

**TRIALs of CDK4/6 inhibitor  
in women with  
hormone-receptor-positive  
metastatic breast cancer**

**Marta Bonotto**

**Department of Oncology  
University Hospital of Udine**





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in women with  
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metastatic breast cancer**

**ABOUT METHODOLOGY**

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- OPPORTUNITIES
- OBJECTIVES
- DESIGN
- ENDPOINTS
- POPULATION
- RESULTS
- CONSIDERATIONS

- **OPPORTUNITIES**
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**TRIALs of CDK4 and CDK6 inhibitor  
in women with  
hormone-receptor-positive  
metastatic breast cancer**

**Feasible**

**Interesting**

**Novel**

**Ethical**

**Relevant**

# **TRIALs of CDK4 and CDK6 inhibitor in women with hormone-receptor-positive metastatic breast cancer**

40,000 new cases of MBC per year in the US  
The most frequently diagnosed cancer in women  
60-65% of cases hormone receptor-positive

**Feasible**

**Interesting**

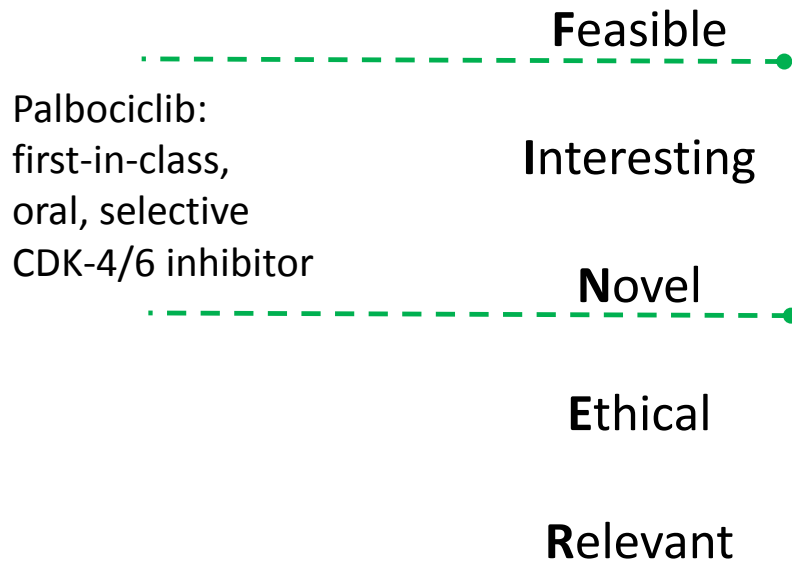
**Novel**

**Ethical**

**Relevant**

# TRIALs of CDK4 and CDK6 inhibitor in women with hormone-receptor-positive metastatic breast cancer

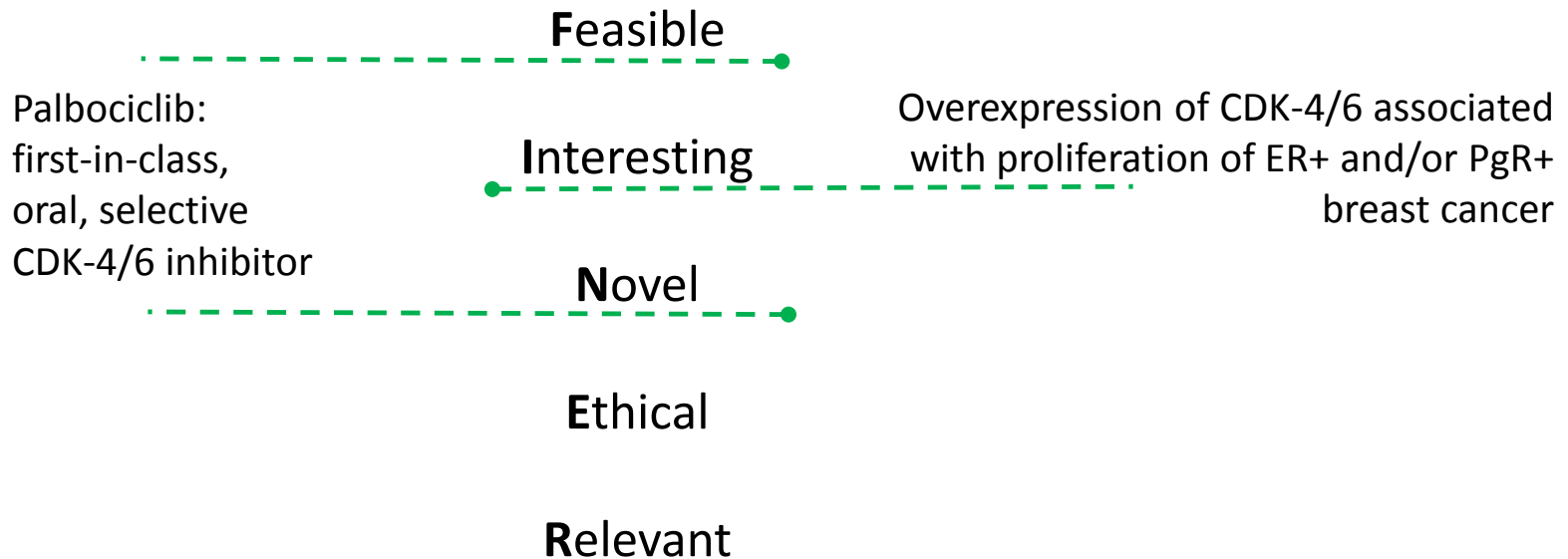
40,000 new cases of MBC per year in the US  
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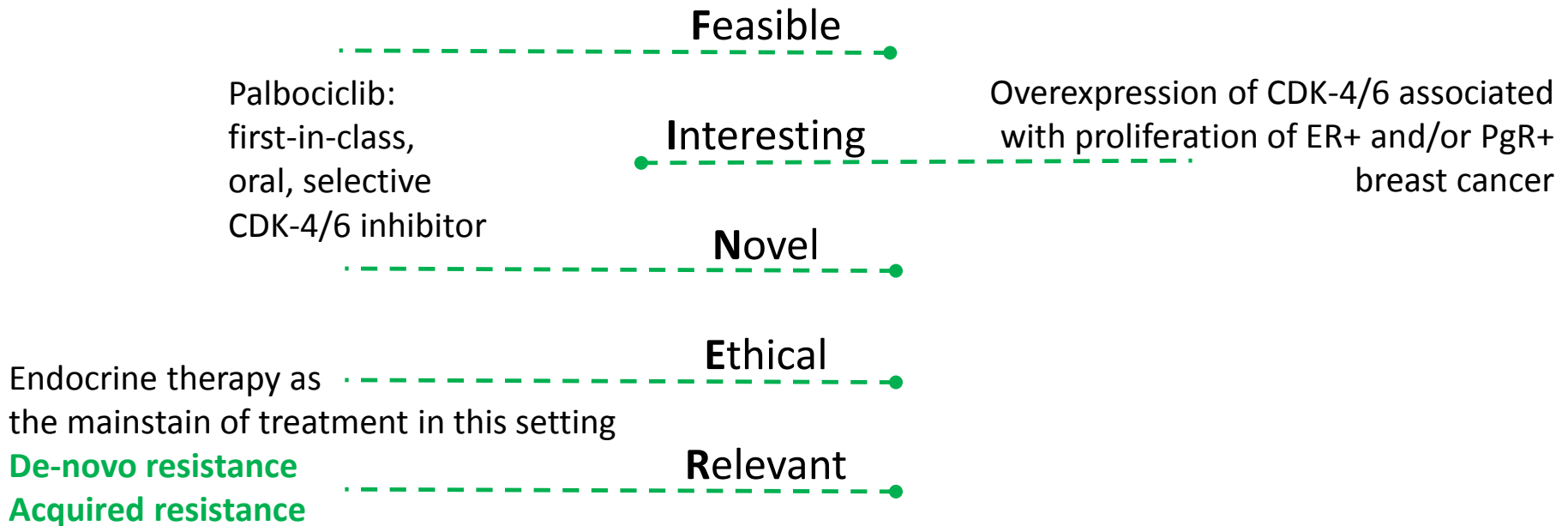
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BOLERO-2

$\geq 2^\circ$  line

Everolimus+exemestane  
vs. placebo+exemestane

# Primary Purpose: Treatment

ENHANCING THE EFFICACY OF ENDOCRINE THERAPY

## BOLERO-2

*≥2° line*

Everolimus+exemestane  
vs. placebo+exemestane

## PALOMA -1

*1° line*

palbociclib+letrozole  
vs. letrozole

## PALOMA -3

*≥2° line*

palbociclib+fulvestrant  
vs. placebo+fulvestrant

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Study First Received: March, 2009  
Results First Received: July, 2012

## PALOMA -1

**$1^{\circ}$  line**

palbociclib+letrozole  
vs. letrozole

Study First Received: July, 2008  
Results First Received: March, 2015

## PALOMA -3

**$\geq 2^{\circ}$  line**

palbociclib+fulvestrant  
vs. placebo+fulvestrant

Study First Received: September, 2013  
Estimated Study Completion Date: January, 2017  
Primary Completion Date: December, 2014  
(Final data collection date for primary outcome measure)

ORIGINAL ARTICLE

## Everolimus in Postmenopausal Hormone-Receptor-Positive Advanced Breast Cancer

José Baselga, M.D., Ph.D., Mario Campone, M.D., Ph.D., Martine Piccart, M.D., Ph.D., Howard A. Burris III, M.D., Hope S. Rugo, M.D., Tarek Sahmoud, M.D., Ph.D., Shinzaburo Noguchi, M.D., Michael Gnant, M.D., Kathleen I. Pritchard, M.D., Fabienne Lebrun, M.D., J. Thaddeus Beck, M.D., Yoshinori Ito, M.D., Denise Yardley, M.D., Ines Deleu, M.D., Alejandra Perez, M.D., Thomas Bachelot, M.D., Ph.D., Luc Vittori, M.Sc., Zhiying Xu, Ph.D., Pabak Mukhopadhyay, Ph.D., David Lebwohl, M.D., and Gabriel N. Hortobagyi, M.D.

N ENGL J MED 366;6 NEJM.ORG FEBRUARY 9, 2012

## Everolimus plus exemestane for hormone-receptor-positive, human epidermal growth factor receptor-2-negative advanced breast cancer: overall survival results from BOLERO-2<sup>†</sup>

M. Piccart<sup>1\*</sup>, G. N. Hortobagyi<sup>2</sup>, M. Campone<sup>3</sup>, K. I. Pritchard<sup>4</sup>, F. Lebrun<sup>1</sup>, Y. Ito<sup>5</sup>, S. Noguchi<sup>6</sup>, A. Perez<sup>7</sup>, H. S. Rugo<sup>8</sup>, I. Deleu<sup>9</sup>, H. A. Burris III<sup>10</sup>, L. Provencher<sup>11</sup>, P. Neven<sup>12</sup>, M. Gnant<sup>13</sup>, M. Shtivelband<sup>14</sup>, C. Wu<sup>15</sup>, J. Fan<sup>15</sup>, W. Feng<sup>15</sup>, T. Taran<sup>15</sup> & J. Baselga<sup>16</sup>

*Annals of Oncology* 25: 2357–2362, 2014  
doi:10.1093/annonc/mdu456  
Published online 17 September 2014

## The cyclin-dependent kinase 4/6 inhibitor palbociclib in combination with letrozole versus letrozole alone as first-line treatment of oestrogen receptor-positive, HER2-negative, advanced breast cancer (PALOMA-1/TRIO-18): a randomised phase 2 study

Richard S Finn, John P Crown, Istvan Lang, Katalin Boer, Igor M Bondarenko, Sergey O Kulyk, Johannes Ettl, Ravindranath Patel, Tamas Pinter, Marcus Schmidt, Yaroslav Shparyk, Anu R Thummala, Nataliya L Voytko, Camilla Fowst, Xin Huang, Sindy T Kim, Sophia Randolph, Dennis J Slamon

*Lancet Oncol* 2015; 16: 25–35

# The NEW ENGLAND JOURNAL of MEDICINE

ESTABLISHED IN 1812

JULY 16, 2015

VOL. 373 NO. 3

## Palbociclib in Hormone-Receptor-Positive Advanced Breast Cancer

Nicholas C. Turner, M.D., Ph.D., Jungsil Ro, M.D., Fabrice André, M.D., Ph.D., Sherene Loi, M.D., Ph.D., Sunil Verma, M.D., Hiroji Iwata, M.D., Nadia Harbeck, M.D., Sibylle Loibl, M.D., Cynthia Huang Bartlett, M.D., Ke Zhang, Ph.D., Carla Giorgetti, Ph.D., Sophia Randolph, M.D., Ph.D., Maria Koehler, M.D., Ph.D., and Massimo Cristofanilli, M.D.

## Fulvestrant plus palbociclib versus fulvestrant plus placebo for treatment of hormone-receptor-positive, HER2-negative metastatic breast cancer that progressed on previous endocrine therapy (PALOMA-3): final analysis of the multicentre, double-blind, phase 3 randomised controlled trial

Massimo Cristofanilli\*, Nicholas C Turner\*, Igor Bondarenko, Jungsil Ro, Seock-Ah Im, Norikazu Masuda, Marco Colleoni, Angela DeMichele, Sherene Loi, Sunil Verma, Hiroji Iwata, Nadia Harbeck, Ke Zhang, Kathy Puyana Theall, Yuqiu Jiang, Cynthia Huang Bartlett, Maria Koehler, Dennis Slamon

*Lancet Oncol* 2016; 17: 425–39

- OPPORTUNITIES
- OBJECTIVES
- **DESIGN**
- ENDPOINTS
- POPULATION
- RESULTS
- CONSIDERATIONS



## **PALOMA -1**

1° line  
palbociclib+letrozole  
vs. letrozole

DESIGN: two part study design: Phase I-II – screening design

## **PALOMA -3**

≥2° line  
palbociclib+fulvestrant vs.  
placebo+fulvestrant

DESIGN: Phase III

## **PALOMA -1**

1° line  
palbociclib+letrozole  
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DESIGN: two part study design: Phase I-II – screening design

INTERVENTION: parallel assignment

ALLOCATION: randomized

## **PALOMA -3**

≥2° line  
palbociclib+fulvestrant vs.  
placebo+fulvestrant

DESIGN: Phase III

INTERVENTION: parallel assignment

ALLOCATION: randomized

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palbociclib+letrozole  
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DESIGN: two part study design: Phase I-II – screening design

INTERVENTION: parallel assignment

ALLOCATION: randomized

OPEN LABEL

## **PALOMA -3**

≥2° line  
palbociclib+fulvestrant vs.  
placebo+fulvestrant

DESIGN: Phase III

INTERVENTION: parallel assignment

ALLOCATION: randomized

PLACEBO-CONTROLLED: Masking: Double Blind (Subject, Caregiver, Investigator)

## **PALOMA -1**

1° line  
palbociclib+letrozole  
vs. letrozole

DESIGN: two part study design: Phase I-II – screening design

INTERVENTION: parallel assignment

**ALLOCATION: randomized 1:1**

OPEN LABEL

## **PALOMA -3**

≥2° line  
palbociclib+fulvestrant vs.  
placebo+fulvestrant

**DESIGN: Phase III**

**INTERVENTION: parallel assignment**

**ALLOCATION: randomized 2:1**

**PLACEBO-CONTROLLED: Masking: Double Blind (Subject, Caregiver, Investigator)**

# PALOMA -1

1° line  
palbociclib+letrozole  
vs. letrozole

Main assumption:

**114 PFS events to have 80% power to detect a hazard ratio of 0.67**

53% increase in median PFS → 9 months vs. 13.5 months

with a one-side significance level of  $\alpha=0.10$

Parameter (estimate)	Results
Primary endpoint: PFS	

Sample size with main assumption:

150 in cohort 2

Interim analysis for early stopping	
-------------------------------------	--

50% of the total PFS events: 57

# PALOMA -1

1° line  
palbociclib+letrozole  
vs. letrozole

Main assumption:

**114 PFS events to have 80% power to detect a hazard ratio of 0.67**

53% increase in median PFS → 9 months vs. 13.5 months

with a one-side significance level of  $\alpha=0.10$

## Parameter (estimate)

## Results

### Primary endpoint: PFS

Sample size with main assumption:  
150 in cohort 2

Unplanned interim analysis (31 events in  
cohort 1)

### Interim analysis for early stopping

50% of the total PFS events: 57

# PALOMA -1

1° line  
palbociclib+letrozole  
vs. letrozole

Main assumption:

**114 PFS events to have 80% power to detect a hazard ratio of 0.67**

53% increase in median PFS → 9 months vs. 13.5 months

with a one-side significance level of  $\alpha=0.10$

## Parameter (estimate)

## Results

### Primary endpoint: PFS

Sample size with main assumption:  
150 in cohort 2

Unplanned interim analysis (31 events in  
cohort 2)  
CCND1 gene amplification  
Loss of p16

### Interim analysis for early stopping

50% of the total PFS events: 57

# PALOMA -1

1° line  
palbociclib+letrozole  
vs. letrozole

Main assumption:

**114 PFS events to have 80% power to detect a hazard ratio of 0.67**

53% increase in median PFS → 9 months vs. 13.5 months

with a one-side significance level of  $\alpha=0.10$

## Parameter (estimate)

## Results

### Primary endpoint: PFS

Sample size with main assumption:  
150 in cohort 2

Unplanned interim analysis (31 events in  
cohort 1)



165 in cohort 1 and 2

### Interim analysis for early stopping

50% of the total PFS events: 57

61



**FALL IN THE EVENT RATE over time**



# PALOMA -1

1° line  
palbociclib+letrozole  
vs. letrozole

Main assumption:

**114 PFS events to have 80% power to detect a hazard ratio of 0.67**

53% increase in median PFS → 9 months vs. 13.5 months

with a one-side significance level of  $\alpha=0.10$

## Parameter (estimate)

## Results

### Primary endpoint: PFS

Sample size with main assumption:  
150 in cohort 2

Unplanned interim analysis (31 events in  
cohort 1)



165 in cohort 1 and 2

### Interim analysis for early stopping

50% of the total PFS events: 57

61



Adjustment:

**95 PFS events to have 98% power to detect a hazard ratio of 0.50**

**75% power to detect a hazard ratio of 0.67**

with a one-side significance level of  $\alpha=0.10$

# PALOMA -3

$\geq 2^\circ$  line  
palbociclib+fulvestrant vs.  
placebo+fulvestrant

Main assumption:

**238 PFS events to have 90% power to detect a hazard ratio of 0.64**

56% increase in median PFS  $\rightarrow$  6 months vs. 9.4 months  
with a one-side significance level of  $\alpha=0.025$

## Parameter (estimate)

## Results

### Primary endpoint: PFS

Sample size with main assumption: 417

521

### Interim analysis for early stopping

60% of the total PFS events: 143

195

Pre-specified Haybittle-Peto boundary  $\alpha=0.00135$

$P < 0.001$

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## **PALOMA -1**

1° line  
palbociclib+letrozole  
vs. letrozole

PRIMARY ENDPOINT: Phase I : overall safety profile of PD 0332991 (time frame: 14 mo)  
II : progression free survival (time frame: 3.5 years)

## **PALOMA -3**

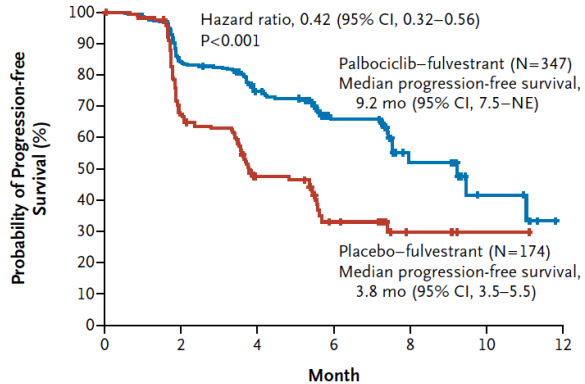
≥2° line  
palbociclib+fulvestrant vs.  
placebo+fulvestrant

PRIMARY ENDPOINT: Phase III : progression free survival (time frame: baseline up to 10 mo)

# PALOMA -3

≥2° line  
palbociclib+fulvestrant vs.  
placebo+fulvestrant

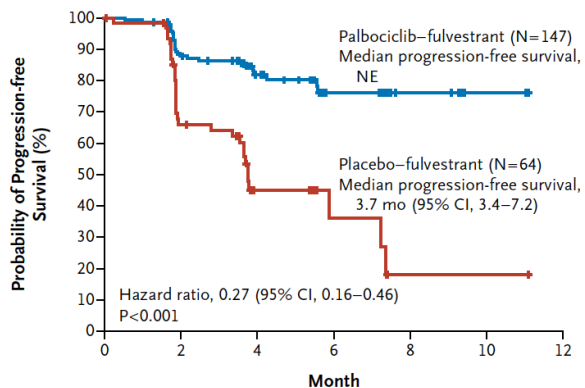
## A Assessment by Investigators



No. at Risk

Palbociclib–fulvestrant	347	279	132	59	16	6
Placebo–fulvestrant	174	109	42	16	6	1

## B Central Assessment



No. at Risk

Palbociclib–fulvestrant	147	118	53	24	7	2
Placebo–fulvestrant	64	37	12	4	1	1

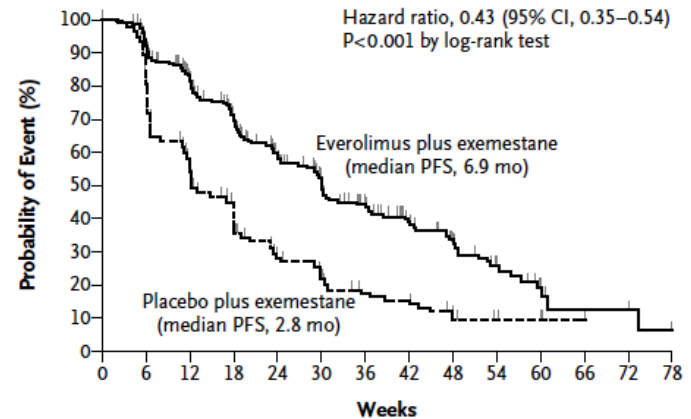
- Imaging (CT, MRI, or both) was performed at screening within 4 weeks before randomization then repeated **every 8+1** weeks until progression
- Measurable disease according to RECIST 1.1 or bone-only lytic of mixed lesions assessable by CT or MRI
- **Blinded review: 211 pts (40%)**

# BOLERO -2

≥2° line  
Everolimus+exemestane vs.  
placebo+exemestane

- Imaging (CT, MRI, or both) was performed at screening then repeated every 6 weeks until progression
- Measurable disease or mainly lytic bone disease assessable by CT or MRI
- Central review: 724 pts (100%)

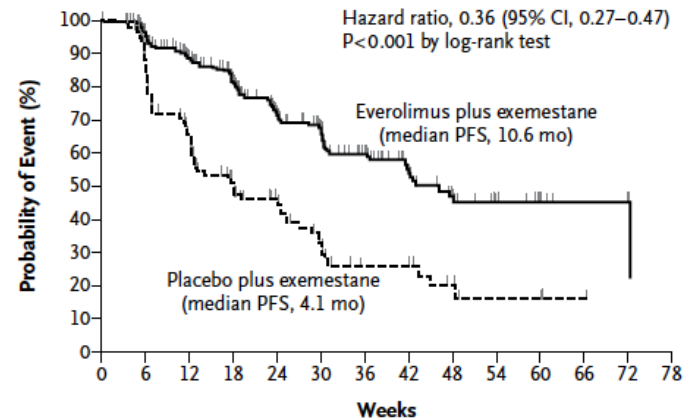
## A Local Assessment



### No. at Risk

Everolimus	485	398	294	212	144	108	75	51	34	18	8	3	3	0
Placebo	239	177	109	70	36	26	16	14	9	4	3	1	0	0

## B Central Assessment



### No. at Risk

Everolimus	485	385	281	201	132	102	67	43	28	18	9	3	2	0
Placebo	239	168	94	55	33	20	11	11	6	3	3	1	0	0

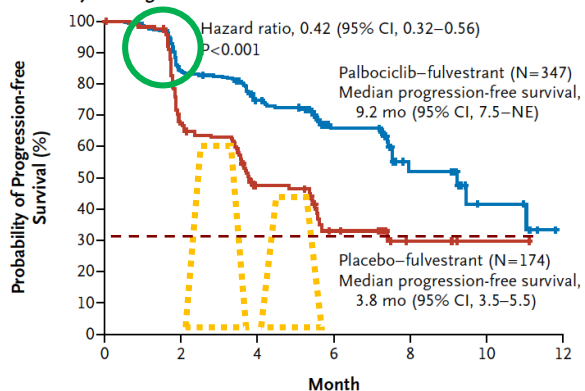
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≥2° line  
palbociclib+fulvestrant vs.  
placebo+fulvestrant

# BOLERO -2

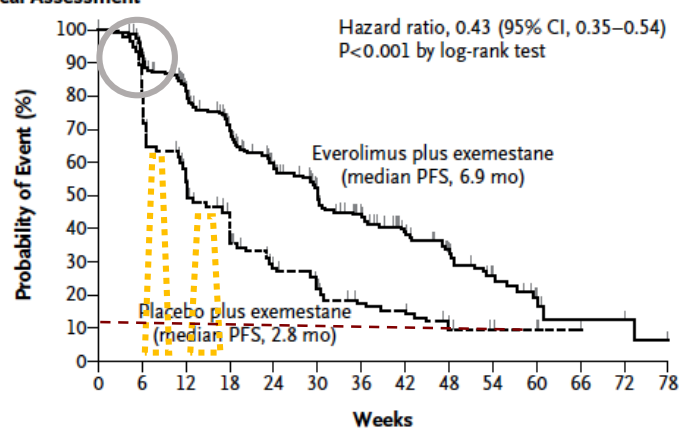
≥2° line  
Everolimus+exemestane vs.  
placebo+exemestane

## A Assessment by Investigators



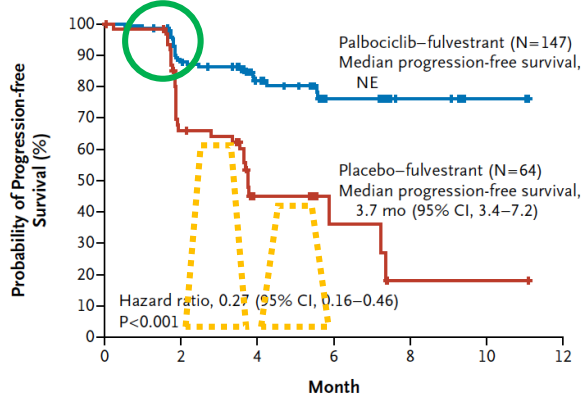
No. at Risk	0	2	4	6	8	10	12
Palbociclib-fulvestrant	347	279	132	59	16	6	
Placebo-fulvestrant	174	109	42	16	6	1	

## A Local Assessment



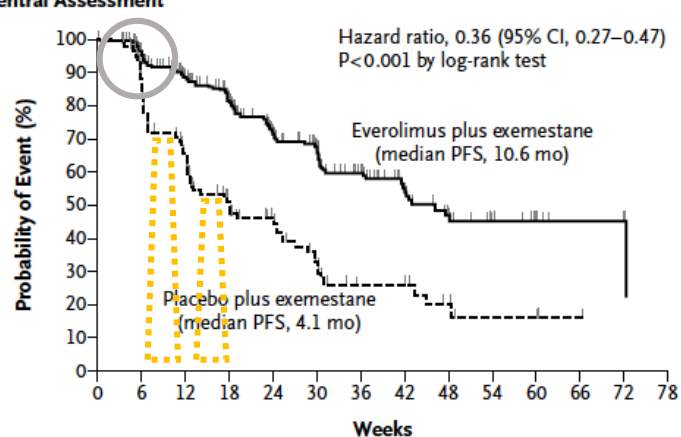
No. at Risk	0	6	12	18	24	30	36	42	48	54	60	66	72	78
Everolimus	485	398	294	212	144	108	75	51	34	18	8	3	3	0
Placebo	239	177	109	70	36	26	16	14	9	4	3	1	0	0

## B Central Assessment



No. at Risk	0	2	4	6	8	10	12
Palbociclib-fulvestrant	147	118	53	24	7	2	
Placebo-fulvestrant	64	37	12	4	1	1	

## B Central Assessment



No. at Risk	0	6	12	18	24	30	36	42	48	54	60	66	72
Everolimus	485	385	281	201	132	102	67	43	28	18	9	3	2
Placebo	239	168	94	55	33	20	11	11	6	3	3	1	0

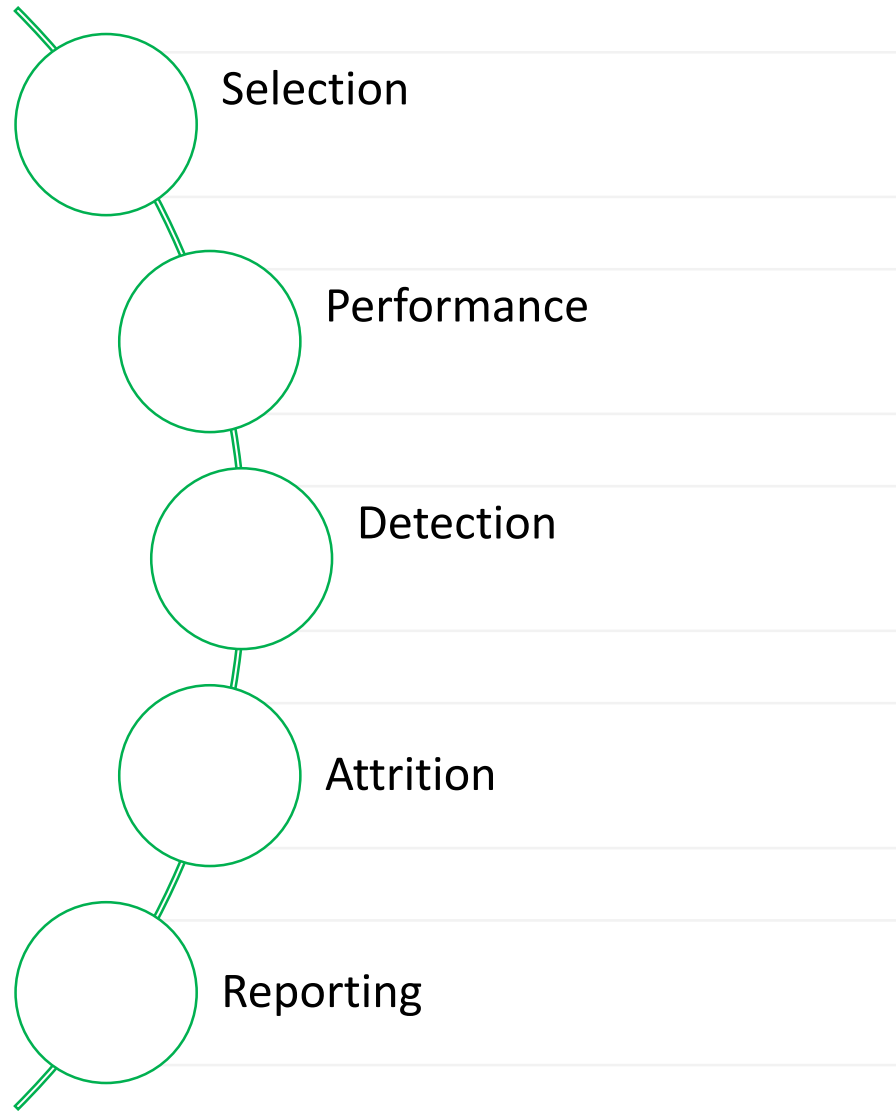


Clinicians could easily guess which patient was not receiving

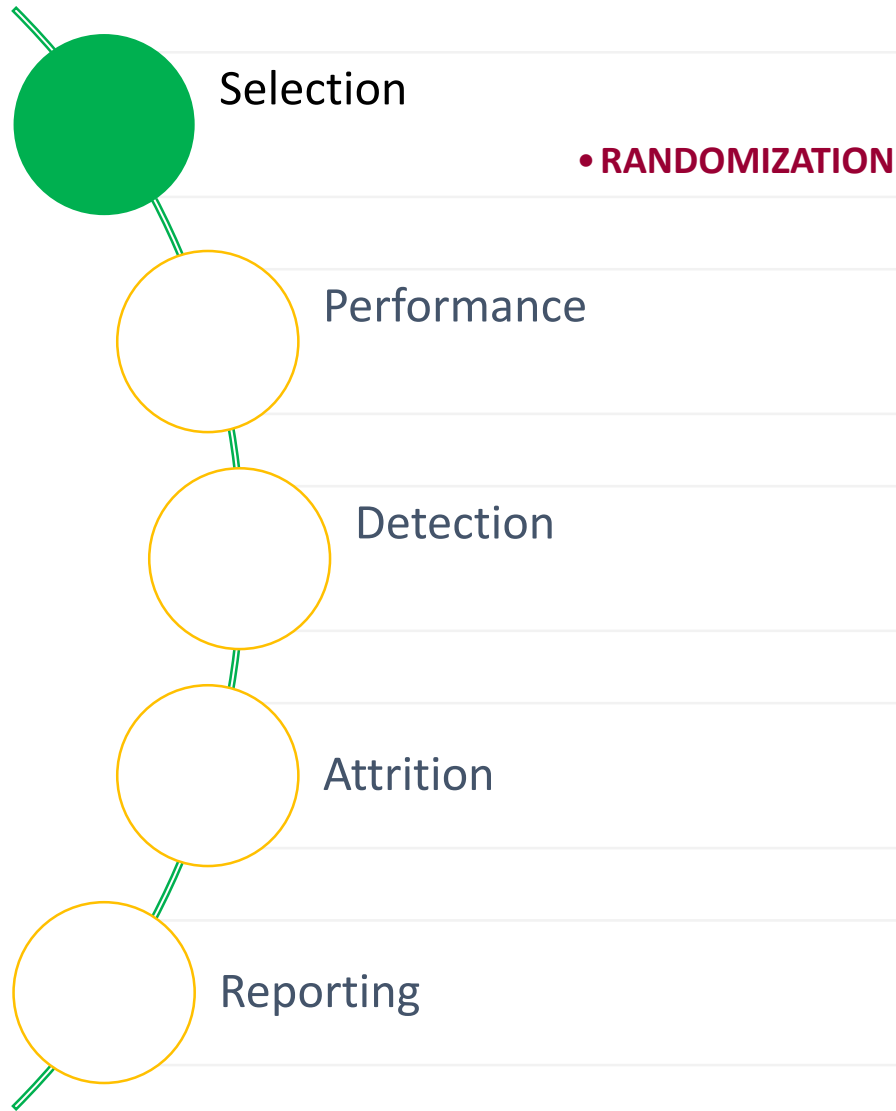
- Palbociclib  
(e.g. through absence of neutropenia; 78.8% vs 3.5%)
- Everolimus  
(e.g. through absence of stomatitis; 56% vs 11%);



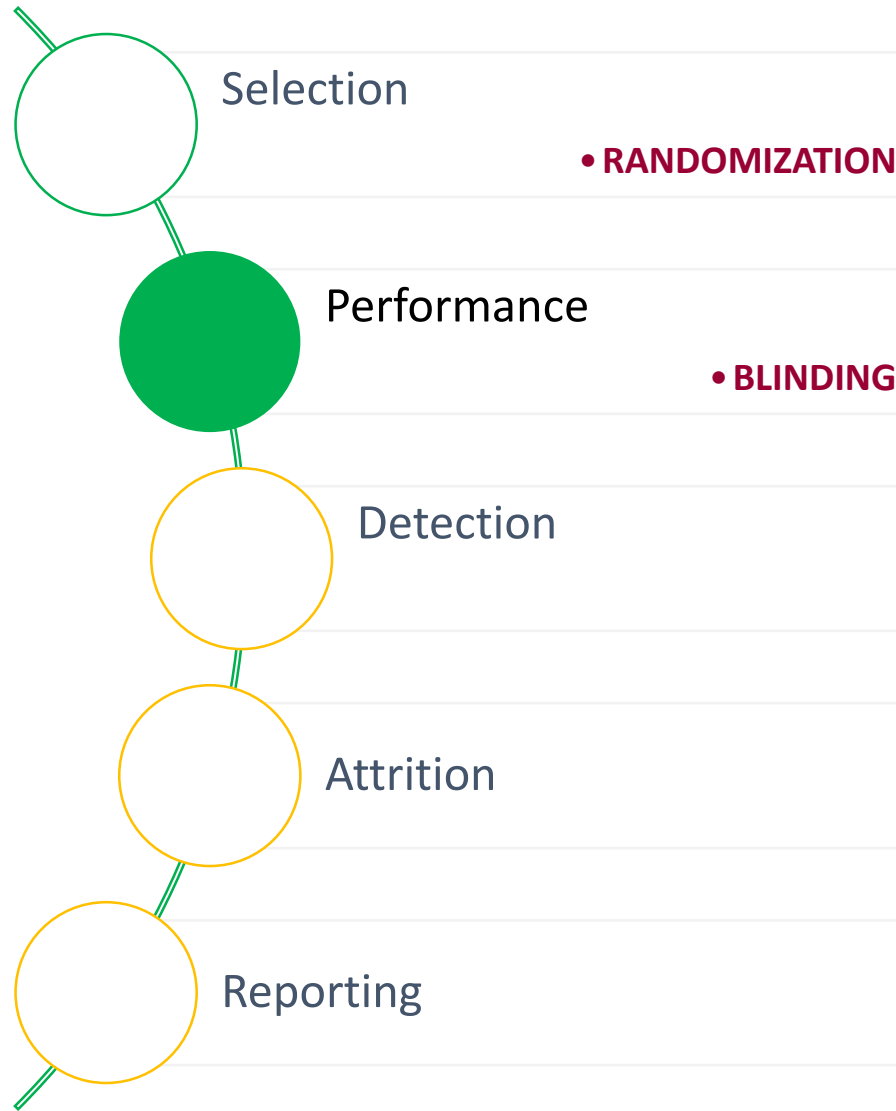
# BIAS



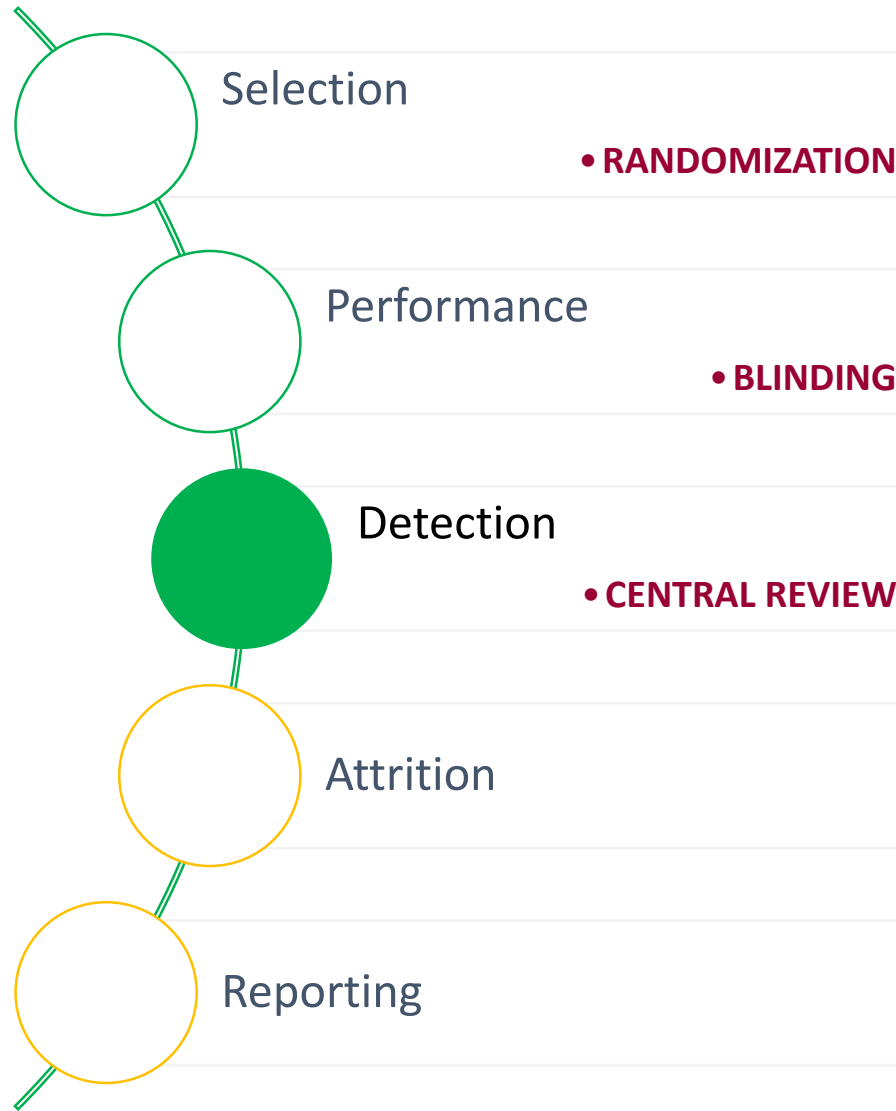
# BIAS



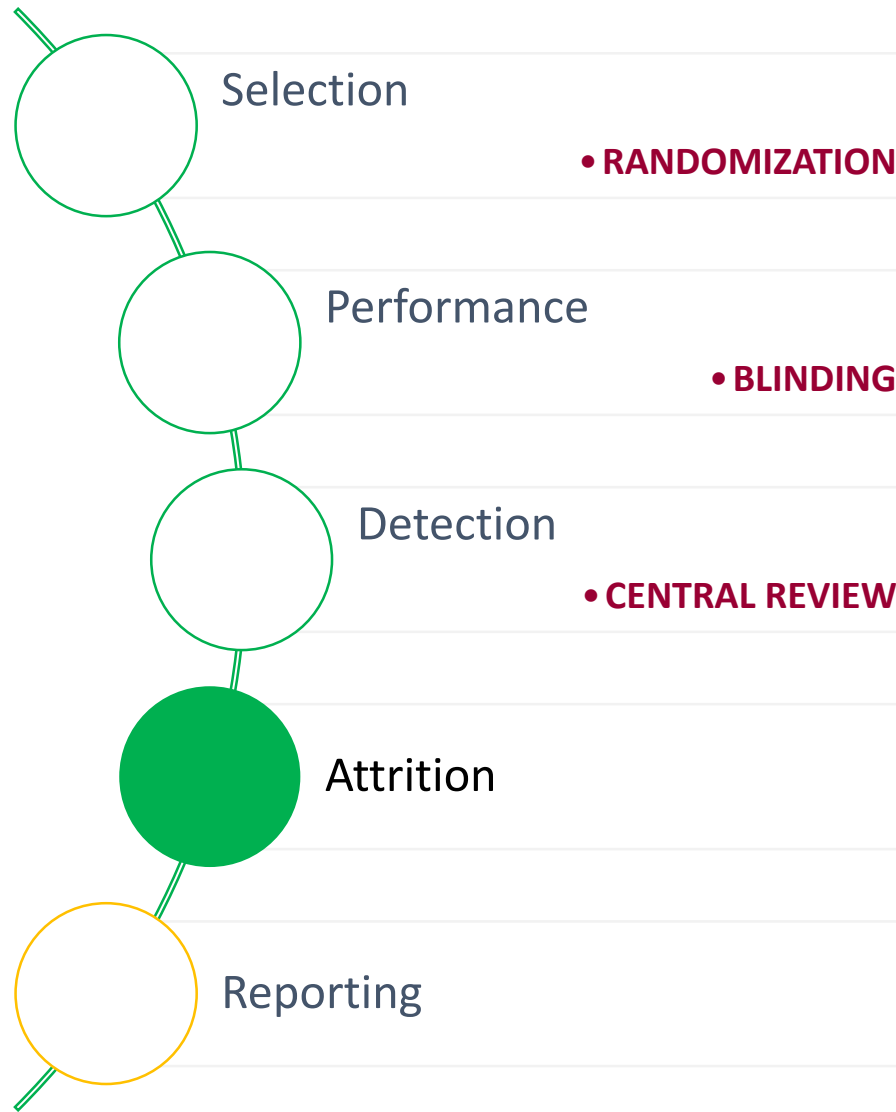
# BIAS



# BIAS

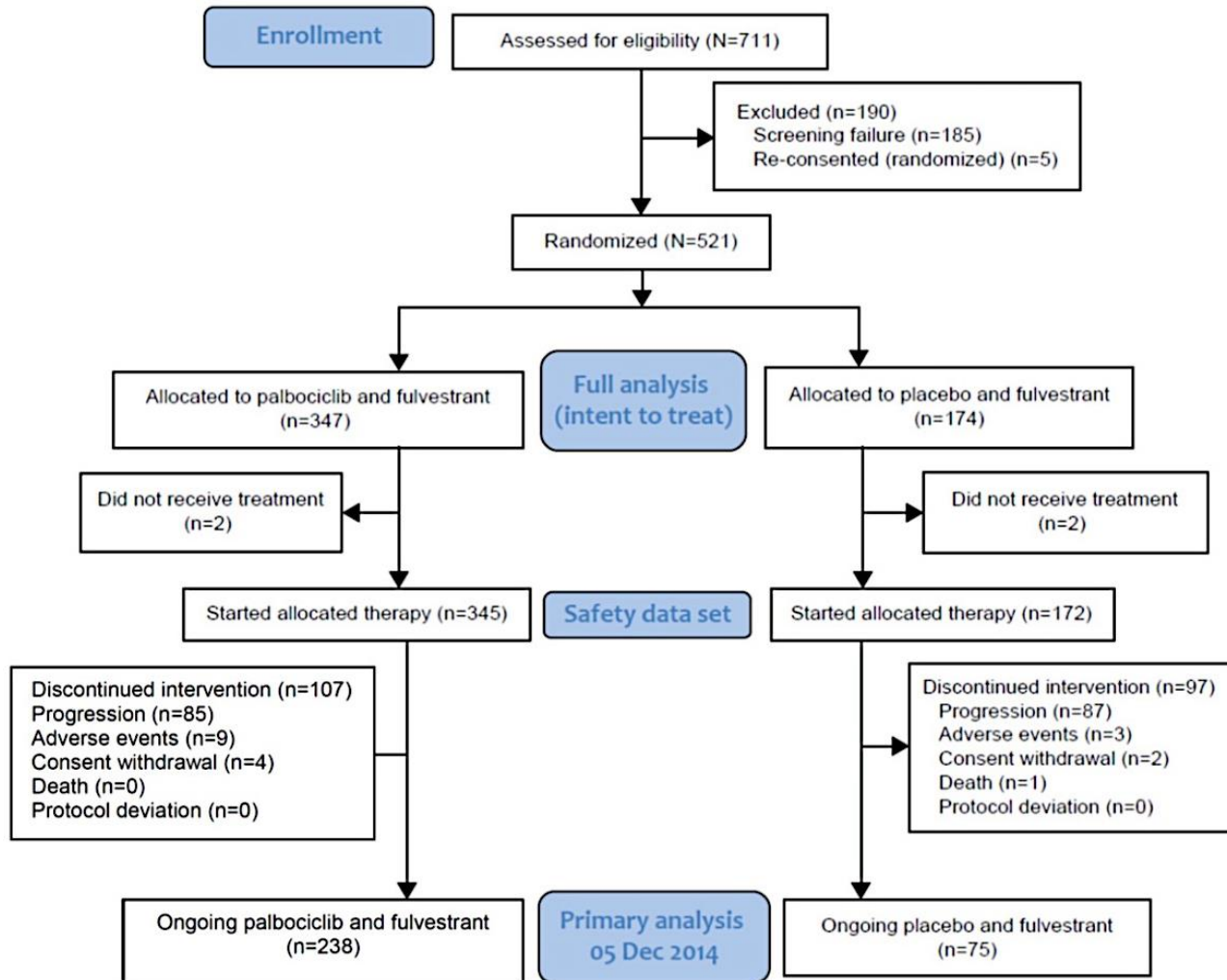


# BIAS



# PALOMA -3

≥2° line  
palbociclib+fulvestrant vs.  
placebo+fulvestrant



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# PALOMA -3

≥2° line  
palbociclib+fulvestrant vs.  
placebo+fulvestrant

**HR-positive,  
HER2-negative,  
advanced breast cancer (ABC)  
Pre/peri\* or post-menopausal women  
≤ 1 prior chemotherapy regimen for ABC**

**(N = 521)**

**2:1**

Palbociclib 125 mg daily , 3 wks on/1 wk off  
+ Fulvestrant 500 mg q4w  
(n = 347)

Placebo 3 wks on/1 wk off  
+ Fulvestrant 500 mg q4w  
(n = 174)

- Stratification
  - Sensitivity to previous hormonal therapy
  - Presence of visceral disease
  - Menopausal status at study entry

## Refractory to therapy

- Recurrence during or within 12 mos of end of adjuvant treatment
- Progression during or within 1 mo after end of treatment for advanced disease

## Sensitivity to previous therapy

- relapse after 24 months of adjuvant endocrine therapy
- clinical benefit (objective response [complete or partial] or stable disease lasting ≥24 weeks) from prior endocrine therapy in the context of advanced disease.



# PALOMA -3

≥2° line  
palbociclib+fulvestrant vs.  
placebo+fulvestrant

Characteristic	Palbociclib + Fulvestrant (n = 347)	Placebo + Fulvestrant (n = 174)
Median age, yrs (range)	57 (30-88)	56 (29-80)
ER+ and PgR+, %	68.6	63.8
ER+ and PgR-, %	26.2	27.6
Sensitive to prior hormonal Tx, %	79.0	78.2
Metastatic disease at study entry, %	85.3	83.9
Prior AI ± GnRH agonist, %	68.6	67.8
Prior tamoxifen ± GnRH agonist, %	18.2	17.2
Prior neo/adjuvant chemotherapy, %	41.5	43.1
Prior lines of tx for metastatic disease, %		
▪ 1	38.0	40.2
▪ 2	25.9	24.7
▪ ≥ 3	11.8	9.2

- **Baseline characteristics well balanced between arms; ~ 75% < 65 yrs of age**

PALOMA -3		BOLERO -2	
palbociclib+ fulvestrant	placebo+ fulvestrant	Everolimus+ exemestane	placebo+ exemestane

### Visceral disease

yes	56	56	59.4	60.3
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### Prior lines of therapy in the context of metastatic disease

0	24.2	25.9	-	-
1	38	40.2	16	18
2	25.9	24.7	30	30
≥3	11.8	9.2	54	53

<b>PALOMA -3</b>		<b>BOLERO -2</b>	
palbociclib+ fulvestrant	placebo+ fulvestrant	Everolimus+ exemestane	placebo+ exemestane

**Visceral disease**

yes	56	56	59.4	60.3
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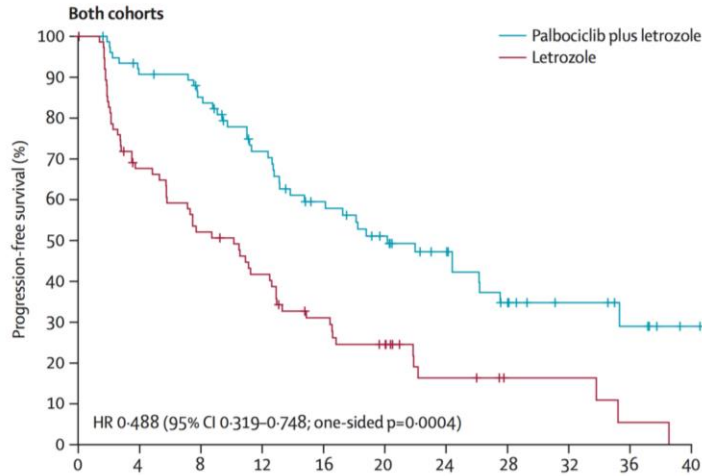
**Prior lines of therapy in the context of metastatic disease**

0	24.2	25.9	-	-
1	38	40.2	16	18
2	25.9	24.7	30	30
≥3	11.8	9.2	54	53

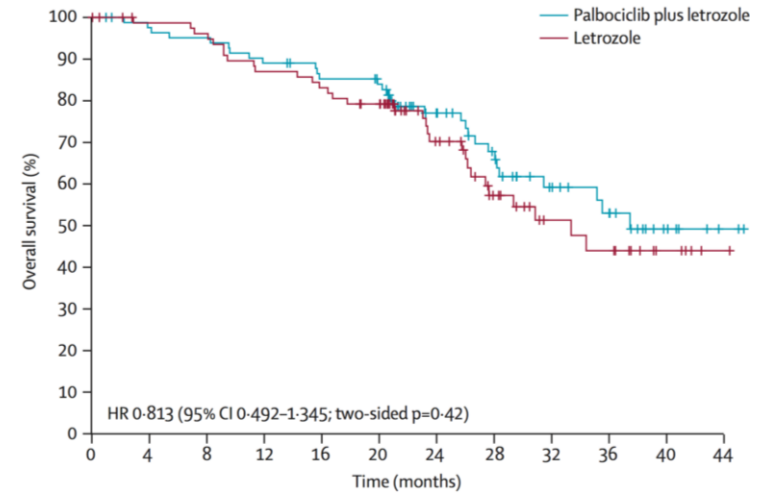
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# PALOMA -1

1° line  
palbociclib+letrozole  
vs. letrozole



	0	4	8	12	16	20	24	28	32	36	40
Palbociclib plus letrozole	84	67	60	47	36	28	21	13	8	5	1
Letrozole	81	48	36	28	19	14	6	3	3	1	

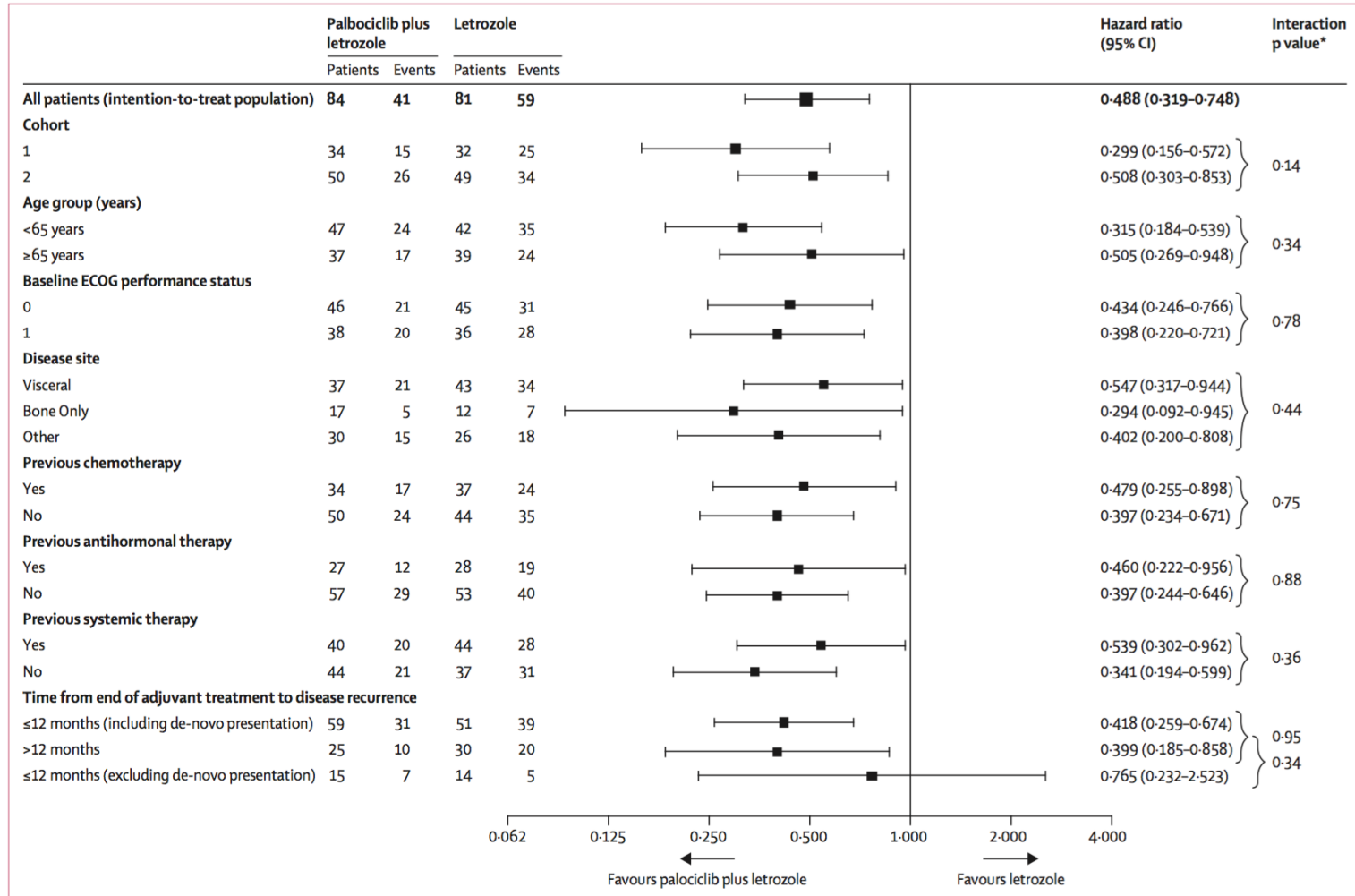


	0	4	8	12	16	20	24	28	32	36	40	44
Palbociclib plus letrozole	84	80	78	73	68	65	47	35	22	17	7	2
Letrozole	81	76	74	67	64	59	37	23	14	12	5	1

	PFS (months)	OS (months)
Palbociclib+letrozole	<b>20.2</b> (13.8-27.5)	<b>37.5</b> (95% CI 28.4–NE; 30 events)
letrozole	10.2 (95% CI 5.7-12.6)	33.3 (26.4–NE; 31 events)

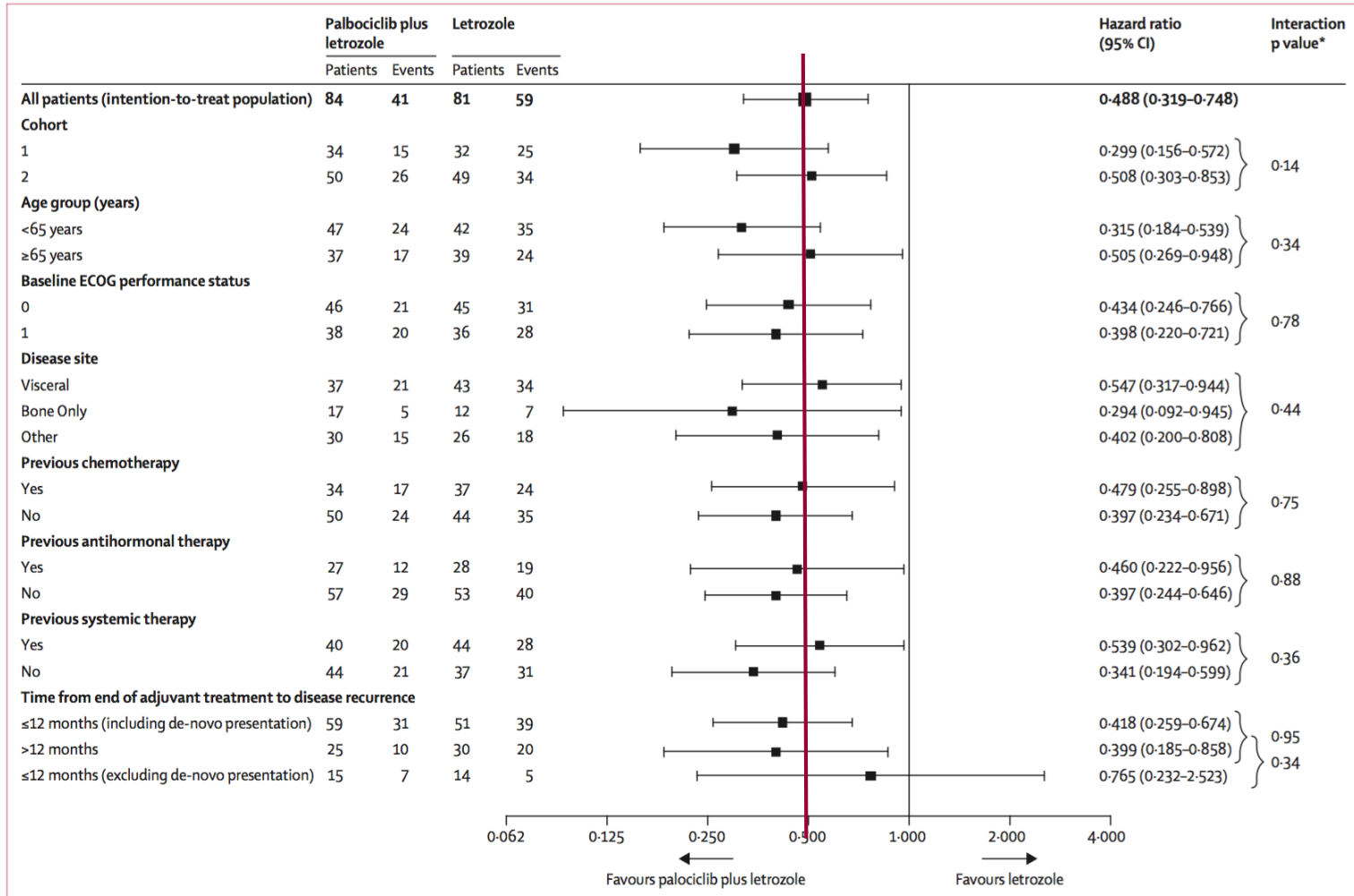
# PALOMA -1

1° line  
palbociclib+letrozole  
vs. letrozole



# PALOMA -1

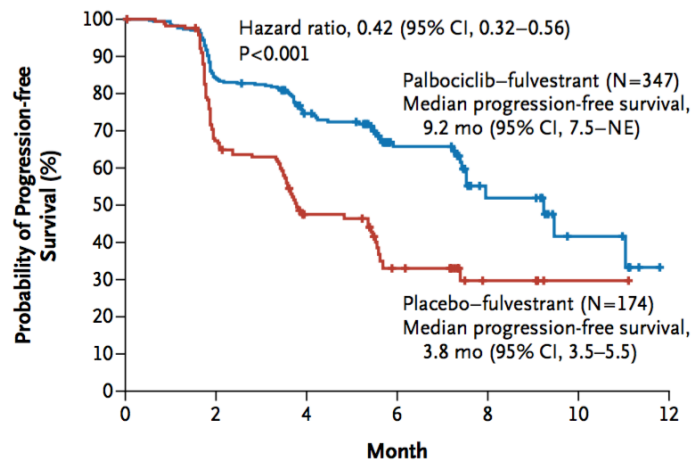
1° line  
palbociclib+letrozole  
vs. letrozole



# PALOMA -3

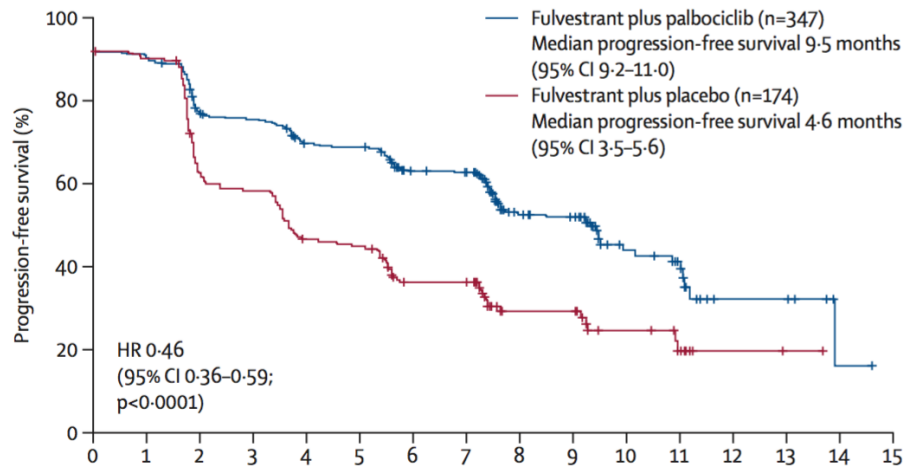
≥2° line  
palbociclib+fulvestrant vs.  
placebo+fulvestrant

## Assessment by Investigators



**No. at Risk**  
Palbociclib–  
fulvestrant  
Placebo–  
fulvestrant

	0	2	4	6	8	10	12
Palbociclib–fulvestrant	347	279	132	59	16	6	
Placebo–fulvestrant	174	109	42	16	6	1	



**Number at risk**

Fulvestrant plus palbociclib	347	333	281	273	247	244	202	197	91	85	32	23	7	7	1	0
Fulvestrant plus placebo	174	165	112	105	83	80	59	58	22	22	13	7	2	1	0	0

Main assumption:

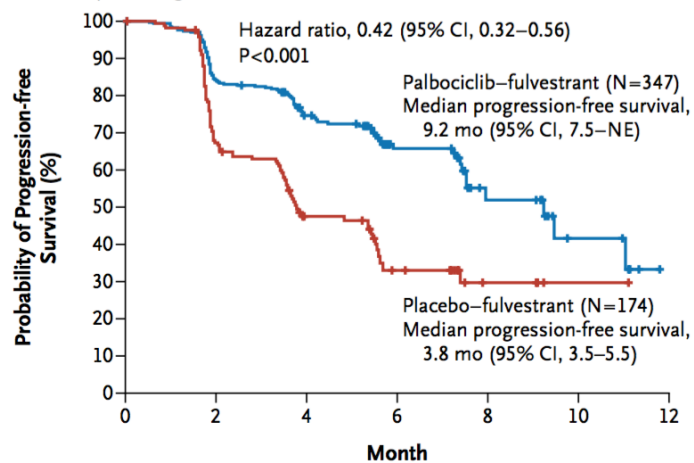
**238 PFS events to have 90% power to detect a hazard ratio of 0.64**  
56% increase in median PFS → 6 months vs. 9.4 months  
with a one-side significance level of  $\alpha=0.025$



# PALOMA -3

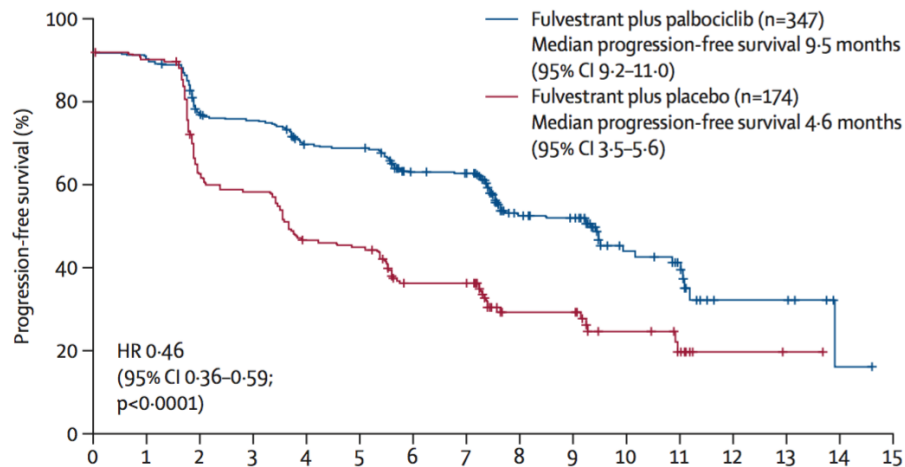
≥2° line  
palbociclib+fulvestrant vs.  
placebo+fulvestrant

## Assessment by Investigators



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**Number at risk**  
Fulvestrant plus  
palbociclib  
Fulvestrant plus  
placebo

	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Fulvestrant plus palbociclib	347	333	281	273	247	244	202	197	91	85	32	23	7	7	1	0
Fulvestrant plus placebo	174	165	112	105	83	80	59	58	22	22	13	7	2	1	0	0

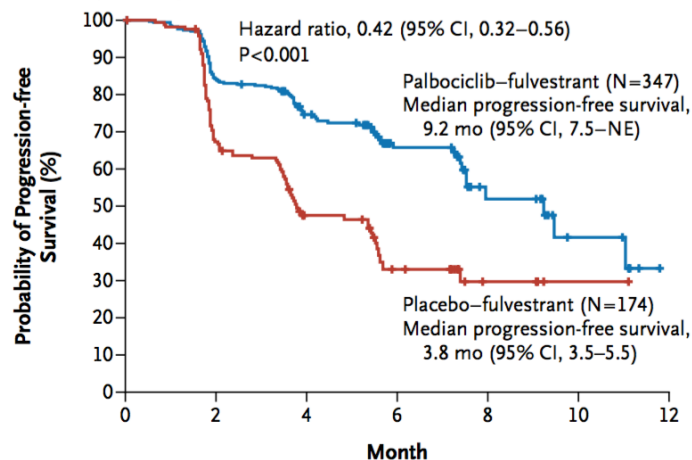
## What about COMPARATOR?

CONFIRM (fulvestrant 500 mg)	PFS (mo)	OS (mo)
ALL pts	6.5	26.4
AE subgroup (n=423)	8.6	30.6
AI subgroup (n=313)	5.4	24.1

# PALOMA -3

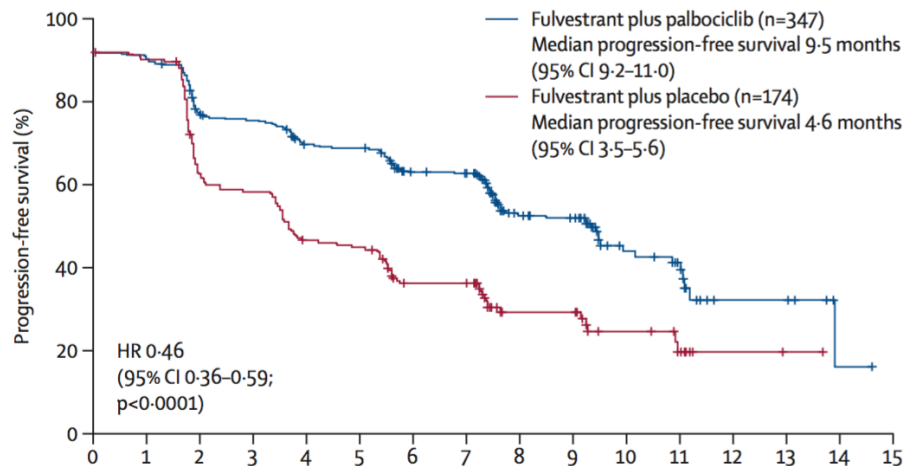
≥2° line  
palbociclib+fulvestrant vs.  
placebo+fulvestrant

## Assessment by Investigators



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Palbociclib–  
fulvestrant  
Placebo–  
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**Number at risk**  
Fulvestrant plus  
palbociclib  
Fulvestrant plus  
placebo

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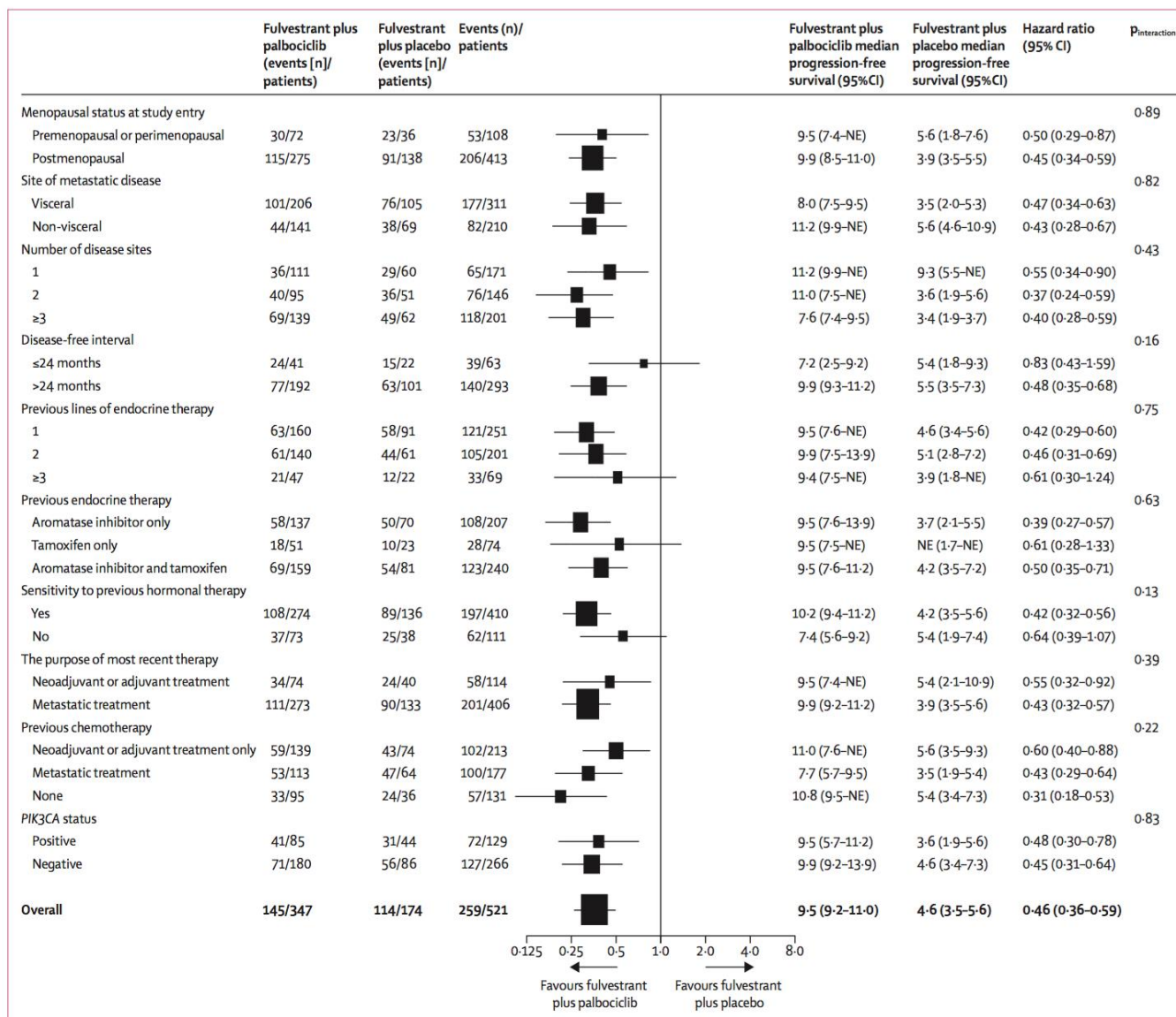
Exclusion criteria: “[...] more than one chemotherapy or endocrine therapy for advanced disease. [...]”

**PALOMA -3****BOLERO -2**

Age, median (years)	57	62
Pre-/peri-menopausal	20.7%	0%
Visceral disease	59.7%	56%
≥ 3 sites of disease	38.9%	36%
≥ 3 lines of therapy	10.9%	54%
Previous chemotherapy for advanced disease	30.8%	26%
Previous sensitivity to endocrine therapy	79%	84%
PFS, months	9.2 vs 3.8 HR 0.42, p<.001	6.9 vs 2.8 HR 0.43, p<.001
OS, months	-	31 vs 26.6 HR 0.89, p=0.14

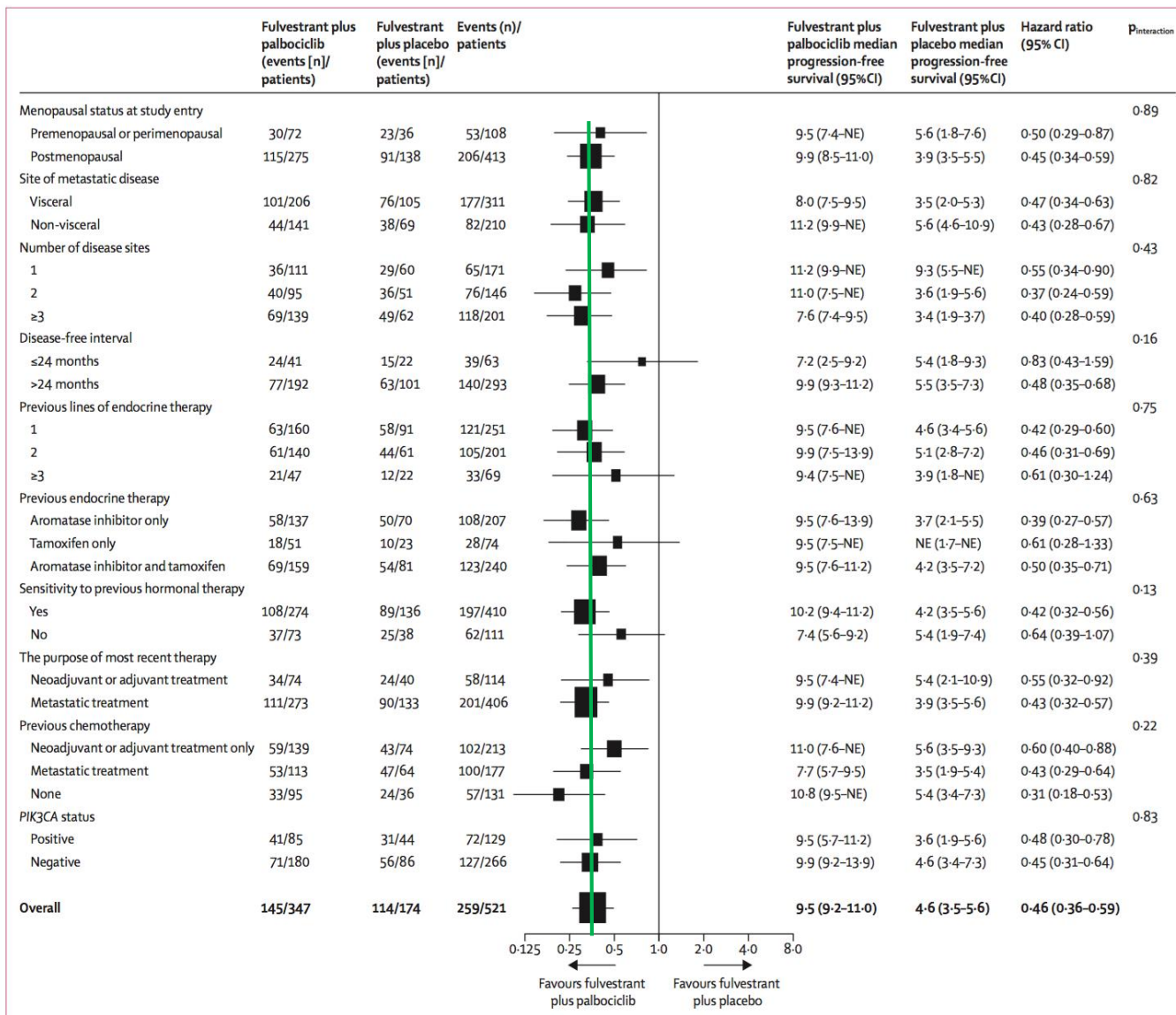
# PALOMA -3

≥2° line  
palbociclib+fulvestrant vs.  
placebo+fulvestrant



# PALOMA -3

≥2° line  
palbociclib+fulvestrant vs.  
placebo+fulvestrant



- OPPORTUNITIES
- OBJECTIVES
- DESIGN
- ENDPOINTS
- POPULATION
- RESULTS
- **CONSIDERATIONS**

## PALOMA -1

1° line  
palbociclib+letrozole  
vs. letrozole

- Phase II is a phase II

## PALOMA -3

≥2° line  
palbociclib+fulvestrant vs.  
placebo+fulvestrant

- Phase III is a phase III
  - INTERNAL VALIDITY and EXTERNAL VALIDITY
    - Imprecision: not serious
    - Risk of bias: not serious
      - Blinding and central review
    - Inconsistency:
      - Primary endpoint
        - PFS or OS
    - Indirectness:
      - comparator arm
        - Palbociclib: CT or not CT?



**The end**