



Inibitori delle chinasi –ciclino dipendenti  
nel trattamento della malattia  
metastatica HR-positiva  
**Gli studi clinici**

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Verona 22/04/2016

# Summary

- **Studi con Palbociclib**

- + AI: **Paloma 1** (Fase II, Lancet Oncol 2015)
- + AI: Paloma 2 (Fase III ongoing)
- + Fulv: **Paloma 3** (Fase III, NEJM 2015-Interim analysis, Lancet Oncol 2016-final analysis)

- **Studi con Abemaciclib**

- + AI: Monarch-3 (Fase III ongoing)
- + Fulv: Monarch-2 (Fase III ongoing)

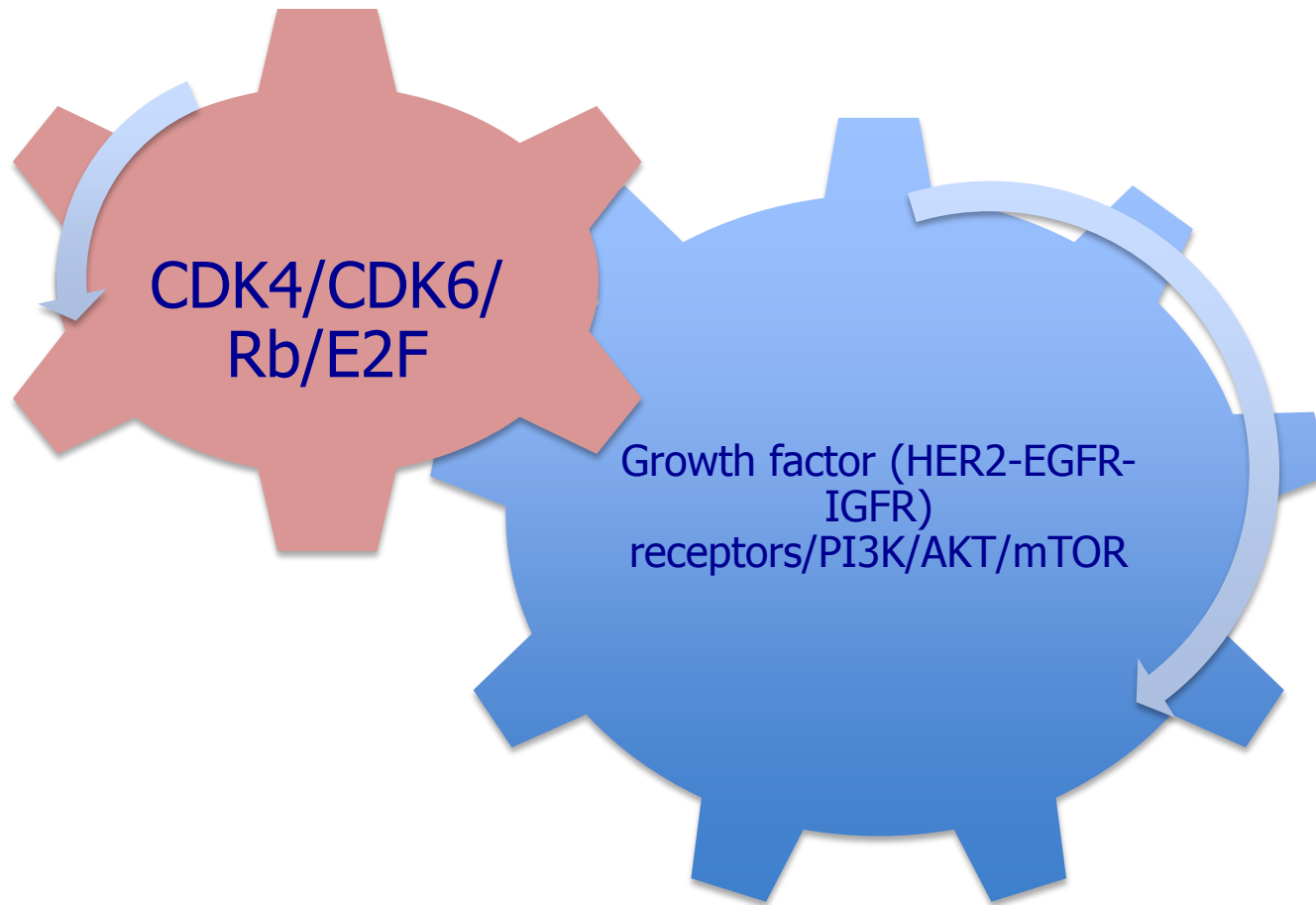
- **Studi Con Ribociclib**

- + AI: Monaleesa-2 (fase III ongoing)
- + Fulv: Monaleesa-3 (fase III ongoing)
- + Tam–LHRH: Monaleesa-7 (Fase III ongoing)

# Endocrine resistance

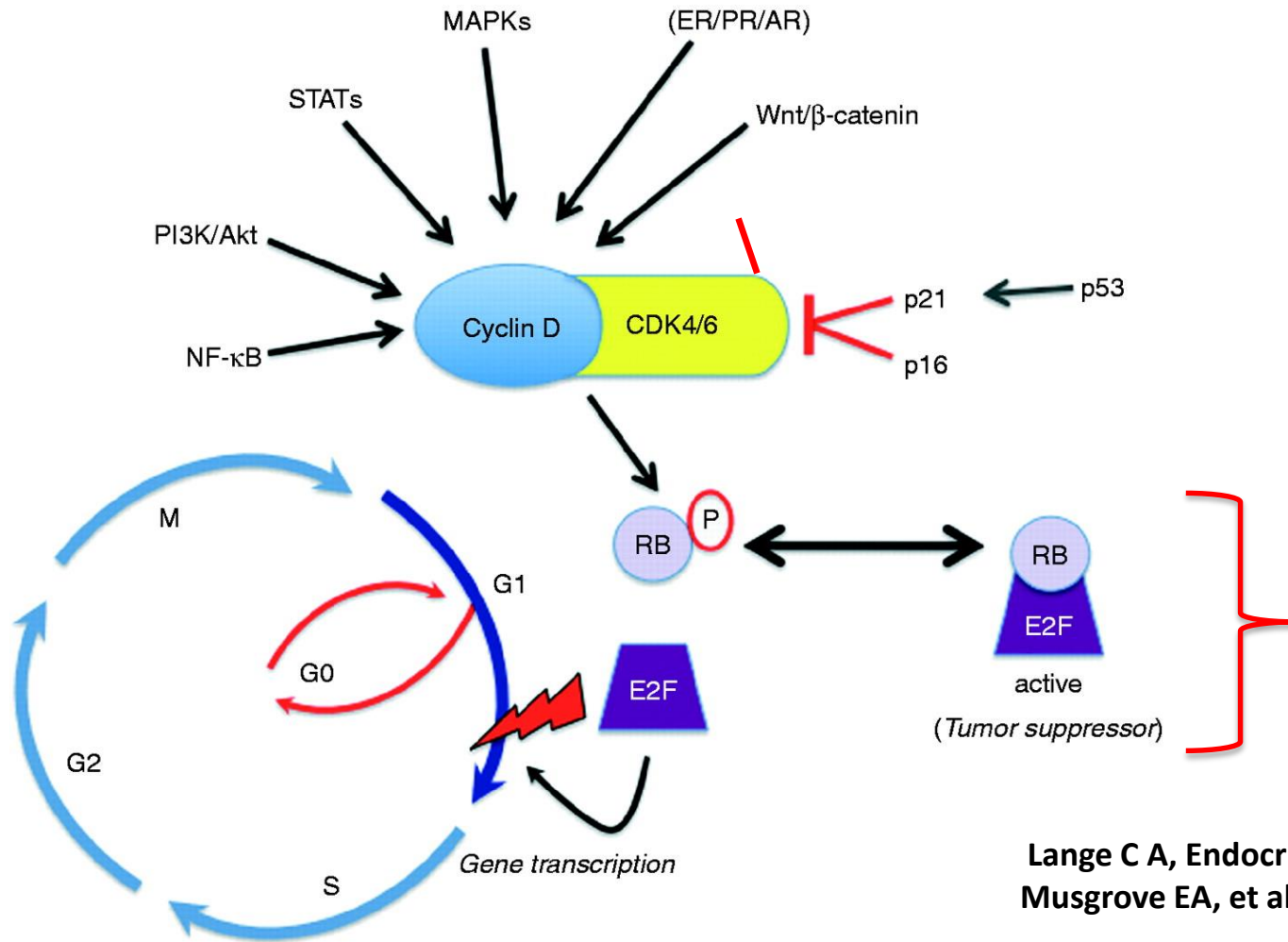
- endocrine therapy is often regarded as a prototype of biologically targeted treatment.
- by contrast with HER2-overexpressing disease, **pathway-directed breakthrough innovations have been rare in this subtype.**
- in the advanced disease setting, with every further line of palliative endocrine therapy, shorter durations of progression-free survival are seen.

# Two major axes in endocrine resistance



Shift from **oestrogen**-dependent tumor growth to the activation of alternate growth factor signalling pathways **in the absence of oestrogen**

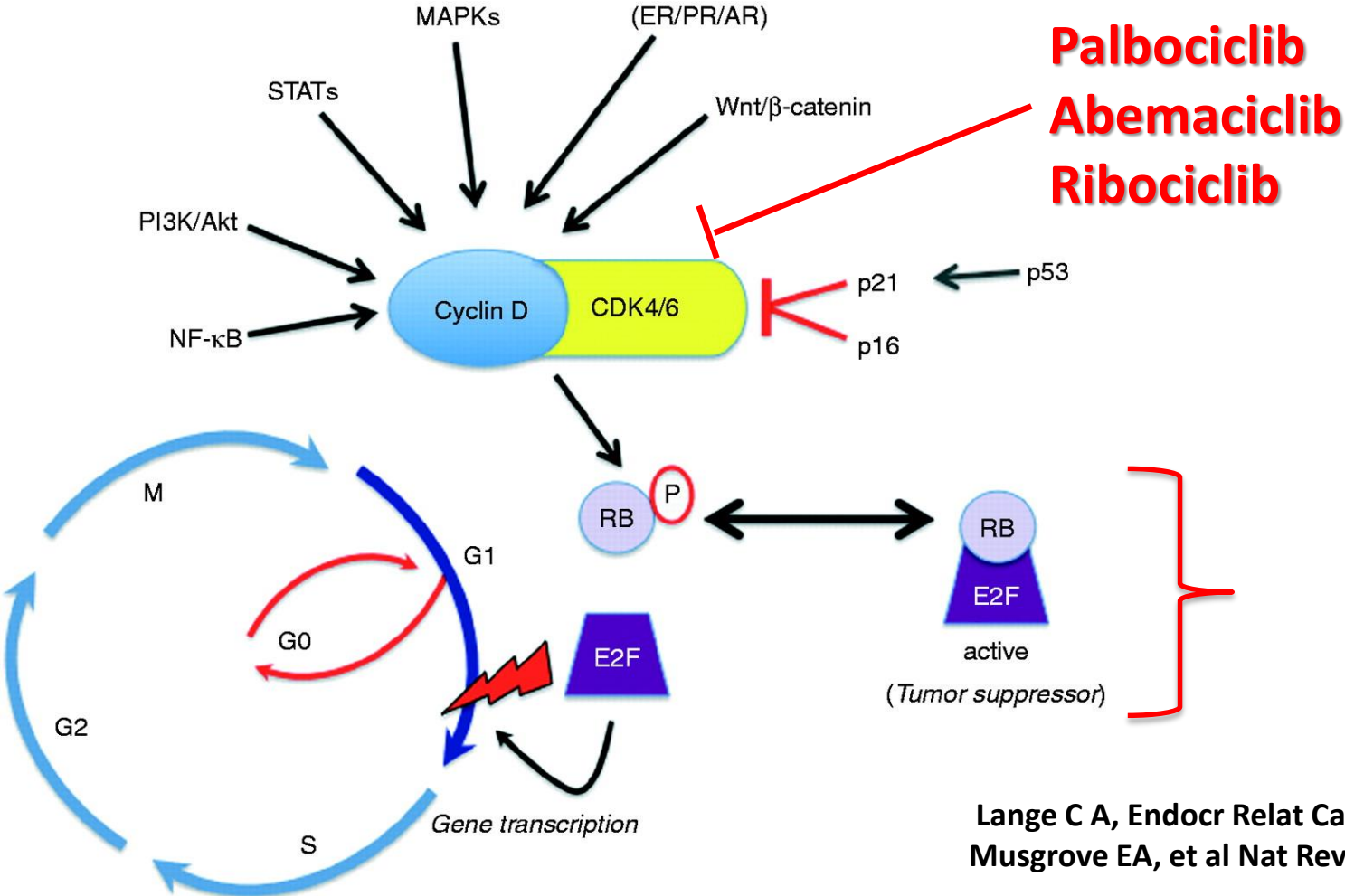
# CDK4/6



Lange C A, Endocr Relat Cancer 2011  
Musgrove EA, et al Nat Rev Can 2011

**pRb phosphorylation and inactivation**

# CDK4/6 inhibitors



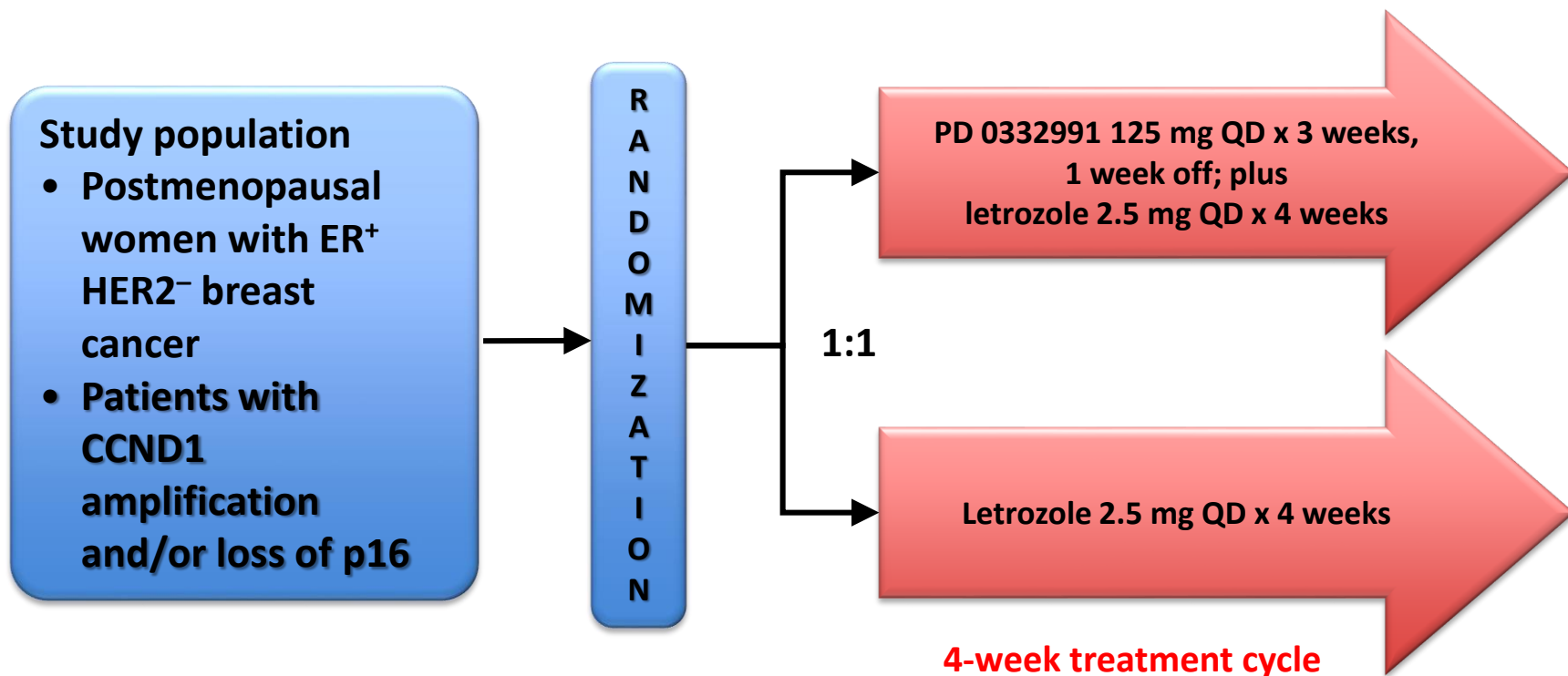
Lange C A, Endocr Relat Cancer 2011  
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**Block of pRb phosphorylation**

The cyclin-dependent kinase 4/6 inhibitor palbociclib in combination with letrozole versus letrozole alone as first-line treatment of oestrogen receptor-positive, HER2-negative, advanced breast cancer (PALOMA-1/TRIO-18): a randomised phase 2 study

Richard S Finn, John P Crown, Istvan Lang, Katalin Boer, Igor M Bondarenko, Sergey O Kulyk, Johannes Ettl, Ravindranath Patel, Tamas Pinter, Marcus Schmidt, Yaroslav Shparyk, Anu R Thummala, Nataliya L Voytko, Camilla Fowst, Xin Huang, Sindy T Kim, Sophia Randolph, Dennis J Slamon

## Study Design



### Stratification Factors

- Disease site (visceral vs bone only vs other)
- Disease-free interval (>12 vs ≤12 mo from end of adjuvant to recurrence or de novo advanced disease)

# Two cohorts

(sequential accrual: two-part study design)

- **Cohort 1 (exploratory analysis)**
  - HR pos
  - HER-2 neg
- **Cohort 2 (PFS, primary end-point)**
  - HR pos
  - HER-2 neg
  - Ampl. cyclin D1
  - Loss p16
  - Both

Initial statistical design: one-side  $\alpha$  of 0.10 with 80% power to detect an HR 0.67 (PFS 9 m vs 13.5m )  $\rightarrow$  150 pts

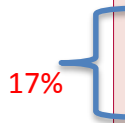
- i. Cohort 2 stopped after unplanned interim analysis of cohort 1
- ii. Primary endpoint (PFS) amended for combined analysis
- iii. Cohort 2: 150 total pts  $\rightarrow$  Cohort 1 + 2: **165 (66 + 99)**



	Both cohorts		Cohort 1		Cohort 2	
	Palbociclib plus letrozole (n=84)	Letrozole (n=81)	Palbociclib plus letrozole (n=34)	Letrozole (n=32)	Palbociclib plus letrozole (n=50)	Letrozole (n=49)
Median age (years)	63 (54-71)	64 (56-70)	66 (56-72)	64 (57-70)	62 (54-70)	63 (56-71)
ECOG performance status						
0	46 (55%)	45 (56%)	23 (68%)	20 (63%)	23 (46%)	25 (51%)
1	38 (45%)	36 (44%)	11 (32%)	12 (38%)	27 (54%)	24 (49%)
Disease stage						
III	2 (2%)	1 (1%)	2 (6%)	0	0	1 (2%)
IV	82 (98%)	80 (99%)	32 (94%)	32 (100%)	50 (100%)	48 (98%)
Disease site*						
Visceral	37 (44%)	43 (53%)	10 (29%)	11 (34%)	27 (54%)	32 (65%)
Bone only	17 (20%)	12 (15%)	7 (21%)	6 (19%)	10 (20%)	6 (12%)
Other (non-visceral)	30 (36%)	26 (32%)	17 (50%)	15 (47%)	13 (26%)	11 (23%)
Disease-free interval*						
>12 months from adjuvant treatment to recurrence	25 (30%)	30 (37%)	10 (29%)	10 (31%)	15 (30%)	20 (41%)
≤12 months from adjuvant treatment to recurrence or de-novo advanced disease	59 (70%)	51 (63%)	24 (71%)	22 (69%)	35 (70%)	29 (59%)
De-novo advanced disease only	44 (52%)	37 (46%)	19 (56%)	17 (53%)	25 (50%)	20 (41%)
Previous systemic treatment						
None	44 (52%)	37 (46%)	19 (56%)	17 (53%)	25 (50%)	20 (41%)
Chemotherapy	34 (40%)	37 (46%)	11 (32%)	14 (44%)	23 (46%)	23 (47%)
Hormonal	27 (32%)	28 (35%)	11 (32%)	11 (34%)	16 (32%)	17 (35%)
Tamoxifen	24 (29%)	24 (30%)	8 (24%)	8 (25%)	16 (32%)	16 (33%)
Anastrozole	8 (10%)	11 (14%)	4 (12%)	5 (16%)	4 (8%)	6 (12%)
Letrozole	2 (2%)	1 (1%)	0	0	2 (4%)	1 (2%)
Exemestane	4 (5%)	2 (2%)	3 (9%)	1 (3%)	1 (2%)	1 (2%)

Data are n (%) or median (IQR). ECOG=Eastern Cooperative Oncology Group. \*Based on case report form data.

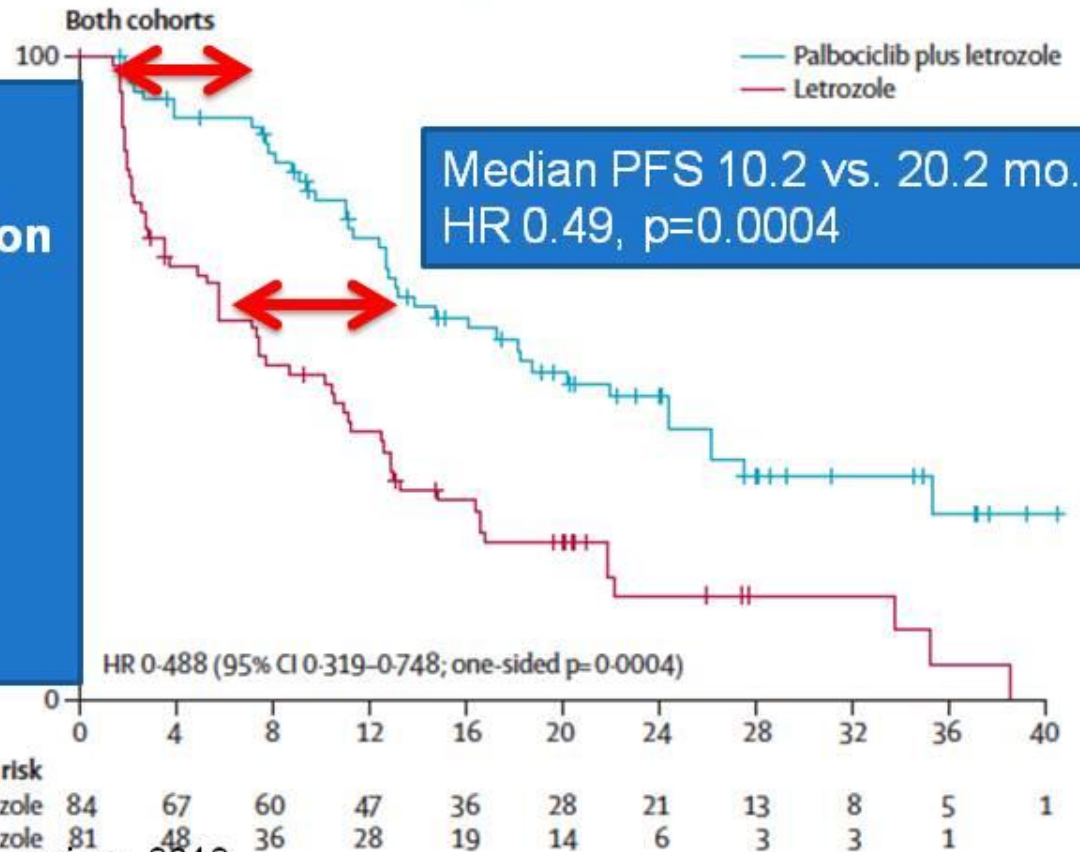
**Table 1: Baseline characteristics (intention-to-treat population)**



# PFS: both cohorts

## PALOMA-1: Randomized open-label phase II trial

- **FDA Breakthrough Designation**
  - April, 2013
- **Accelerated FDA approval**
  - February 3, 2015



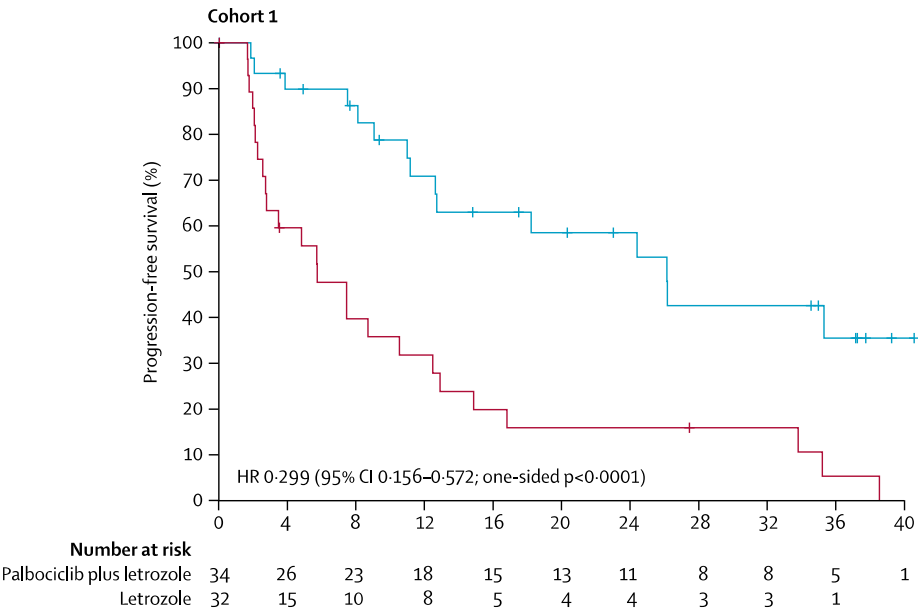
Finn et al. San Antonio Breast Cancer Symposium, 2012

Finn et al. AACR, 2014; Finn et al. Lancet Oncol, 2015

SLIDES ARE THE PROPERTY OF THE AUTHOR. PERMISSION REQUIRED FOR REUSE.

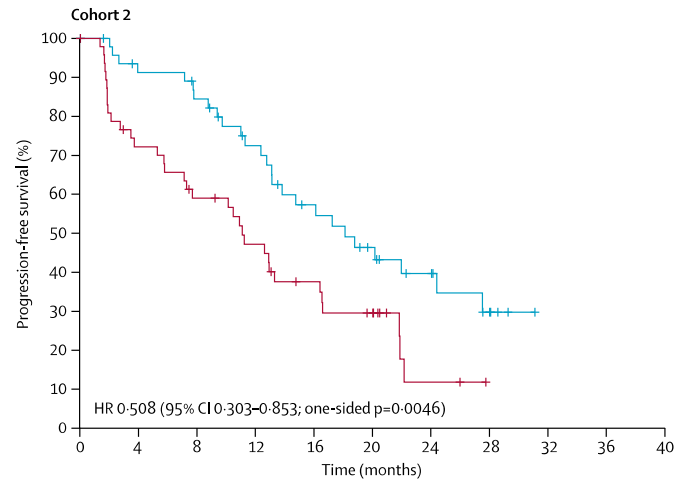
PRESENTED AT: ASCO Annual Meeting '15

# PFS: cohort 1 and cohort2



**Number at risk**

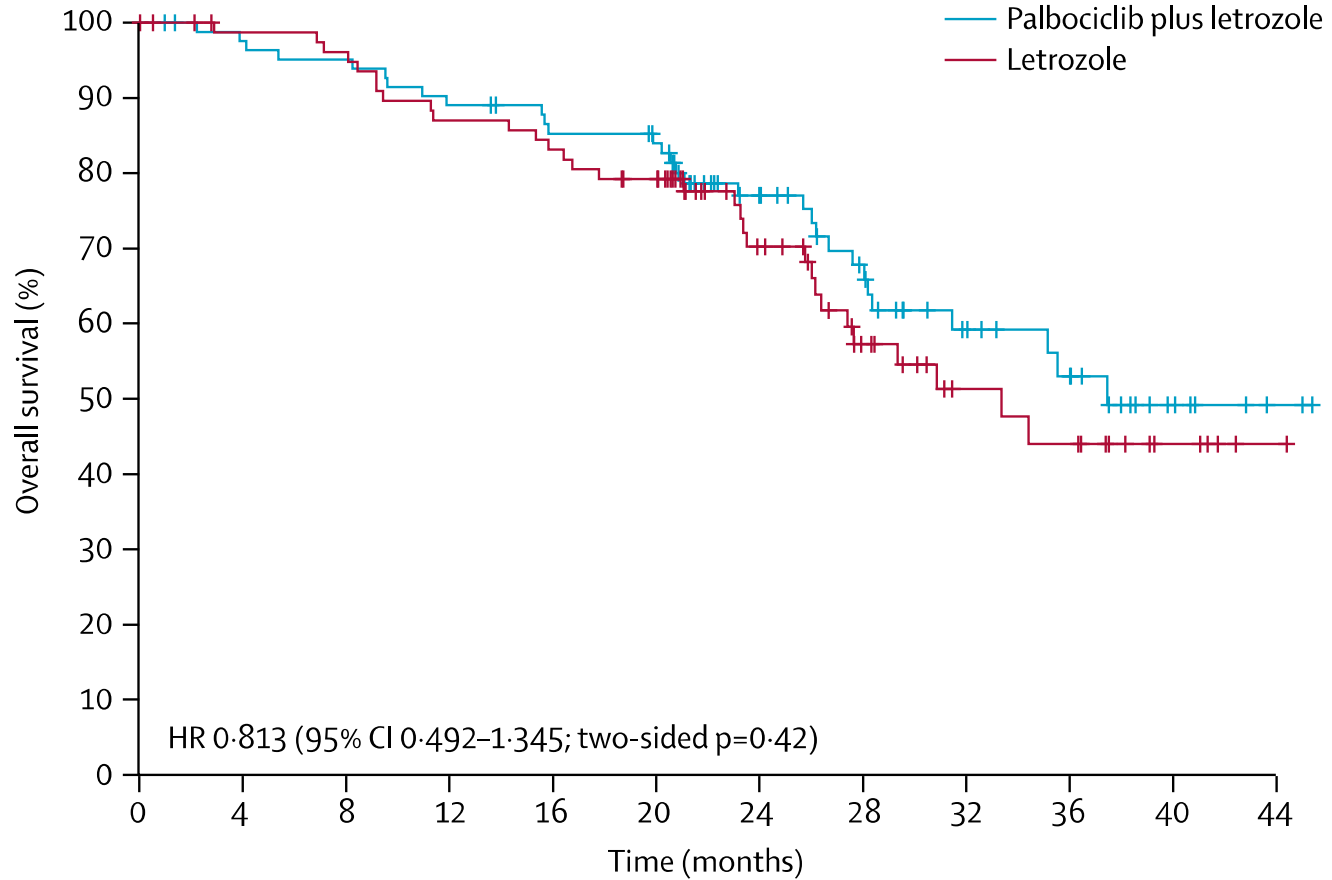
Palbociclib plus letrozole	34	26	23	18	15	13	11	8	8	5	1
Letrozole	32	15	10	8	5	4	4	3	3	1	



**Number at risk**

Palbociclib plus letrozole	50	41	37	29	21	15	10	5
Letrozole	49	33	26	20	14	10	2	

# OS



Number at risk		0	4	8	12	16	20	24	28	32	36	40	44
Palbociclib plus letrozole	84	80	78	73	68	65	47	35	22	17	7	2	
Letrozole	81	76	74	67	64	59	37	23	14	12	5	1	

	Palbociclib plus letrozole (n=83)			Letrozole (n=77)		
	Grade 1-2	Grade 3	Grade 4	Grade 1-2	Grade 3	Grade 4
Any adverse event	19 (23%)	49 (59%)	14 (17%)	49 (64%)	16 (21%)	0
Neutropenia	17 (20%)	40 (48%)	5 (6%)	3 (4%)	1 (1%)	0
Leucopenia	20 (24%)	16 (19%)	0	2 (3%)	0	0
Fatigue	30 (36%)	2 (2%)	2 (2%)	17 (22%)	1 (1%)	0
Anaemia	24 (29%)	4 (5%)	1 (1%)	4 (5%)	1 (1%)	0
Nausea	19 (23%)	2 (2%)	0	9 (12%)	1 (1%)	0
Arthralgia	18 (22%)	1 (1%)	0	10 (13%)	2 (3%)	0
Alopecia	18 (22%)	NA	NA	2 (3%)	NA	NA
Diarrhoea	14 (17%)	3 (4%)	0	8 (10%)	0	0
Hot flush	17 (21%)	0	NA	9 (12%)	0	NA
Thrombocytopenia	12 (14%)	2 (2%)	0	1 (1%)	0	0
Decreased appetite	12 (14%)	1 (1%)	0	5 (6%)	0	0
Dyspnoea	11 (13%)	2 (2%)	0	5 (6%)	1 (1%)	0
Nasopharyngitis	13 (16%)	0	0	8 (10%)	0	0
Back pain	11 (13%)	0	1 (1%)	11 (14%)	1 (1%)	0
Headache	12 (14%)	0	0	8 (10%)	0	0
Vomiting	12 (14%)	0	0	2 (3%)	1 (1%)	0
Asthenia	9 (11%)	2 (2%)	0	3 (4%)	0	0
Bone pain	8 (10%)	1 (1%)	1 (1%)	3 (4%)	0	0
Constipation	10 (12%)	0	0	7 (9%)	0	0
Cough	10 (12%)	0	0	8 (10%)	0	0
Stomatitis	10 (12%)	0	0	2 (3%)	0	0
Epistaxis	9 (11%)	0	0	1 (1%)	0	0
Influenza	8 (10%)	1 (1%)	0	1 (1%)	0	0
Musculoskeletal pain	8 (10%)	1 (1%)	0	5 (6%)	0	0
Upper respiratory tract infection	8 (10%)	1 (1%)	0	2 (3%)	0	0
Dizziness	8 (10%)	0	0	3 (4%)	0	0
Peripheral neuropathy	8 (10%)	0	0	4 (5%)	0	0
Oropharyngeal pain	8 (10%)	0	0	1 (1%)	0	0
Pain in extremity	8 (10%)	0	0	6 (8%)	0	0



# Dose modifications

	Dose interruption	Dose reduction	Study discontinuation
ARM A	33%	40%	13%
ARM B	4%	-	2%

Palbociclib in Hormone-Receptor-Positive  
Advanced Breast Cancer

Nicholas C. Turner, M.D., Ph.D., Jungsil Ro, M.D., Fabrice André, M.D., Ph.D.,  
Sherene Loi, M.B., B.S., M.D., Ph.D., Sunil Verma, M.D., Hironji Iwata, M.D.,  
Nadia Harbeck, M.D., Sibylle Lubi, M.D., Cynthia Huang Bartlett, M.D.,  
Ke Zhang, Ph.D., Carla Giorgetti, Ph.D., Sophia Randolph, M.D., Ph.D.,  
Maria Koehler, M.D., Ph.D., and Massimo Cristofanilli, M.D.

Fulvestrant plus palbociclib versus fulvestrant plus placebo  
for treatment of hormone-receptor-positive, HER2-negative  
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endocrine therapy (PALOMA-3): final analysis of the  
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Sherene Loi, Sunil Verma, Hironji Iwata, Nadia Harbeck, Ke Zhang, Kathy Puyana Theall, Yuqiu Jiang, Cynthia Huang Bartlett, Maria Koehler,  
Dennis Slamon

## PALOMA3 Study Design

- HR+, HER2– ABC
- Pre-/peri-\* or post-menopausal
- Progressed on prior endocrine therapy:
  - On or within 12 mo adjuvant
  - On therapy for ABC
- ≤1 prior chemotherapy regimen for advanced cancer

\*All received goserelin.

2:1 Randomization  
N=521

**Stratification:**

- Visceral metastases
- Sensitivity to prior hormonal therapy
- Pre-/peri- vs Post-menopausal

n=347

Palbociclib  
(125 mg QD;  
3 wks on/1 wk off)  
+  
Fulvestrant<sup>†</sup>  
(500 mg IM q4w)

n=174

Placebo  
(3 wks on/ 1wk off)  
+  
Fulvestrant<sup>†</sup>  
(500 mg IM q4w)

- **Post-menopausal patients must have progressed on prior aromatase inhibitor therapy.**

# Paloma-3

- Primary end-point: PFS (ITT)
  - 6.0 months → 9.38 months (HR 0.64,  $\alpha=0.025$ )
  - Interim analysis (cut-off date: 05 dec 2014) after 195 PFS events (NEJM 2005)
  - Final analysis (cut-off date: 16 mar 2015) after 259 PFS events (Lancet Oncol 2016)



# Demographics and Baseline Tumor Characteristics

Characteristic	Palbociclib + Fulvestrant (n=347)	Placebo + Fulvestrant (n=174)
<b>Median age (range), years</b>	57 (30–88)	56 (29–80)
<b>Receptor status, %</b>		
ER+ PR+	69	64
ER+ PR–	26	28
<b>ECOG performance status, %</b>		
0	60	66
1	40	34
<b>Menopausal status,<sup>a</sup> %</b>		
Pre-/peri	21	21
Post	79	79
<b>Visceral metastases,<sup>b</sup> %</b>	59	60
<b>Number of disease sites, %</b>		
1	32	35
2	29	29
≥3	39	36

<sup>a</sup>Based on randomization; <sup>b</sup>lung, liver, brain, pleural, and peritoneal involvement.

# Tumor Characteristics and Prior Treatment

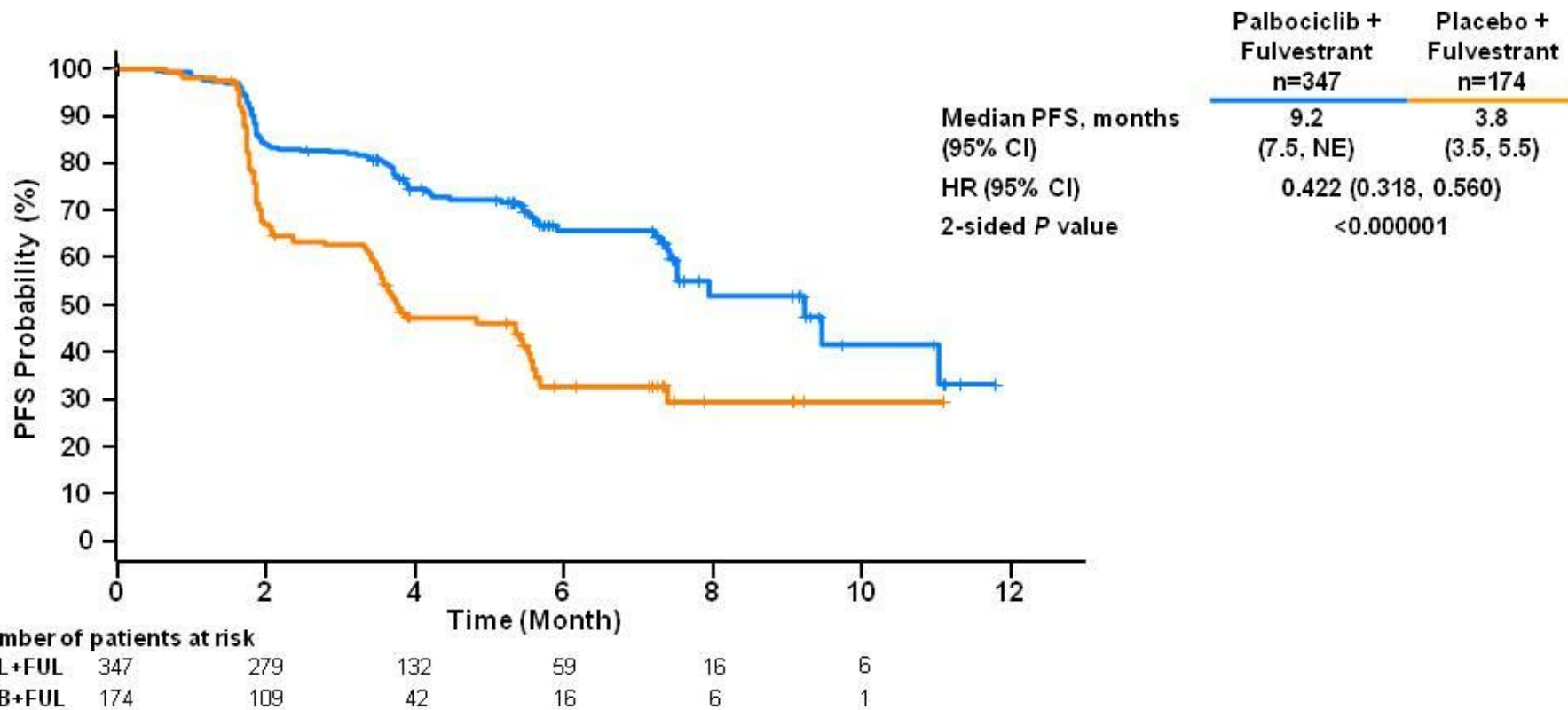
Characteristic	Palbociclib + Fulvestrant (n=347)	Placebo + Fulvestrant (n=174)
<b>Documented sensitivity to prior hormonal therapy,<sup>a</sup> %</b>		
Yes	79	78
No	21	22
<b>Prior aromatase inhibitor +/- GnRH,<sup>b</sup> %</b>	85	87
<b>Prior tamoxifen +/- GnRH,<sup>b</sup> %</b>	61	60
<b>Prior chemotherapy in advanced setting, %</b>	31	36
<b>Prior lines of therapy in advanced setting, %</b>		
0	24	26
1	38	40
2	26	25
≥3	12	9

<sup>a</sup>Relapsed after 24 months of adjuvant endocrine therapy or had clinical benefit to prior therapy in the advanced setting.

<sup>b</sup>Any prior endocrine therapy anytime before study entry.

GnRH=gonatotropin-releasing hormone.

# Primary Endpoint: PFS (ITT Population)



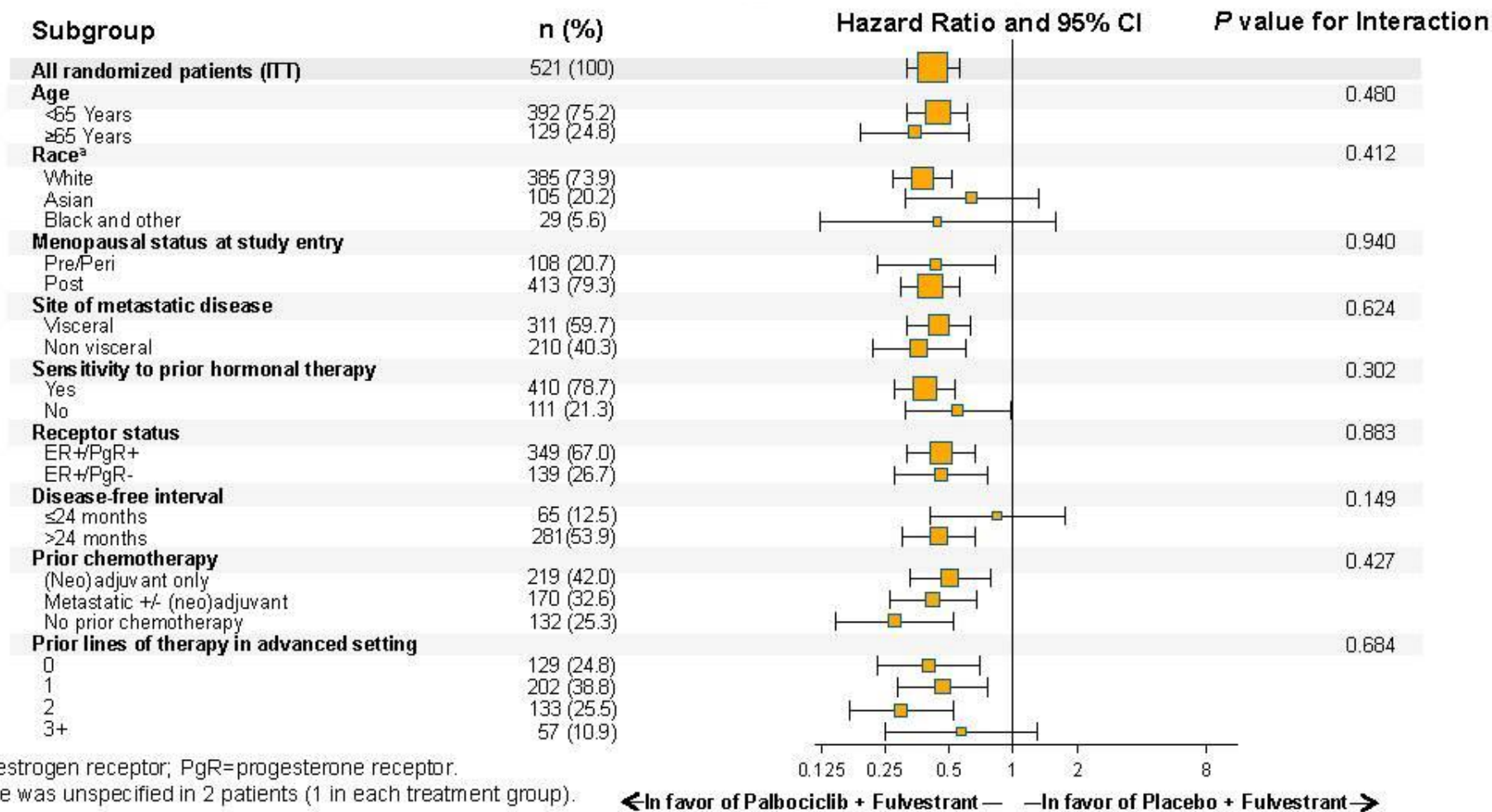
CI=confidence interval; HR=hazard ratio; ITT=intent-to-treat; NE=not estimable; PFS=progression-free survival.

**Preplanned interim analysis**

Presented By Nicholas Turner at 2015 ASCO Annual Meeting

NEJM 2015

# PFS: Patient Subgroup Analysis



# Summary of Key Secondary Efficacy Endpoints

	Palbociclib + Fulvestrant (n=347), % of patients	Placebo + Fulvestrant (n=174), % of patients	P value
ORR	10.4	6.3	0.1582
CBR*	34.0	19.0	0.0004

\* CBR is underestimated.

36% of palbociclib and 24% of placebo pts remain on study treatment with <24 weeks of follow up.

At the time of the interim analysis, OS data was immature with 28 deaths.

CBR=clinical benefit rate (CR+PR+SD  $\geq$ 24 wk); CR=complete response; ORR=objective response (CR+PR); OS=overall survival; PR=partial response; SD=stable disease.



## Adverse Events—All Cause

AE, %	Palbociclib + Fulvestrant (n=345)			Placebo + Fulvestrant (n=172)		
	Any Grade	Grade 3	Grade 4	Any Grade	Grade 3	Grade 4
Any AE	98	59	11	89	16	2
Neutropenia	79	53	9	3	0	1
Leukopenia	46	25	1	4	0	1
Anemia	26	3	0	10	2	0
Thrombocytopenia	19	2	1	0	0	0
Fatigue	38	2	0	27	1	0
Nausea	29	0	0	26	1	0
Headache	21	<1	0	17	0	0
Upper respiratory infection <sup>a</sup>	19	<1	0	16	0	0
Diarrhea	19	0	0	17	1	0
Constipation	17	0	0	14	0	0
Alopecia	15	0	0	6	0	0

AE=adverse event. AEs with  $\geq 15\%$  incidence in the palbociclib + fulvestrant group reported.

<sup>a</sup>Upper respiratory infection includes influenza, influenza-like illness, laryngitis, nasopharyngitis or pharyngitis, rhinitis, sinusitis, and upper respiratory tract infection.

# Treatment Summary

Treatment Summary (AT population)	Palbociclib + Fulvestrant (n=345)	Placebo + Fulvestrant (n=172)
Relative fulvestrant dose intensity (%), median	99.7	100
Relative palbociclib/placebo dose intensity (%), median	91.7	100
Dose interruptions due to AEs, %	54	4
Cycle delays due to AEs, %	22	1
Dose reductions due to AEs, %	32	2
Discontinuations due to AEs, %*	2.6	1.7

- **Neutropenia was the most common AE leading to dose reductions (21%) and interruptions (45%)**

\*ITT population.

AE=adverse event; AT=as treated.

**Fulvestrant plus palbociclib versus fulvestrant plus placebo for treatment of hormone-receptor-positive, HER2-negative metastatic breast cancer that progressed on previous endocrine therapy (PALOMA-3): final analysis of the multicentre, double-blind, phase 3 randomised controlled trial**

*Massimo Cristofanilli\*, Nicholas C Turner\*, Igor Bondarenko, Jungsil Ro, Seock-Ah Im, Norikazu Masuda, Marco Colleoni, Angela DeMichele, Sherene Loi, Sunil Verma, Hiroji Iwata, Nadia Harbeck, Ke Zhang, Kathy Puyana Theall, Yuqiu Jiang, Cynthia Huang Bartlett, Maria Koehler, Dennis Slamon*

## PALOMA-3: PFS in Overall Population and Specific Subgroups: PI3K status and HR expression level

### Final analysis; Median follow-up: 8.9 mos

Median PFS, Mos (95% CI)	Palbociclib + Fulvestrant (n = 345)	Placebo + Fulvestrant (n = 172)	HR (95% CI)	P Value
<b>ITT population</b>	<b>9.5 (9.2-11.0)</b>	<b>4.6 (3.5-5.6)</b>	<b>0.45 (0.36-0.59)</b>	<b>&lt; .0001</b>
Pre-/perimenopausal pts	9.5 (7.4-NE)	5.6 (1.8-7.6)	0.50 (0.29-0.87)	.0065
Postmenopausal women	9.9 (8.5-11.0)	3.9 (3.5-5.5)	0.45 (0.34-0.59)	< .0001
No earlier systemic therapy for metastatic disease	9.5 (7.4-NE)	5.4 (2.1-10.9)	0.55 (0.32-0.92)	.0214
Disease responsive to earlier endocrine therapy	10.2 (9.4-11.2)	4.2 (3.5-5.6)	0.42 (0.32-0.56)	< .0001
Als as most recent therapy	9.5 (9.2-11.0)	3.7 (3.4-5.5)	0.42 (0.31-0.56)	< .0001



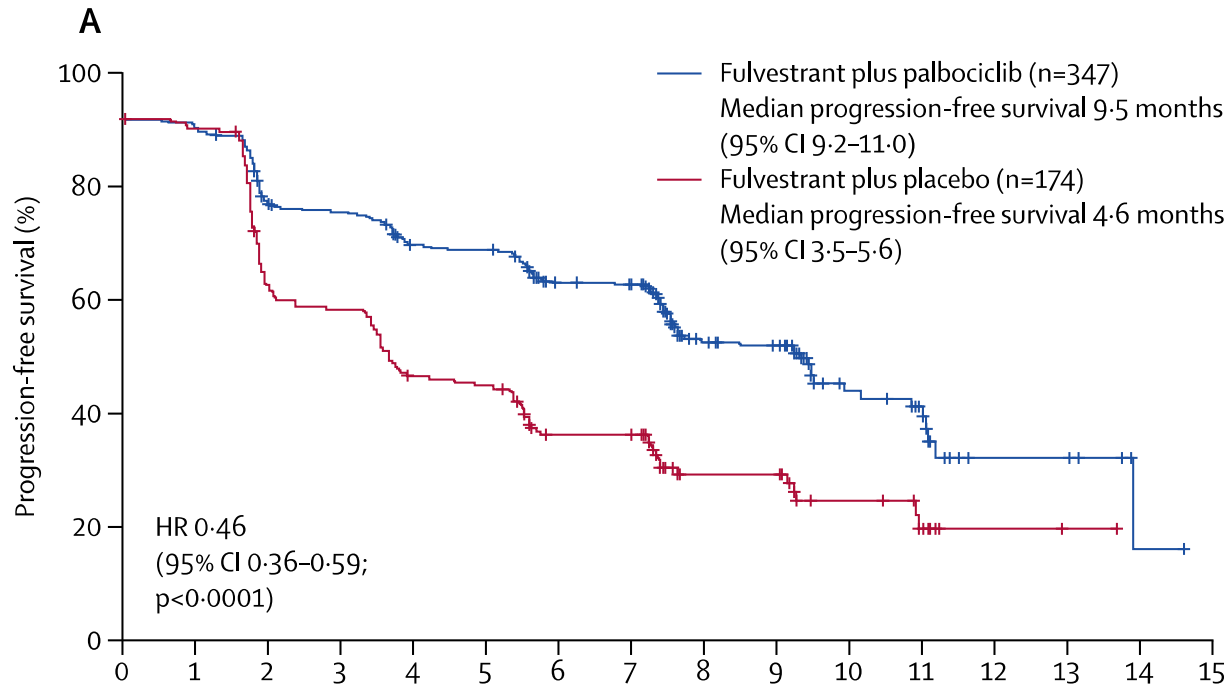
# PALOMA-3

## Response and Clinical Benefit Rates: final analysis

Median follow-up: 8.9 mos

Outcome, % (95% CI)	Palbociclib + Fulvestrant (n = 345)	Placebo + Fulvestrant (n = 172)	Odds Ratio (95% CI)	P Value
ITT population				
▪ORR	19.0 (15.0-23.6)	8.6 (4.9-13.8)	2.47 (1.36-4.91)	.0019
▪CBR	66.6 (61.3-71.5)	39.7 (32.3-47.3)	3.05 (2.07-4.61)	< .0001
Pts with measurable disease at BL				
▪ORR	24.6 (19.6-30.2)	10.9 (6.2-17.3)	2.69 (1.43-5.26)	.0012
▪CBR	NR	NR	3.10 (1.99-4.92)	< .0001

# PFS: ITT population final analysis



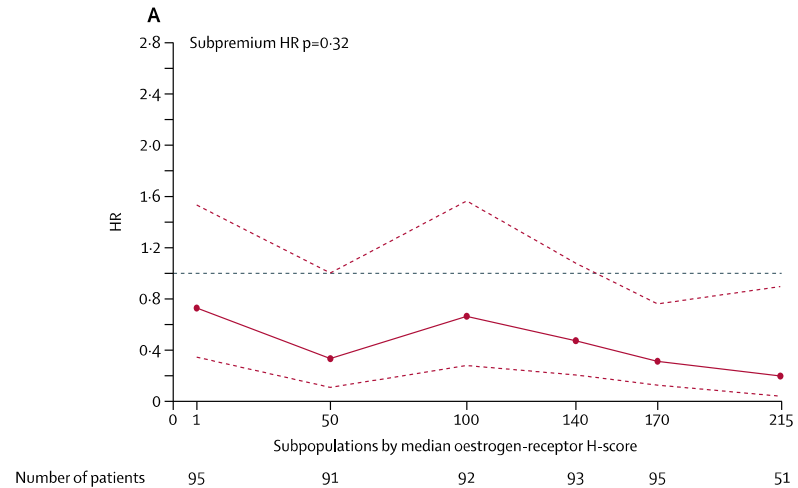
**Number at risk**

Fulvestrant plus palbociclib	347	333	281	273	247	244	202	197	91	85	32	23	7	7	1	0
Fulvestrant plus placebo	174	165	112	105	83	80	59	58	22	22	13	7	2	1	0	0

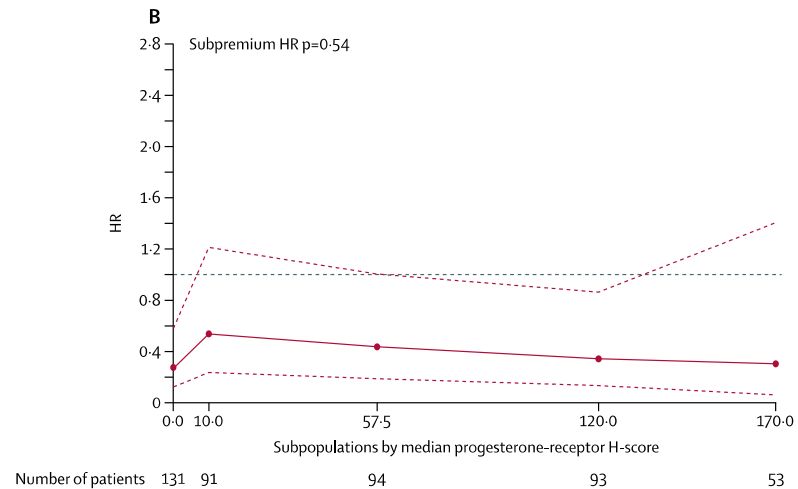
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**STEPP analysis for ER pos**

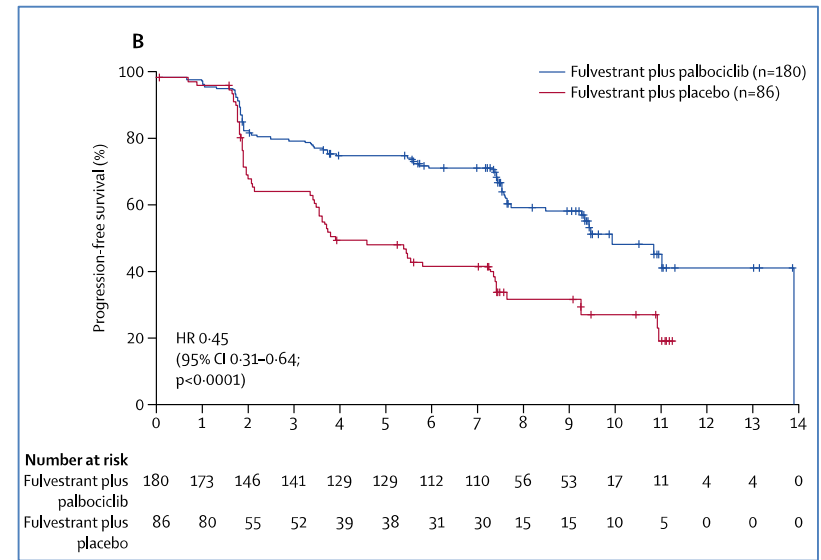
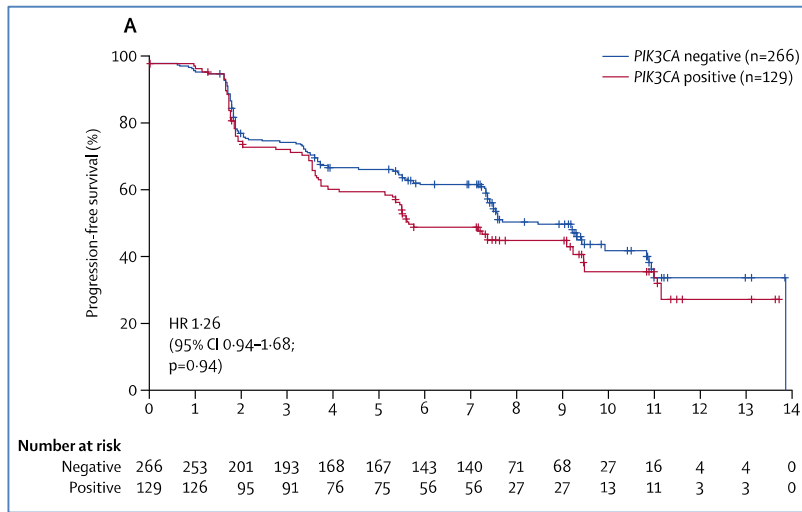


**STEPP analysis for PgR pos**



efficacy of fulvestrant plus palbociclib were not significantly associated with expression level of oestrogen or progesteron receptors

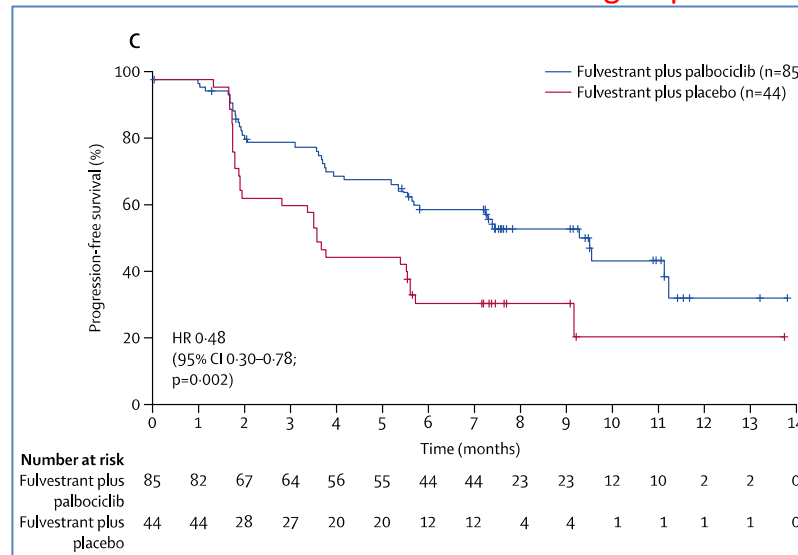
# PI3K status



patients with PIK3CA mutations and patients without mutations irrespective of treatment assignment

patients without PIK3CA mutations in the palbociclib and control groups

patients with PIK3CA mutations in the palbociclib and control groups



# PALOMA-3: Conclusions

The significant improvement in efficacy with the addition of palbociclib to fulvestrant was maintained **through longer follow-up**

- Benefit demonstrated across all subgroups

**No new safety concerns** were identified; certain hematologic AEs were more common with palbociclib than fulvestrant alone

- The incidence of febrile neutropenia was similar for both treatment arms (0.9% vs 0.6%, respectively)
- Discontinuations due to AEs were similar with palbociclib + fulvestrant and placebo + fulvestrant (4% vs 2%, respectively)

The benefits of the combination **are maintained irrespective of** expression level of hormone receptors and PI3K status

# Open Questions

- genetic changes in **cyclin D1 and p16** are known to occur in breast cancer and might have a role in the further selection of patients for treatment with a CDK4/6 inhibitor.
- **however, in clinical trials, patients selection on the basis of cyclin D1 amplification, p16 loss or PI3K status was not associated with an improved outcome from palbociclib**
- one of the most important markers of sensitivity to palbociclib is the **presence of an intact Rb pathway**; however, since pRb loss is uncommon in oestrogen receptor-positive, HER2-negative breast cancers, it was not used as a prospective independent biomarker for patient selection in the present study.

**oestrogen receptor positivity** is currently the best and most effective predictive marker for the identification of patients likely to respond to CDK4/6 inhibition.