>	4.5 to 6	0.75 to 0.8	63 → 71	4
Colon cancer	Disease progression with all prior therapies (or not a candidate for standard second- or third-line options)	4 to 6 <sup>26</sup>	3 to 5	0.67 to 0.67	25 → 35	3 to 5

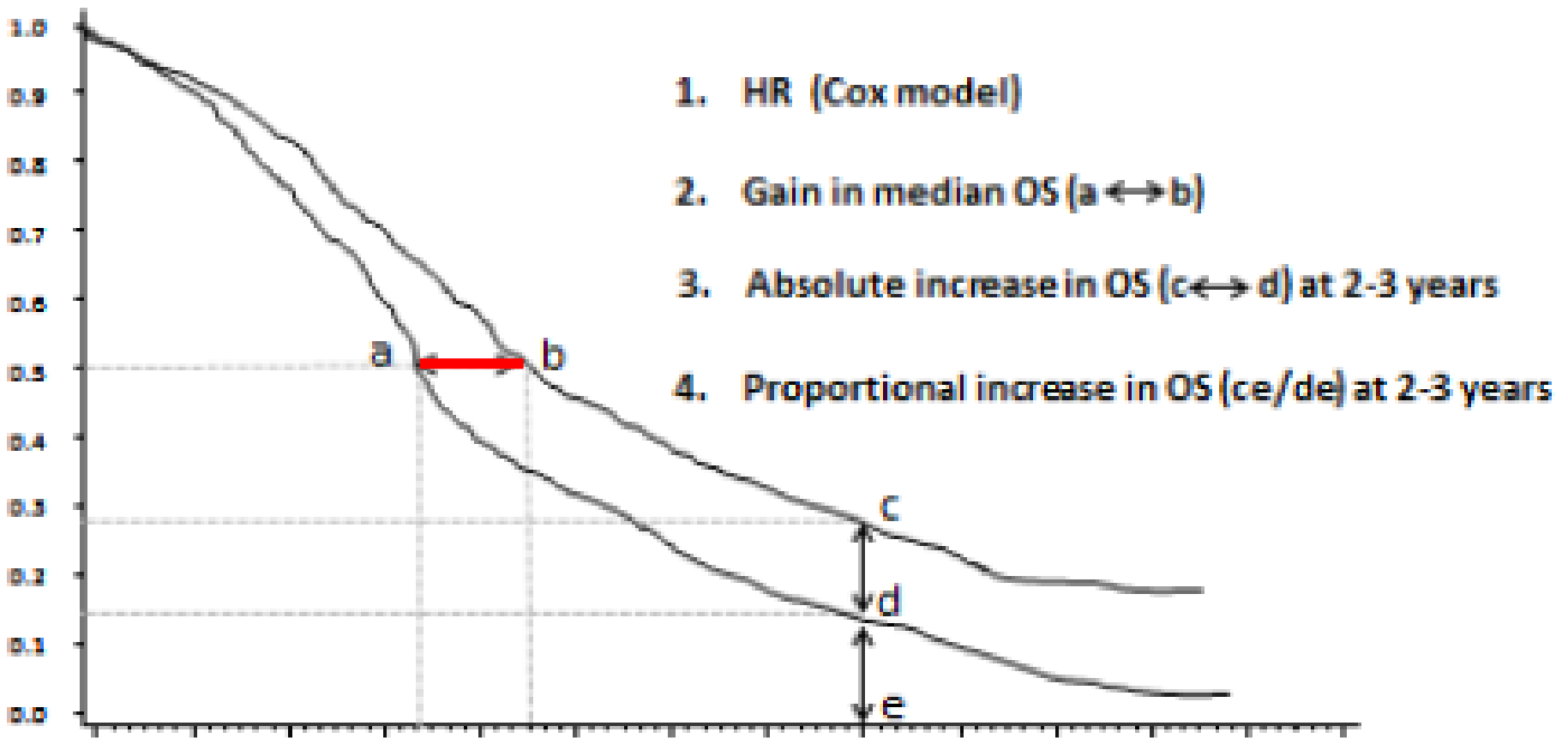


**On the basis of HR and Medians, and correlation between PFS and OS!!!!**

# Raising the bar for antineoplastic agents: how to choose threshold values for superiority trials in advanced solid tumors

Alberto F Sobrero, Alessandro Pastorino, Daniel J. Sargent, et al.

To establish the concept of minimum *clinically meaningful outcome (mCMO)* of treatment in advanced solid tumors, to establish its threshold and evaluate how many superiority trials of new antineoplastic agents pass this threshold.





# American Society of Clinical Oncology Statement: A Conceptual Framework to Assess the Value of Cancer Treatment Options

## THE ASCO VALUE FRAMEWORK: ADVANCED DISEASE

<b>Step 1: Determine the regimen's CLINICAL BENEFIT</b>							
1.A. Is <u>Overall Survival</u> (OS) reported?	<b>YES.</b> Assign an <u>OS Score</u> (1 through 5 as shown below) and multiply by 16. Write this number in the box labeled, "OS Score." <b>Proceed to 1.D.</b>						OS Score
	OS Score	1	2	3	4	5	
	Improvement in median OS (% change in median OS)	> 0%-24%	25%-49%	50%-75%	76%-100%	At double the median OS of new regimen, there is a 50% improvement in the fraction of patients surviving	
<b>NO. Proceed to 1.B.</b>							
1.B. If OS is not reported, is <u>Progression-Free Survival</u> (PFS) reported?	<b>YES.</b> Assign a <u>PFS Score</u> (1 through 5 as shown below) and multiply by 11. Write this number in the box labeled, "PFS Score." <b>Proceed to 1.D.</b>						PFS Score
	PFS Score	1	2	3	4	5	
	Improvement in median PFS (% change in median PFS)	> 0%-24%	25%-49%	50%-75%	76%-100%	At double the median PFS of new regimen, there is a 50% improvement in the fraction of patients without progression or death	
<b>NO. Proceed to 1.C.</b>							
1.C. If neither OS nor PFS is reported, is <u>Response Rate</u> (RR) reported?	<b>YES.</b> Assign an <u>RR Score</u> (1 through 5 as shown below) and multiply by 8. RR should be calculated by adding the complete response (CR) and partial response (PR) rates. Write this number in the box labeled, "RR Score." <b>Proceed to 1.D.</b>						RR Score
	RR Score	1	2	3	4	5	
	What was the reported response rate (CR + PR)?	> 0%-20%	21%-40%	41%-60%	61%-80%	81%-100%	
<b>1.D. Calculate the <u>Clinical Benefit Score</u></b>	Insert the OS, PFS, or RR Score. <b>Note: You should have EITHER an OS Score OR a PFS score OR an RR score, NOT MORE THAN ONE.</b> Write the total in the box labeled "Clinical Benefit Score." The maximum allowable points are 80. <b>Proceed to Step 2.</b>						<b>Clinical Benefit Score</b>

# A standardised, generic, validated approach to stratify the magnitude of clinical benefit that can be anticipated from anti-cancer therapies: the European Society for Medical Oncology Magnitude of Clinical Benefit

**Table 2.** Maximal preliminary scores

**Treatments with curative intent (form 1)**

>5% improvement of survival at  $\geq 3$ -year follow-up

Improvements in DFS alone HR  $< 0.60$  (primary end point) in studies without mature survival data

**Treatments with non-curative intent (form 2)**

**Primary outcome OS (form 2a)**

Control  $\leq 12$  months

HR  $\leq 0.65$  AND gain  $\geq 3$  months OR

Increase in 2-year survival alone  $\geq 10\%$

Control  $> 12$  months

HR  $\leq 0.70$  AND gain  $\geq 5$  months OR

Increase in 3-year survival alone  $\geq 10\%$

**Primary outcome PFS (form 2b)**

Control  $\leq 6$  months

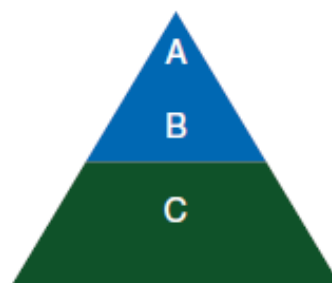
HR  $\leq 0.65$  AND gain  $\geq 1.5$  months

Control  $> 6$  months

HR  $\leq 0.65$  AND gain  $\geq 3$  months

ESMO MCBS evaluation

Curative



Non-curative



Curative-Evaluation form 1: for new approaches to adjuvant therapy or new potentially curative therapies

Non-curative-Evaluation forms 2a, b or c: for therapies that are not likely to be curative

**Figure 3.** Visualisation of ESMO-MCB scores for curative and non-curative setting. A & B and 5 and 4 represent the grades with substantial improvement.

# Conclusions

- Immunotherapy (given the peculiar mechanism) requires a specific methodology for trial design and data analysis:
  - **HR and Medians may not entirely capture the benefit of such drugs.**
  - **Converserly, outcome differences as landmark analysis (either as absolute of relative measurements) seem more appropriate.**
- The choice of the 'best' way to capture outcome differences is of paramount importance, given the end-point confers '*quality*' to the evidence
- Health care steps forward require minimal standards to be satisfied
  - **The QUALITY of the evidence, should be weighted with the QUANTITY of the benefit**
- Health care comparative effectiveness research is moving towards assigning 'values', taking into account (at least) as a objective way as possible, safety and costs as well.

# Varmus's Second Act

*“There’s an imbalance between the money available, the work that needs to be done, and the number of people who would need to be supported to make the world feel like a more comfortable place.”*



**Varmus H, Science 2013**