



Il Carcinoma Mammario HR+/HER2-

Alessandra Fabi

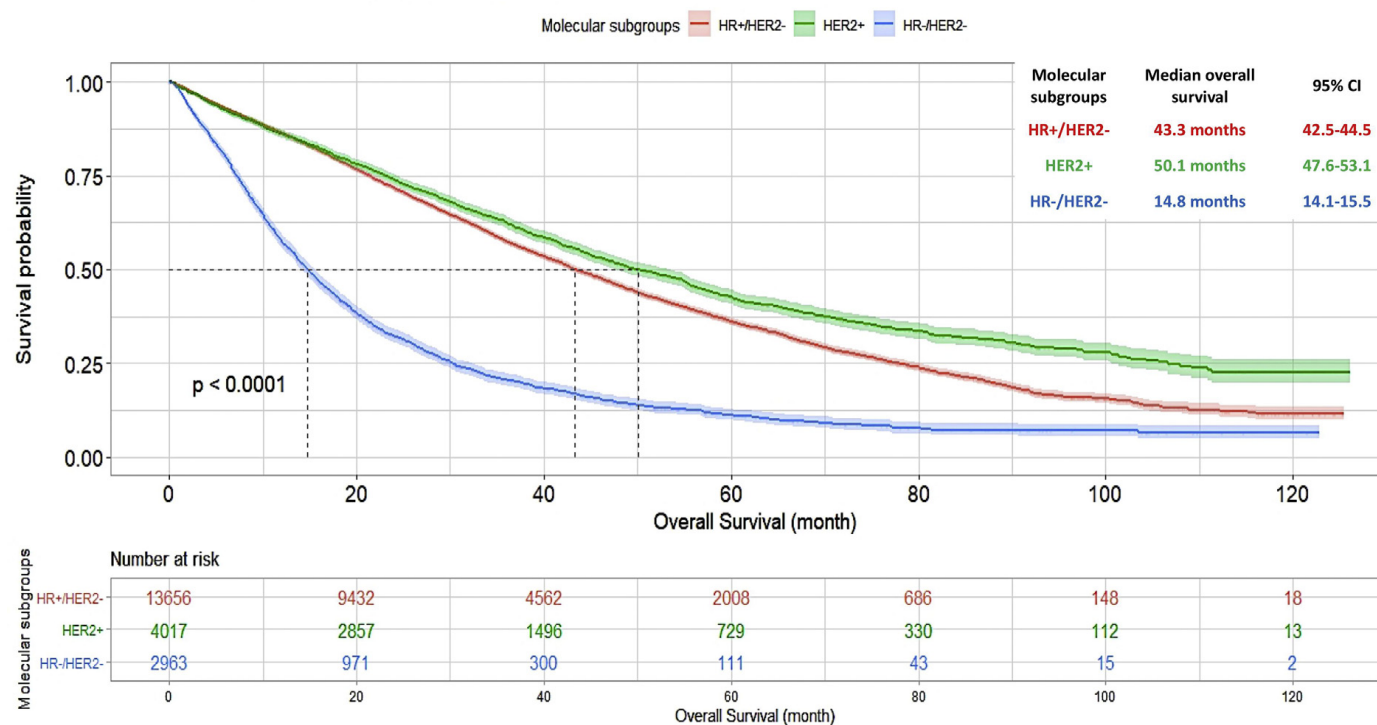
Unità' Medicina di Precisione in Senologia



The Outcome in The New ERA

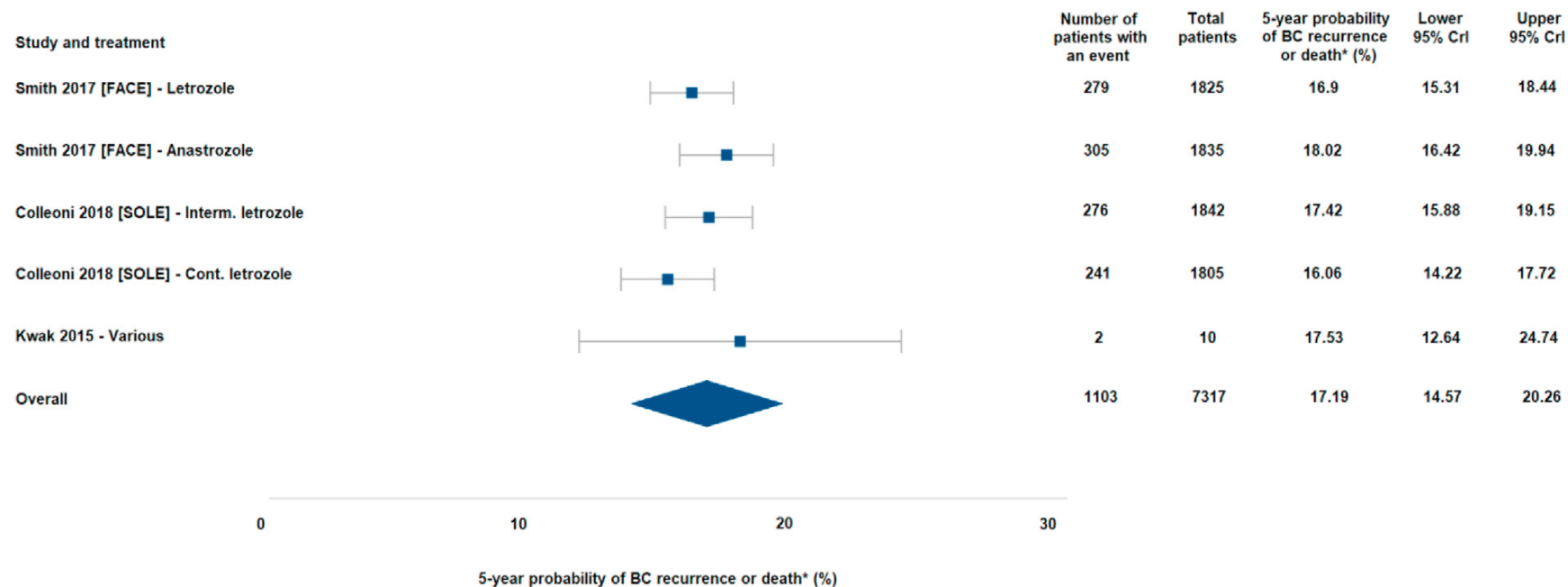
ESME cohort (n=22,109 patients between 2008 and 2016)

Overall survival in the three subcohorts with number at risk and 95% CI



Risk of Recurrence among patients with HR-positive, HER2-negative, EBC receiving adjuvant endocrine therapy

17% 5-years probability of BC recurrence or death



1 in 6 women with node-positive HR+/HER2- early-stage BC receiving endocrine therapy experience recurrence or death within 5-years of initiating treatment

Salvo EM et al Breast 2021



1. CDK4/6i in Adjuvant setting: myth or reality?

2. ...In small steps towards NAHT

Topics of my Talk

3. the delay of today the precocity of tomorrow



2020 in Early BC Disease



CDK4/6i



Screening & Covid-19





1. CDK4/6i in Adjuvant setting: myth or reality?

2. ...In small steps towards NAHT

3. the delay of today the precocity of tomorrow

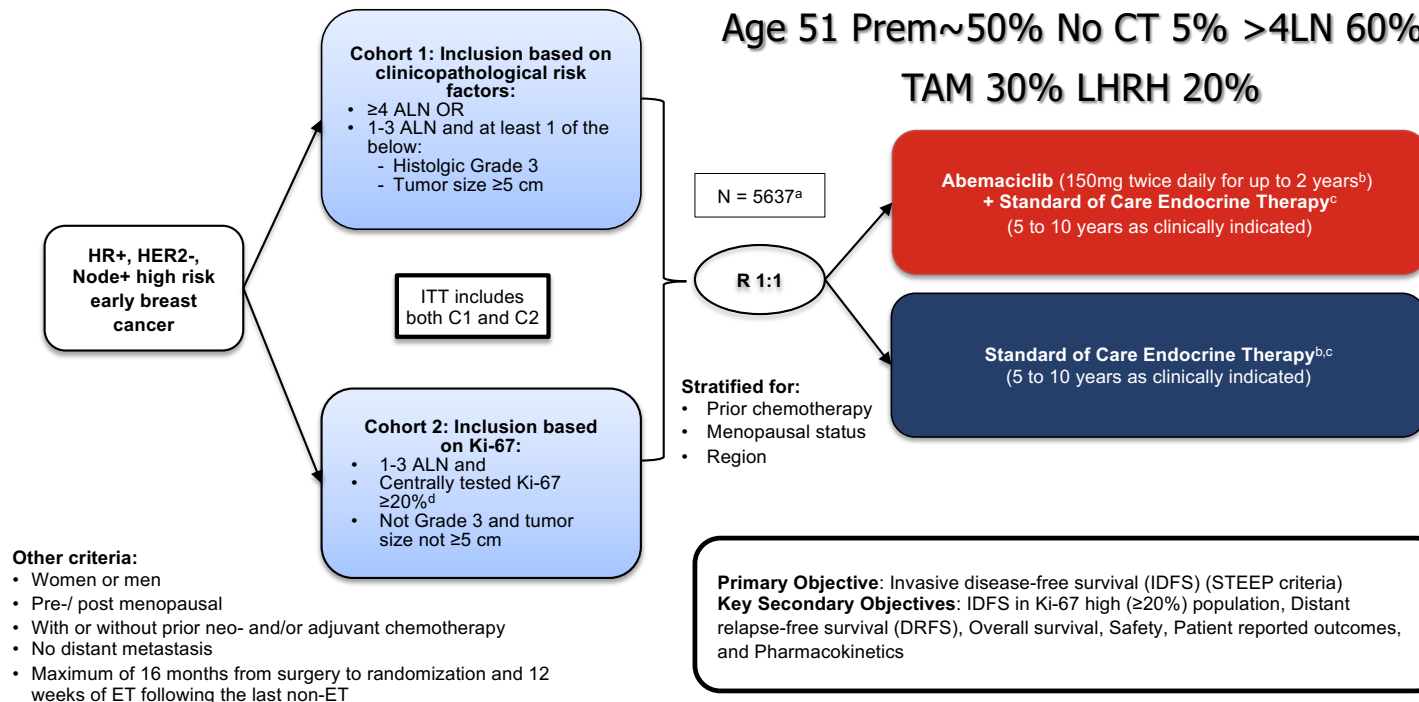


Penelope B



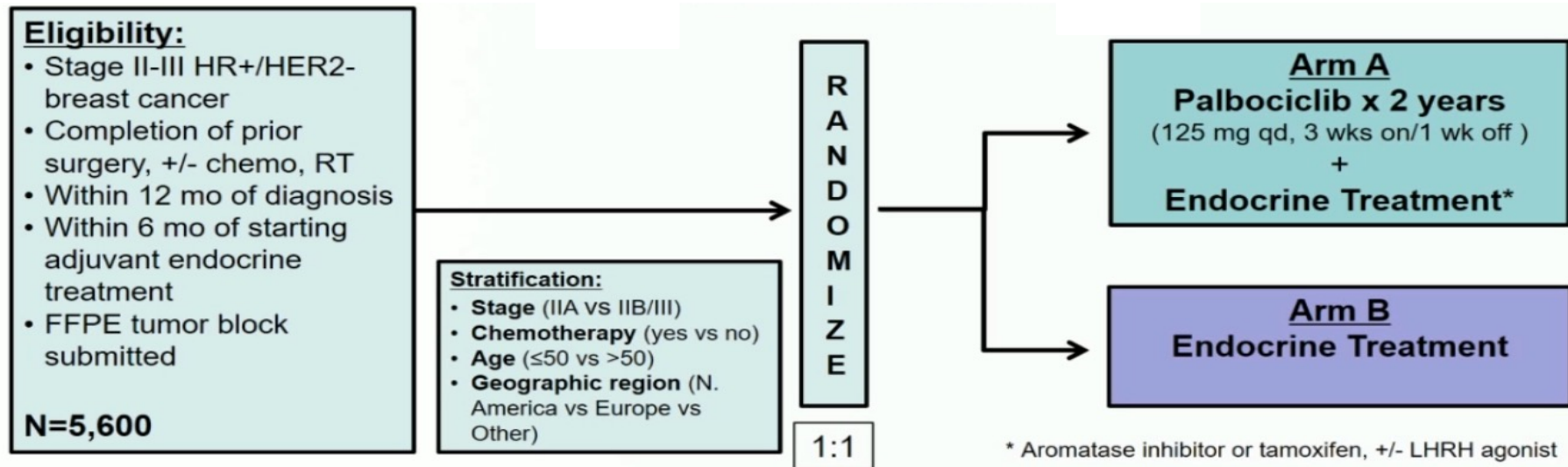
PALLAS

MonarchE



^aRecruitment from July 2017 to August 2019; ^bTreatment period = first 2 years on study treatment after randomization; ^cEndocrine therapy of physician's choice [e.g., aromatase inhibitors, tamoxifen, LHRH agonist]; ^dKi-67 expression assessed in all patients from both cohorts with suitable untreated breast tissue using Ki-67 immunohistochemistry Assay by Dako/Agilent
 Abbreviations: ALN, positive axillary lymph nodes; R, randomized

PALLAS



Primary Endpoint: invasive Disease-Free Survival (iDFS)

85% power, HR 0.75, 1-sided α 0.0025
 2 IA planned

Mayer E, ESMO 2020

Population



Pallas

- Between 9/2015 and 11/2018, 5,760 patients were randomized and included in the ITT set.
- The majority had higher stage disease and had received prior chemotherapy.
- 58.7% had high clinical risk disease, described as:
 - ≥4 nodes involved (≥N2), or
 - 1-3 nodes with either T3/T4 and/or G3 disease

Variable	Palbociclib + ET (N=2,883)	ET (N=2,877)
Age (y) – median (range)	52 (25 – 90)	52 (22 – 85)
Stage		
IIA	504 (17.5%)	509 (17.7%)
IIB	968 (33.6%)	951 (33.1%)
III	1402 (48.6%)	1408 (48.9%)
T-Stage		
T0/T1/Tis/TX	557 (19.3%)	500 (17.4%)
T2	1603 (55.6%)	1636 (56.9%)
T3/T4	722 (25.0%)	741 (25.8%)
N-Stage		
N0	267 (12.7%)	283 (12.3%)
N1	1427 (49.5%)	1415 (49.2%)
N2	703 (24.4%)	709 (24.6%)
N3	385 (13.4%)	370 (12.9%)
Histologic Grade		
G1	300 (10.4%)	313 (10.9%)
G2	1622 (56.3%)	1658 (57.6%)
G3	836 (29.0%)	767 (26.7%)
Prior Chemotherapy	2384 (82.7%)	2370 (82.4%)
Initial Adjuvant Endocrine Therapy		
Aromatase inhibitor	1954 (67.8%)	1918 (66.7%)
Tamoxifen	923 (32.0%)	949 (33.0%)
Concurrent Adjuvant LHRH Agonist	532 (18.5%)	604 (21.1%)

MonarchE

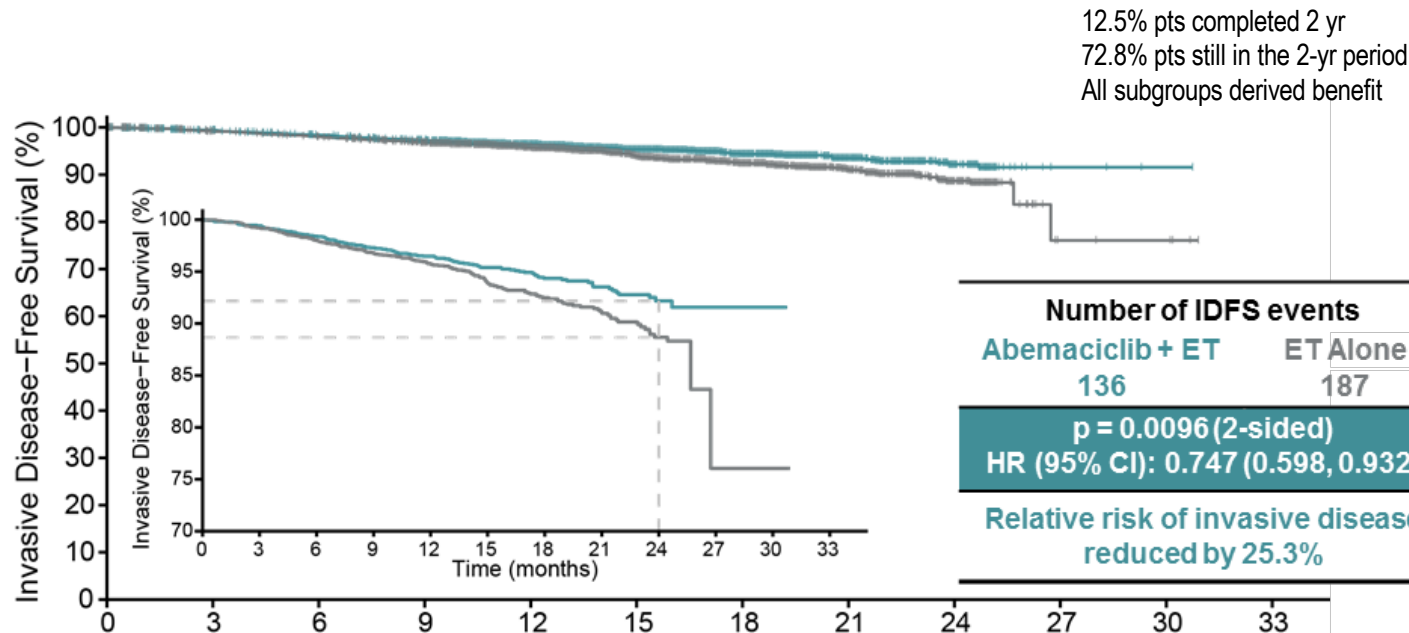
		Abemaciclib + ET N = 2808, n (%)	ET Alone N = 2829, n (%)
Number of positive lymph nodes	0	7 (0.2)	7 (0.2)
	1-3	1119 (39.9)	1143 (40.4)
	≥4 or more	1680 (59.8)	1679 (59.3)
Histological grade	Grade 1	209 (7.4)	215 (7.6)
	Grade 2	1373 (48.9)	1395 (49.3)
	Grade 3	1090 (38.8)	1066 (37.7)
Primary tumor size by pathology following definitive surgery	<2 cm	780 (27.8)	765 (27.0)
	2-5 cm	1369 (48.8)	1419 (50.2)
	≥5 cm	610 (21.7)	612 (21.6)
Central Ki-67	<20%	953 (33.9)	973 (34.4)
	≥20%	1262 (44.9)	1233 (43.6)
	Unavailable	593 (21.1)	623 (22.0)
Progesterone receptor status	Positive	2421 (86.2)	2453 (86.7)
	Negative	298 (10.6)	294 (10.4)

Note: where values do not add up to 100%, remaining data are missing, unavailable or could not be assessed

	Abemaciclib + ET N = 2808, n (%)	ET Alone N = 2829, n (%)
Additional high risk eligibility criteria for patients with 1-3 nodes		
Tumor size ≥5 cm (pathology) ^a	249 (8.9)	236 (8.3)
Tumor size ≥5 cm (imaging) ^{a,b}	152 (5.4)	158 (5.6)
Histologic grade 3 ^a	629 (22.4)	618 (21.8)
Central Ki-67 ≥20% only ^c	216 (7.7)	237 (8.4)

^a Patients could be counted in more than one of the sub-categories under 1-3 positive lymph nodes; ^b Patients who received neoadjuvant chemotherapy may have been eligible based on imaging tumor size prior to receiving systemic therapy; ^c Patients not double counted; patients did not have tumor size ≥5 cm (either by pathology or imaging) or histologic grade 3

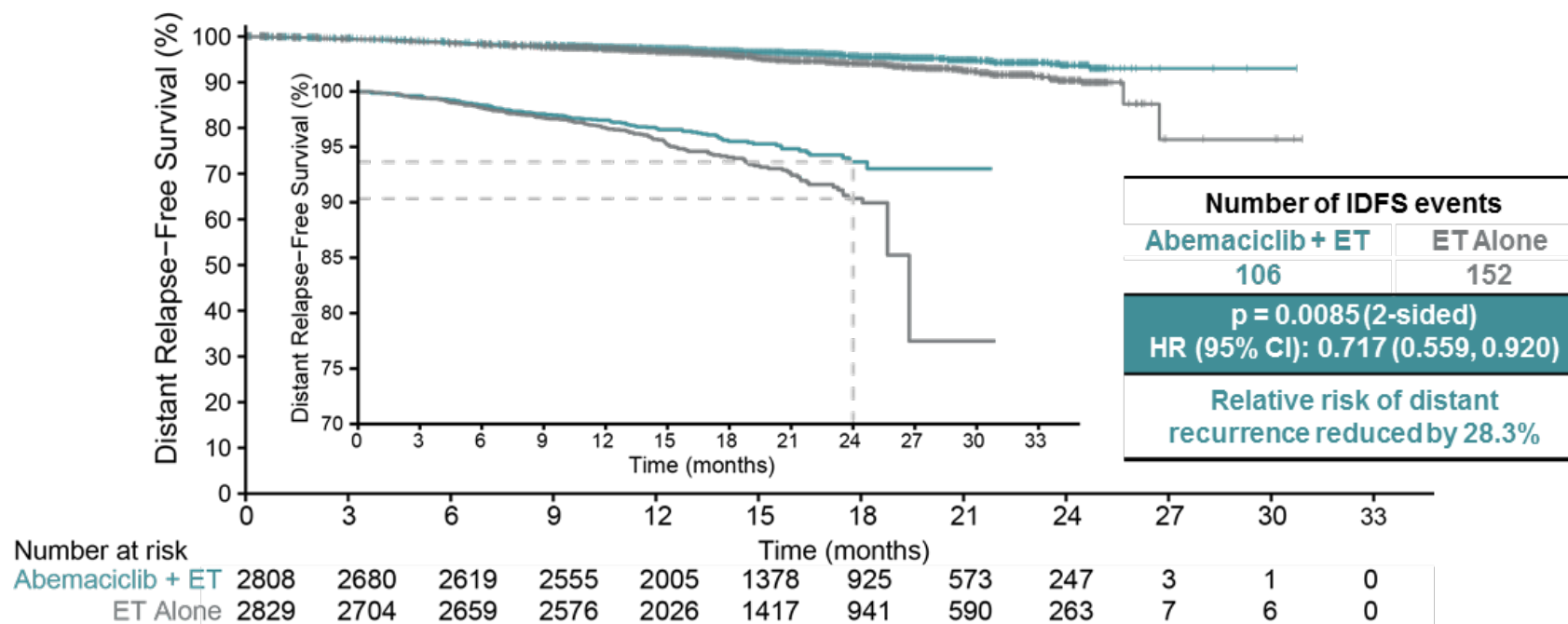
MonarchE: primary endpoint iDFS



Number at risk												
Abemaciclib + ET	2808	2676	2613	2543	1996	1371	918	566	245	3	1	0
ET Alone	2829	2699	2649	2562	2013	1405	932	586	262	7	6	0

Two-year IDFS rates were 92.2% (abemaciclib + ET arm) and 88.7% (ET arm) – 3.5% absolute difference

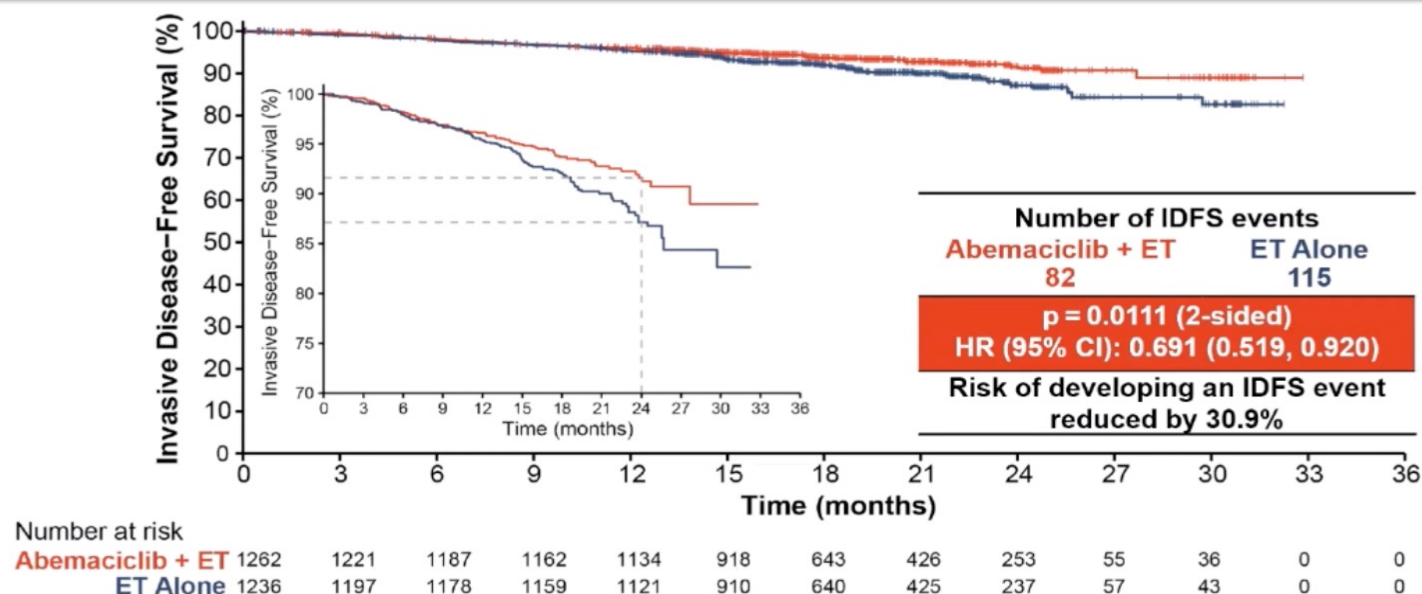
MonarchE: primary endpoint DRFS



**Two-year DRFS rates were 93.6% (abemaciclib + ET arm) and 90.3% (ET arm) – 3.3% absolute difference.
 DRFS benefit consistent across all prespecified subgroups.**



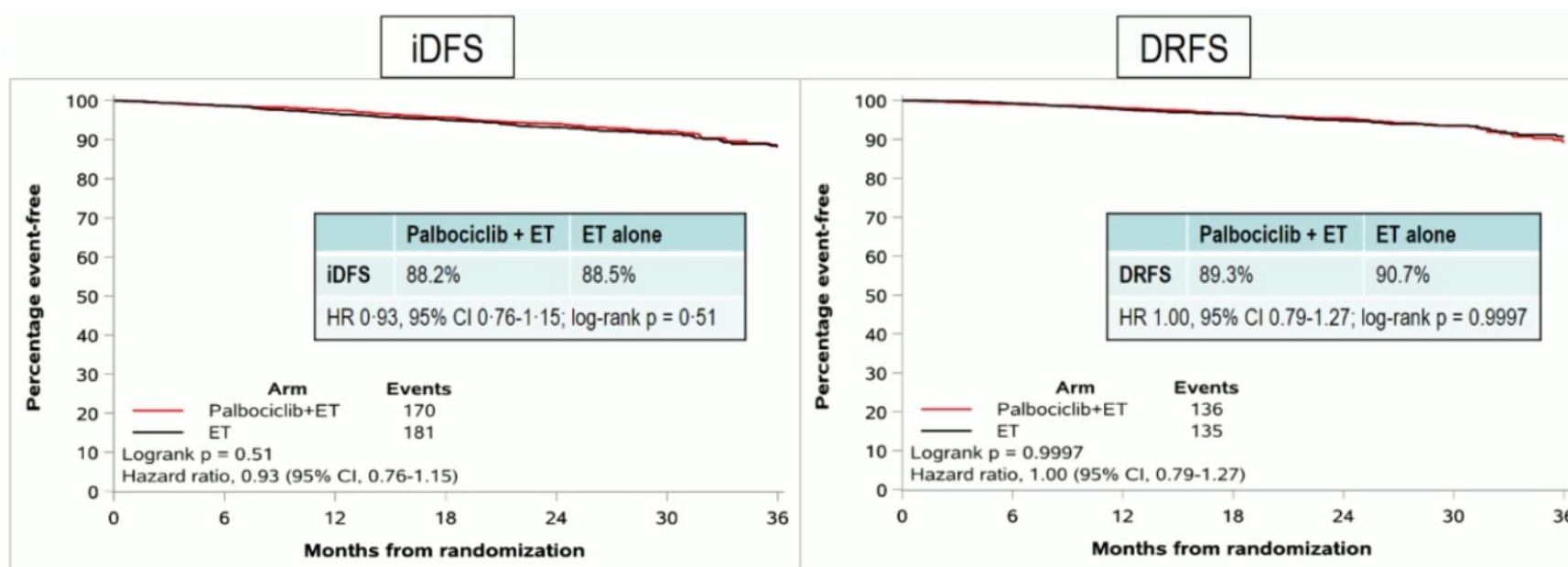
Monarch E: IDFS in Ki-67 high ($\geq 20\%$) in the ITT Population



Ki-67 was tested in all eligible patients in cohorts 1 and 2 with suitable untreated breast tissue

Statistically significant and clinically meaningful improvement in IDFS in patients with high Ki-67 tumors
Two-year IDFS rates were 91.6% in the abemaciclib + ET arm and 87.1% in the ET arm – 4.5% difference

PALLAS: iDFS and DRFS



At a median follow-up of 23.7 months, no significant difference in either 3-year iDFS or DRFS was observed

PALLAS and MonarchE: safety and treatment discontinuations

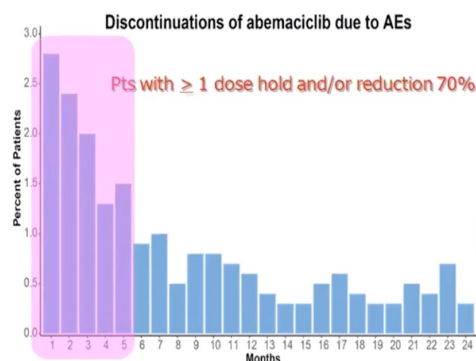


Adverse Event	Adverse Events, incidence $\geq 15\%$					
	Palbociclib + ET (N=2,840)			ET (N=2,903)		
	All Grades	Grade 3	Grade 4	All Grades	Grade 3	Grade 4
Any adverse event	2822 (99.4%)	1897 (66.8%)	159 (5.6%)	2571 (88.6%)	400 (13.8%)	24 (0.8%)
Neutropenia	2354 (82.9%)	1620 (57.0%)	122 (4.3%)	139 (4.8%)	11 (0.4%)	0
Leukopenia	1550 (54.6%)	843 (29.7%)	14 (0.5%)	213 (7.3%)	3 (0.1%)	0
Fatigue	1150 (40.5%)	60 (2.1%)	0	546 (18.8%)	10 (0.3%)	0
Arthralgia	992 (34.9%)	30 (1.1%)	0	1207 (41.6%)	31 (1.1%)	0
Upper respiratory tract infection	805 (28.3%)	32 (1.1%)	0	453 (15.6%)	3 (0.1%)	0
Hot flush	693 (24.4%)	7 (0.2%)	0	838 (28.9%)	7 (0.2%)	0
Anaemia	664 (23.4%)	13 (0.5%)	0	157 (5.4%)	4 (0.1%)	0
Thrombocytopenia	609 (21.4%)	25 (0.9%)	1 (0.0%)	49 (1.7%)	1 (0.0%)	0
Nausea	543 (19.1%)	8 (0.3%)	0	240 (8.3%)	4 (0.1%)	0
Alopecia	496 (17.5%)	0	0	144 (5.0%)	0	0
Diarrhoea	468 (16.5%)	21 (0.7%)	0	145 (5.0%)	5 (0.2%)	0
Headache	435 (15.3%)	7 (0.2%)	0	322 (11.1%)	7 (0.2%)	0

Patient Status	Palbociclib + ET	ET
Initiated Palbociclib	2840	
Ongoing Palbociclib at data cutoff	725 (25.5%)	
Completed Palbociclib per protocol	916 (32.3%)	
Early discontinuation of Palbociclib	1199 (42.2%)	
Adverse event (including unacceptable toxicity)	770 (64.2%)	
Patient non-compliance/non-adherence	128 (10.7%)	
Development of recurrent disease/secondary malignancy	104 (8.7%)	
Informed consent withdrawal	100 (8.3%)	
Other reasons	97 (8.1%)	
Initiated ET		2903
Ongoing ET at data cutoff		2462 (86.7%)
Ongoing ET at end of study participation		182 (6.4%)
Early discontinuation of ET		196 (6.9%)
Development of recurrent disease/secondary malignancy	86 (43.9%)	84 (45.7%)
Informed consent withdrawal	49 (25.0%)	39 (21.2%)
Adverse event (including unacceptable toxicity)	28 (14.3%)	23 (12.5%)
Patient non-compliance/non-adherence	12 (6.1%)	10 (5.4%)
Other reasons	21 (10.7%)	28 (15.2%)

• Over half of the early discontinuations due to AEs occurred within the first 5 months of treatment

Mayer E, ESMO 2020



Treatment Discontinuation	Abemaciclib + ET N=2791, n (%)	ET alone N=2800, n (%)
For any reason	773 (27.7) ^a	410 (14.6)
Due to AEs, including deaths due to AEs	481 (17.2) ^a	23 (0.8)
Diarrhea	141 (5.1)	0
Fatigue	53 (1.9)	0
Neutropenia	26 (0.9)	0
Withdrawn by subject	158 (5.6)	160 (5.7)
IDFS/DRFS events	136 (4.9)	204 (7.3)
Deaths due to study disease	2 (<0.1)	2 (<0.1)
Noncompliance	8 (0.3)	0
Other ^b	32 (1.1)	21 (0.8)

^aSome patients who discontinued abemaciclib and remained on ET may have been double counted for an early discontinuation due to a different reason once ET was discontinued

^bOther includes lost to follow-up (0.3, 0.4), physician decision (0.5, 0.1), protocol deviation (0, 0.3), study terminated (0, 0.1) and other (0.3, 0) in the abemaciclib + ET alone and ET alone arm, respectively

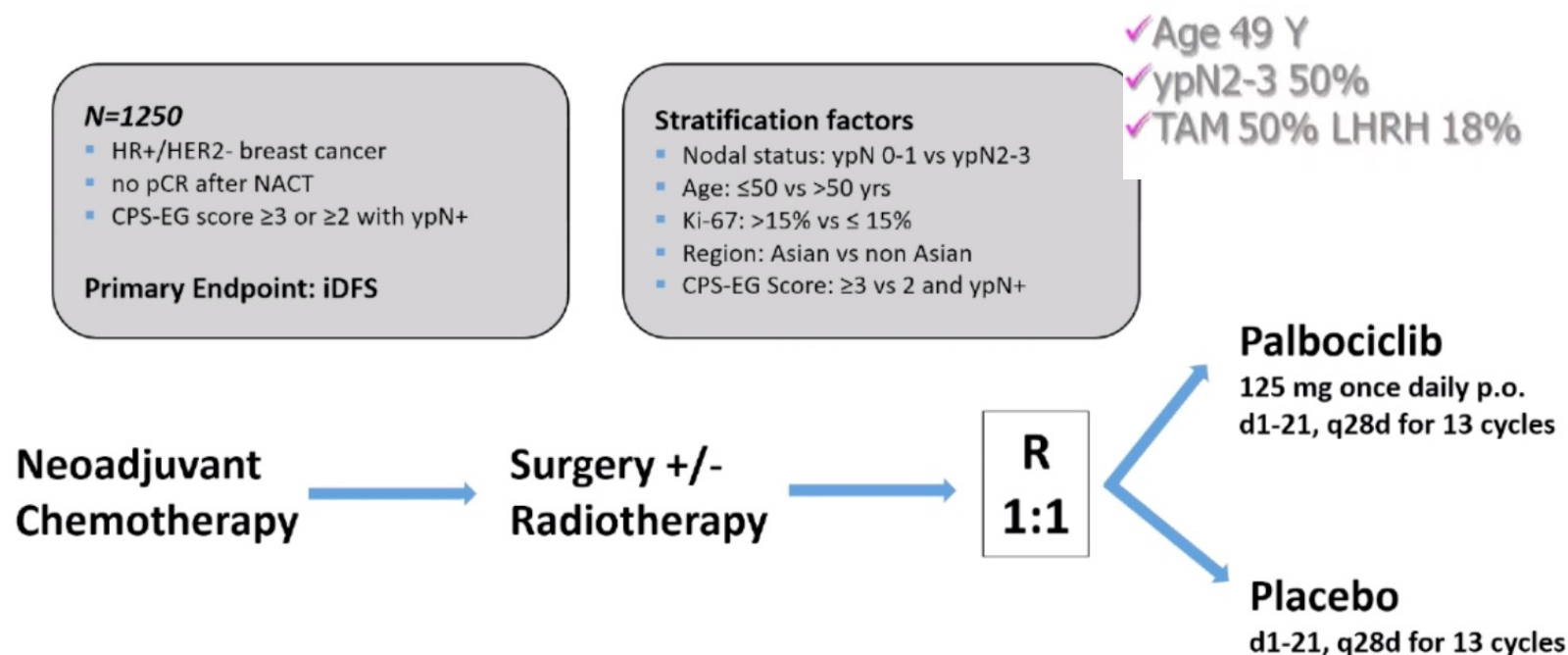
^c6.2% of patients discontinued both abemaciclib and ET due to AEs

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Pallas vs MonarchE
27% over total pts
vs 17%



Penelope^B: Study Design



All patients will receive concomitantly endocrine therapy according to local standards

Penelope-B: ClinicalTrials.gov NCT01864746

Loibl et al SABCS 2020



Penelope^B: Disposition of Patients

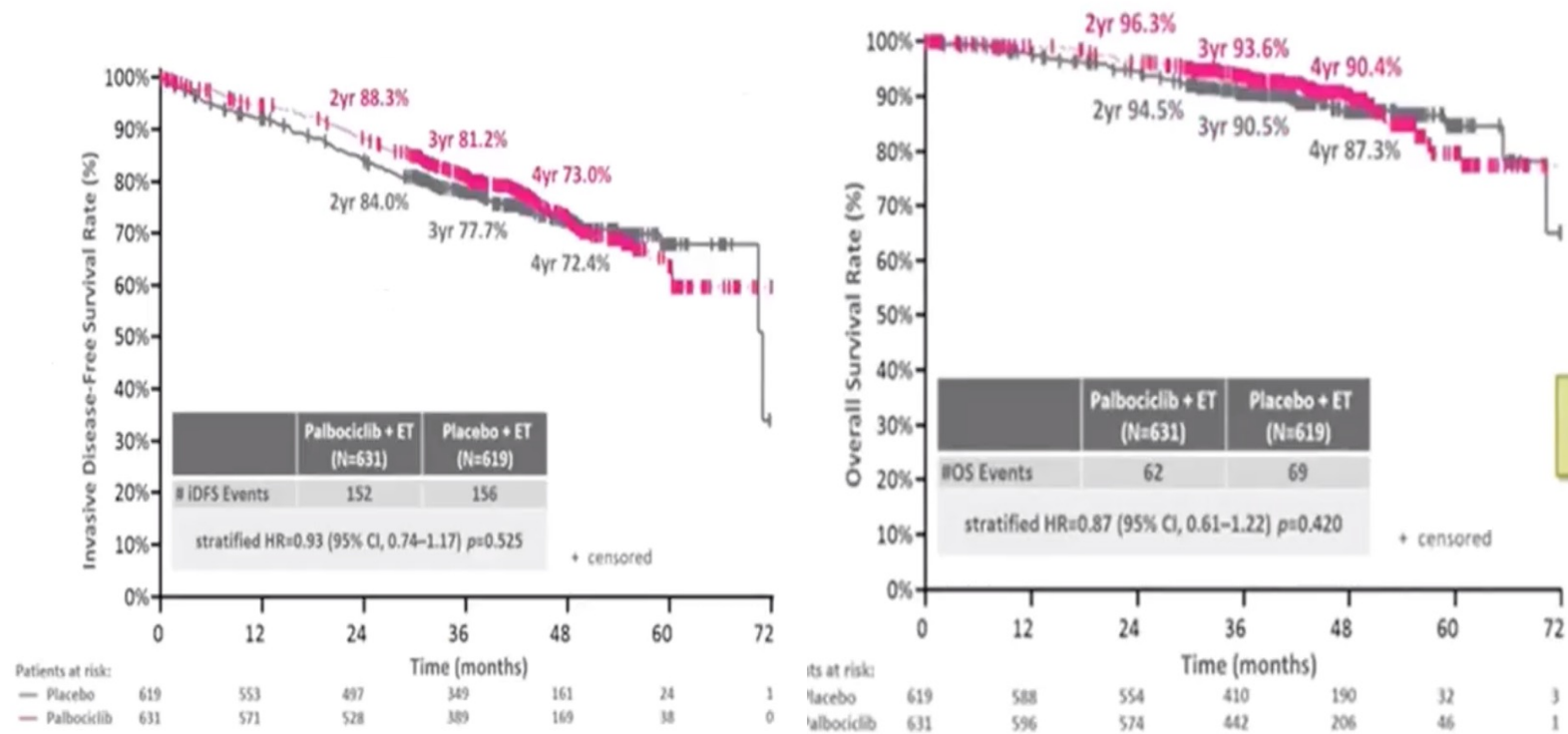
Patient Status	Palbociclib N (%)	Placebo N (%)	Overall N (%)
Number of patients screened			1708
Number of patients randomized	631	619	1250
Number of patients started treatment	628	616	1244
Completed at least 7 cycles of treatment	559 (88.6)	559 (90.3)	1118 (89.4)
Completed all 13 cycles regularly	508 (80.5)	523 (84.5)	1031 (82.5)
Discontinued endocrine therapy prematurely	28 (4.4)	36 (5.8)	64 (5.1)
Discontinued study treatment	123 (19.5)	96 (15.5)	219 (17.5)
- Disease recurrence	25 (4.0)	40 (6.5)	65 (5.2)
- Second primary (non-breast)	2 (0.3)	3 (0.5)	5 (0.4)
- Death	2 (0.3)	1 (0.2)	3 (0.2)
- Adverse event	33 (5.2)	5 (0.8)	38 (3.0)
- Patient's wish	56 (8.9)	41 (6.6)	97 (7.8)
- Investigator's decision	5 (0.8)	6 (1.0)	11 (0.9)

Dose reductions more common in Palbociclib arm

Loibl et al SABCS 2020

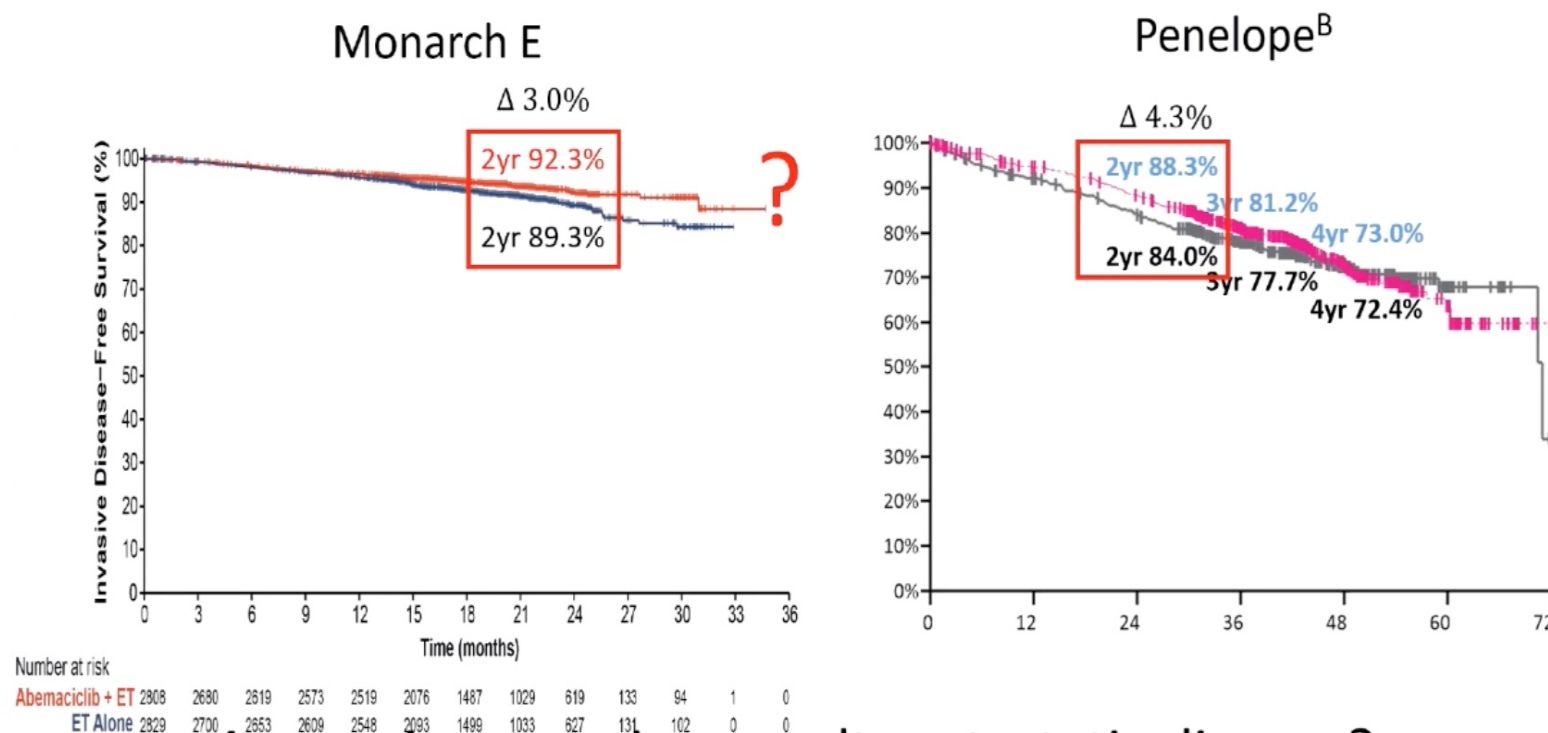
Penelope^B: IDFS e OS

Median F/up 43 months





Why different outcomes across these trials (or is there



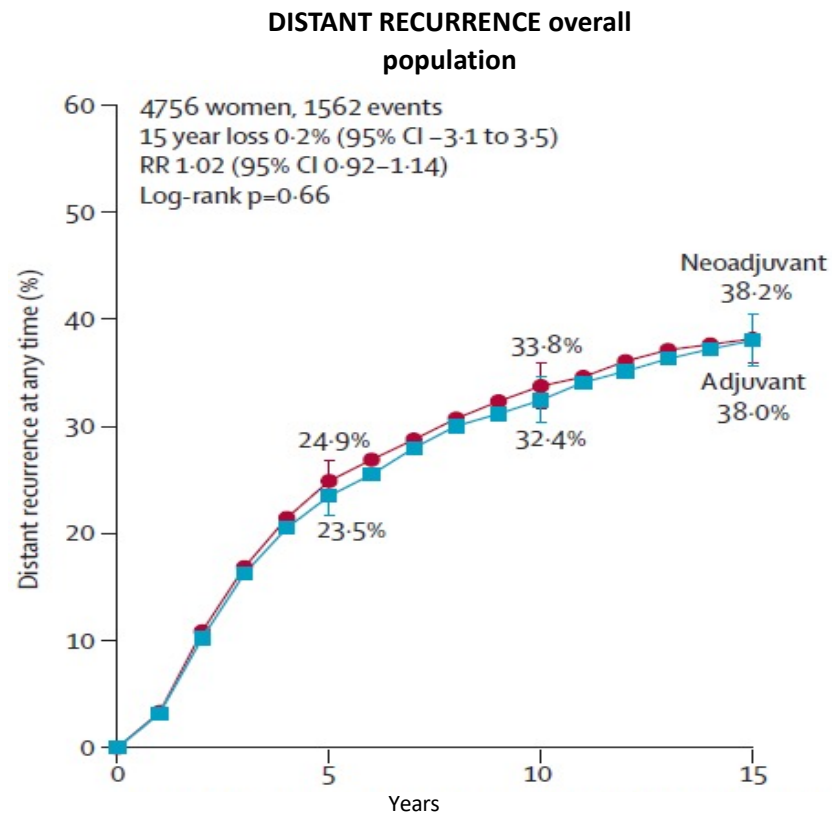
Are we just treating occult metastatic disease?

**follow up too short to see
benefits and too short to
see failures?**



- 1. CDK4/6i in Adjuvant setting: myth or reality?**
- 2. ...In small steps towards NAHT**
- 3. the delay of today, the precocity of tomorrow**

CT has been shown to lead to similar long-term clinical outcome whether used in the neoadjuvant or adjuvant setting



EBCTCG Meta-analysis 10 clinical trials
NACT vs adjuvant CT in early breast cancer patients
N= 4,756

Rate of distant recurrence, BCSS, or OS were similar with NACT vs adjuvant CT

NACT had more frequent local recurrence (21.4% vs 15.9%)
In certain trials, some patients with a good response did not receive surgery. Hence, higher local recurrence frequencies have been attributed to omission of definitive local therapy.

NACT associated with increased BCS (65% vs 49%)

NACT= neoadjuvant chemotherapy

EBCTCG, *Lancet Onc* 2018

Neoadjuvant endocrine therapy has been shown to lead to similar response rates as neoadjuvant chemotherapy



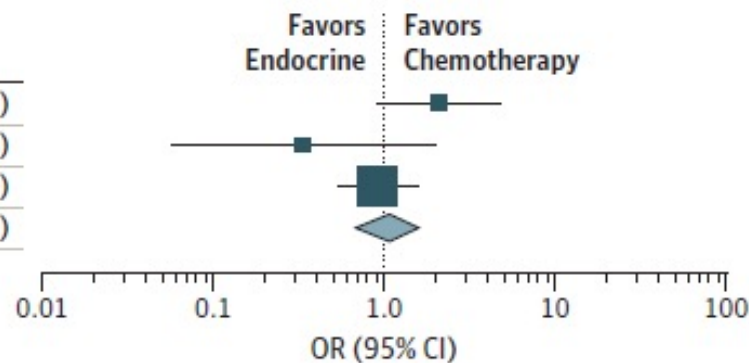
Locally advanced HR+ HER2- T2+ N0-2 patients, without biomarker selection

Pooled analysis of three prospective randomized trials of NAET vs NACT (n=378 patients)

A Clinical response

Source	OR (95% CI)
Alba et al, ³⁰ 2012	2.11 (0.92-4.82)
Palmieri et al, ³¹ 2014	0.34 (0.06-1.98)
Semiglazov et al, ³² 2007	0.93 (0.55-1.57)
Total	1.08 (0.50-2.35)

Heterogeneity: $\chi^2 = 4.47$ ($P = .11$), $I^2 = 55\%$
 Test for overall effect: $z = 0.19$ ($P = .85$)



Clinical response (OR, 1.08; 95%CI, 0.50-2.35; $P = .85$; n = 378)

Radiological response (OR, 1.38; 95%CI, 0.92-2.07; $P = .12$; n = 378)

Breast-conserving surgery (OR, 0.65; 95%CI, 0.41-1.03; $P = .07$; n = 334)

Both neoadjuvant endocrine therapy and chemotherapy can be effective options for localized HR+ breast cancer



>30% of early breast cancer patients do not respond to NACT

	Clinical response				Total
	Complete*	Partial†	Stable or progressive disease‡	Unknown	
Breast-conserving	452 (83%)	541 (68%)	246 (42%)	265 (68%)	1504 (65%)
Mastectomy	92 (17%)	258 (32%)	342 (58%)	124 (32%)	816 (35%)
Unknown	2 (NA)	4 (NA)	10 (NA)	51 (NA)	67 (NA)
Total response§	546/1947 (28%)	803/1947 (41%)	598/1947 (31%)	440 (NA)	2387 (100%)

EBCTCG Meta-analysis
10 clinical trials NACT
vs adjuvant CT in HR+/-
early breast cancer
patients
N= 4,756

28% patients

- complete clinical response to NACT,
- 83% rate of BCS

RESPONDING TO NACT

31% patients

- no clinical response to NACT,
- higher rate of mastectomy

OVERTREATED WITH CT



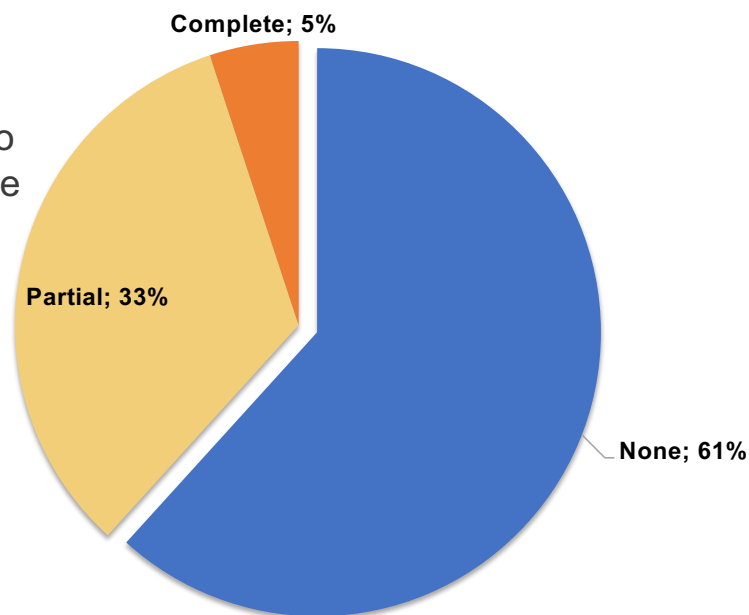
.....It is an important unmet need to identify those patients most likely to respond and spare chemotherapy for the others

Pathological Response to Neoadjuvant CT

Retrospective analysis of the National Cancer Database of HR+, HER2- early breast cancer patients receiving NACT
N= 1,377

Only 4.5% of patients achieve a complete pathological response to NACT, and 33% a partial response

RESPONDING TO NACT

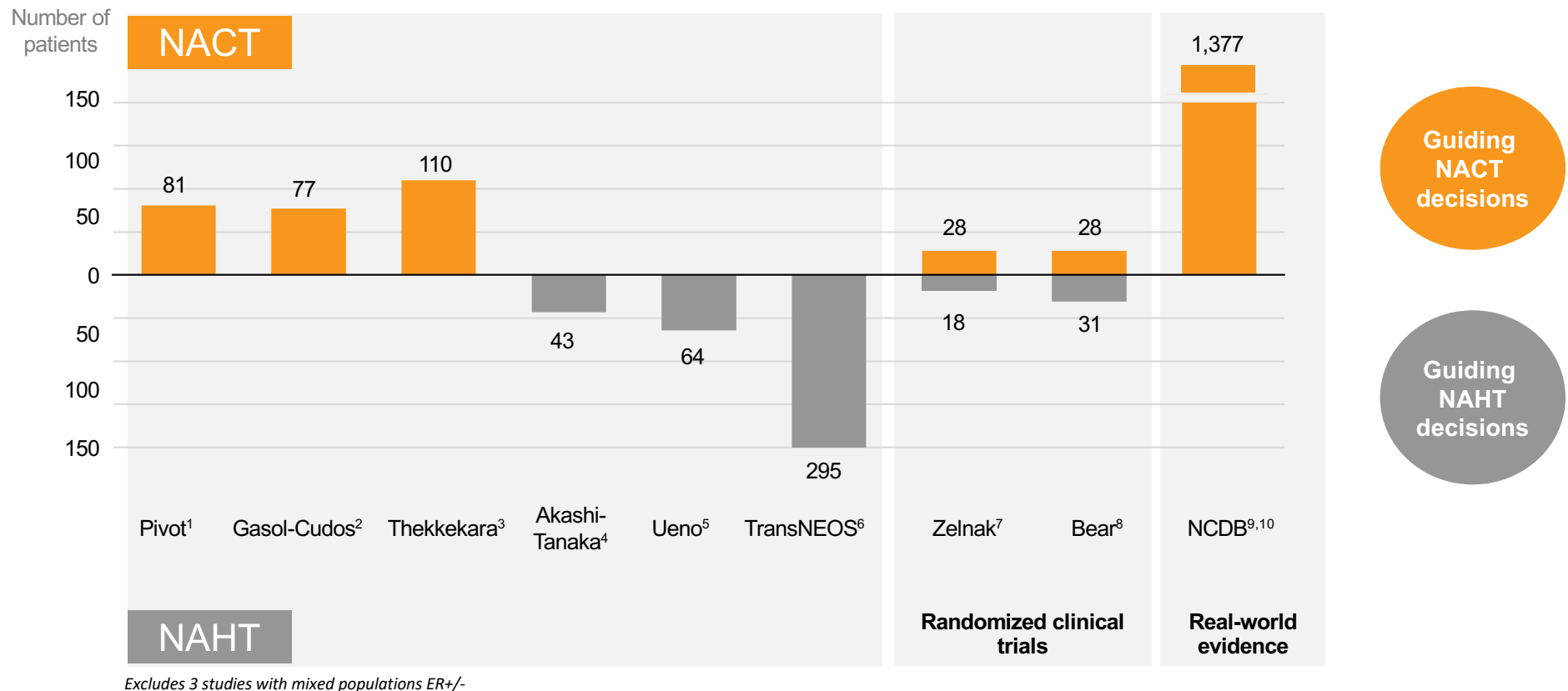


61% patients with **no significant pathological response to NACT**

OVERTREATED WITH CT



Overview of key evidence supporting the clinical utility of the Oncotype DX[®] test to guide neoadjuvant treatment decisions



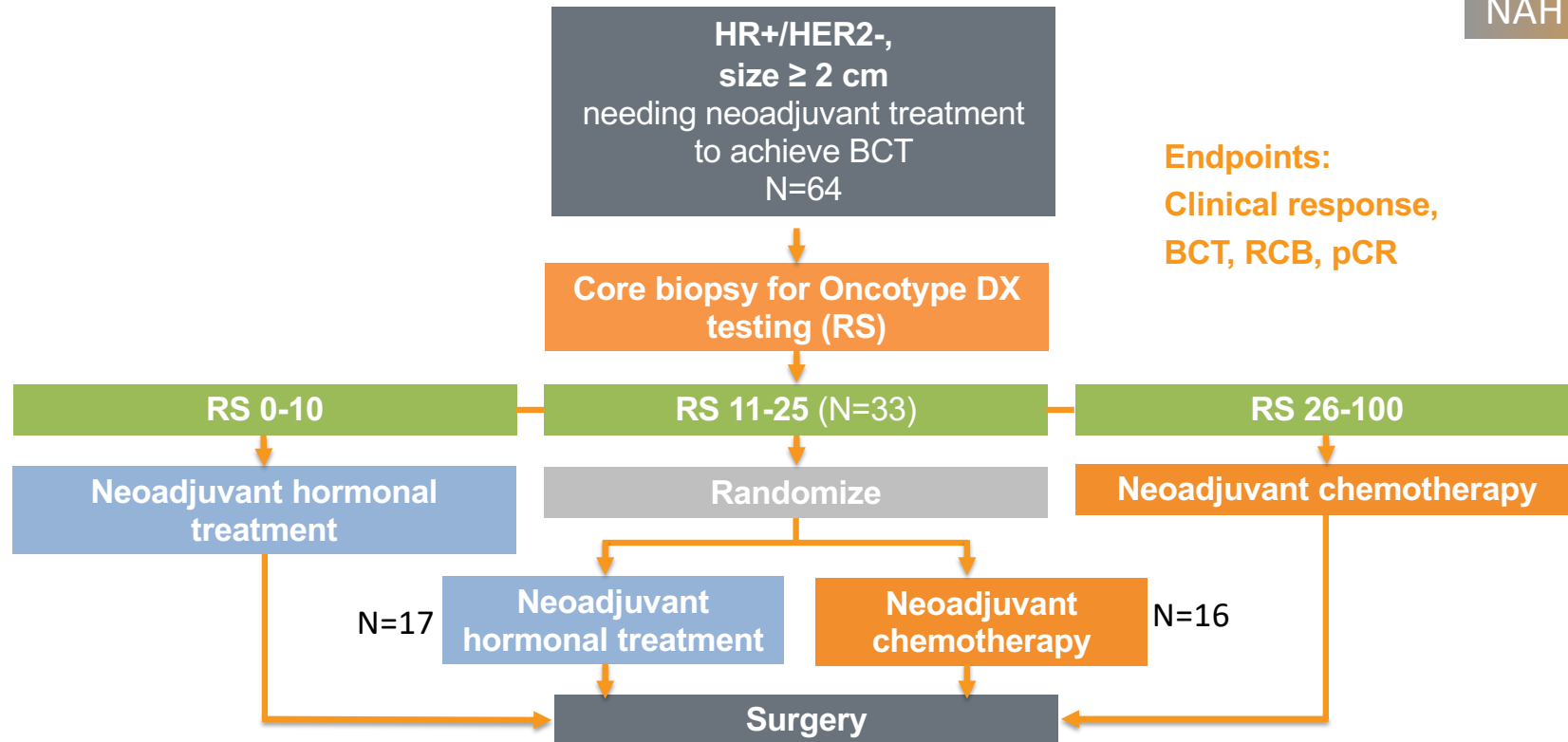
1. Pivot et al. *Oncologist* 2015; 2. Gasol-Cudos et al. *St. Gallen conference* 2019; 3. Thekkekara et al. *ASCO* 2019; 4. Akashi-Tanaka et al. *Breast* 2009; 5. Ueno et al. *Int J Clin Oncol* 2014; 6. Iwata et al. *Breast Can Res Treat* 2019; 7. Zelnak et al. *ASCO* 2013; 8. Bear et al. *J Surg Oncol* 2017; 9. Pease et al. *Ann Surg Oncol* 2018; 10. Kantor et al. *Ann Surg Oncol* 2019



Prospective multicenter trial evaluating NACT vs NAHT

Massey Cancer Center (Richmond, VA)

NAHT vs. NACT





Surgical outcome when treatment decisions are guided by Recurrence Score results

NAHT vs. NACT

HR+/HER2- EBC pts with tumour size
≥ 2 cm (N=64)

	RS 0-10	RS 11-25		RS 26-100
Treatment Group	NAHT N=12 (%)	NAHT N=18 (%)	NACT N=11 (%)	NACT N=14 (%)
cCR	8.3	22.2	36.4	28.6
cPR	75.0	27.8	36.4	64.3
pCR breast	8.3	6.0	0	21.4
pCR breast + nodes	0	0	0	14.3
Successful BCS	75.0	72.2	63.6	57.1

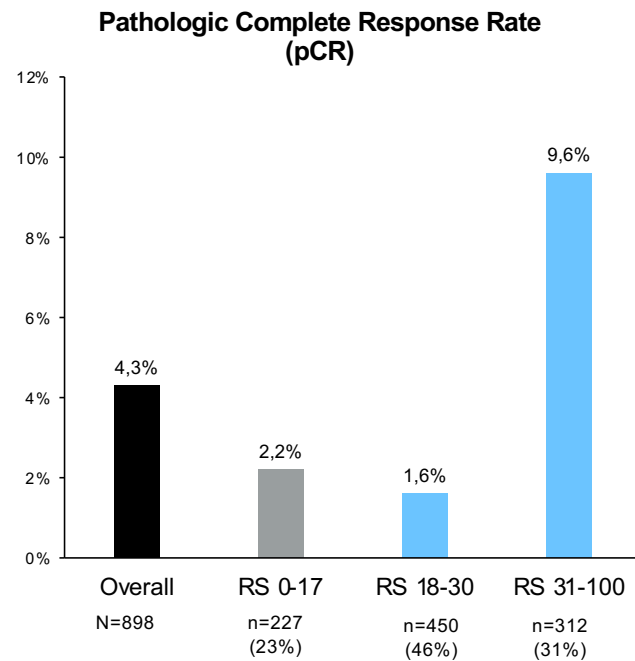
- Patients with RS 26 to 100 with NACT showed the highest clinical response rates
- 100% of patients with pCRs for breast and nodes had a RS 26 to 100
- Confirm prior studies showing that patients with a lower RS results have little to no benefit from chemotherapy, similar to the adjuvant setting



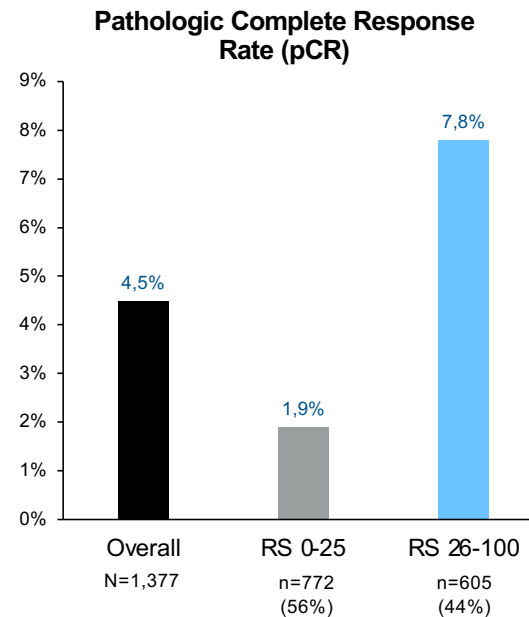
NACT

Large real-world dataset supports the association between Oncotype DX results and response to NACT

National Cancer Database : patients with T1-T3, ER+, HER2- early breast cancer from 2010-2015 who had Oncotype DX test and received NACT



Pease et al Ann Surg Oncol 2018



Kantor et al Ann Surg Oncol 2019

- pCR is rarely achieved in an overall HR+, HER2- unselected population
- Patient group with higher RS results is significantly enriched in pCR



Strong correlation between RS results and pathological response to neoadjuvant chemotherapy

NACT

Patients

63 early breast cancer HR+, HER2- patients who received NACT after having an Oncotype DX® test

Characteristics	
Median age (range)	54 years (31-84)
Median initial tumour size (range)	37 mm (12 -97)
Clinical node status	
Negative	35%
Positive	65%
Median Ki67 index (range)	34% (8 – 85)

Recurrence Score results distribution n (%)	
RS 0-10	Excluded
RS 11-25	25 (40%)
RS 26-100	38 (60%)

Results

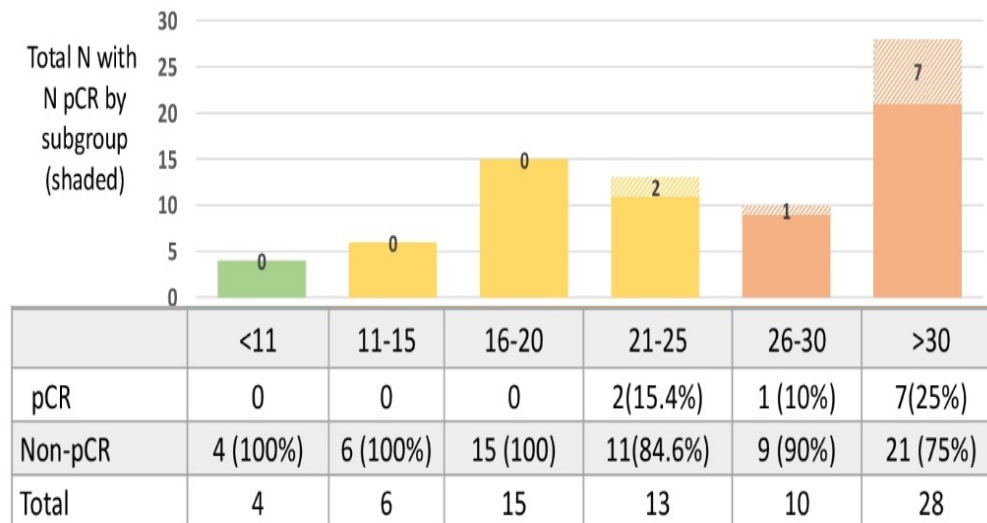
- ✓ **100% patients who achieved a pCR had a RS result >25**
- ✓ Pathological response type 0 was achieved in 5 patients (8%) and type I in 16 (25%).
- ✓ **Strong correlation between pathological response type 0 and I and Recurrence Score result** in the univariable and multivariable analysis (OR 0.946 p-value 0.023)
- ✓ Threshold analysis showed the **Oncotype DX test was the most significant predictor of pathological response** (AUC 0.75 p-value 0.001) compared to Ki67 (AUC 0.61 p-value 0.171), Oestrogen receptor (AUC 0.41 p-value 0.21) and initial tumour size (AUC 0.671 p-value 0.028)



Disproportionate rate of pCR in response to neoadjuvant chemotherapy in **young women (<40)** with high RS results

NACT

Sub-study of 76 women ER+, HER2- patients <40 years who had received neoadjuvant chemotherapy from the Young Women's Breast Cancer Study^{1,2}
26% cN0; 74% cN+



- A large proportion (~50%) of young patients <40 have Recurrence Score results 0 to 25
- **pCRs were seen in patients with high RS results 21 or higher**

1. Poorvu PD, et al J Clin Oncol. 38(7) 725-733, 2020

2. Sella et al. J Clin Oncol 38: 2020 (suppl; abstr 514)

pCR: pathological complete response
RS: Recurrence Score results

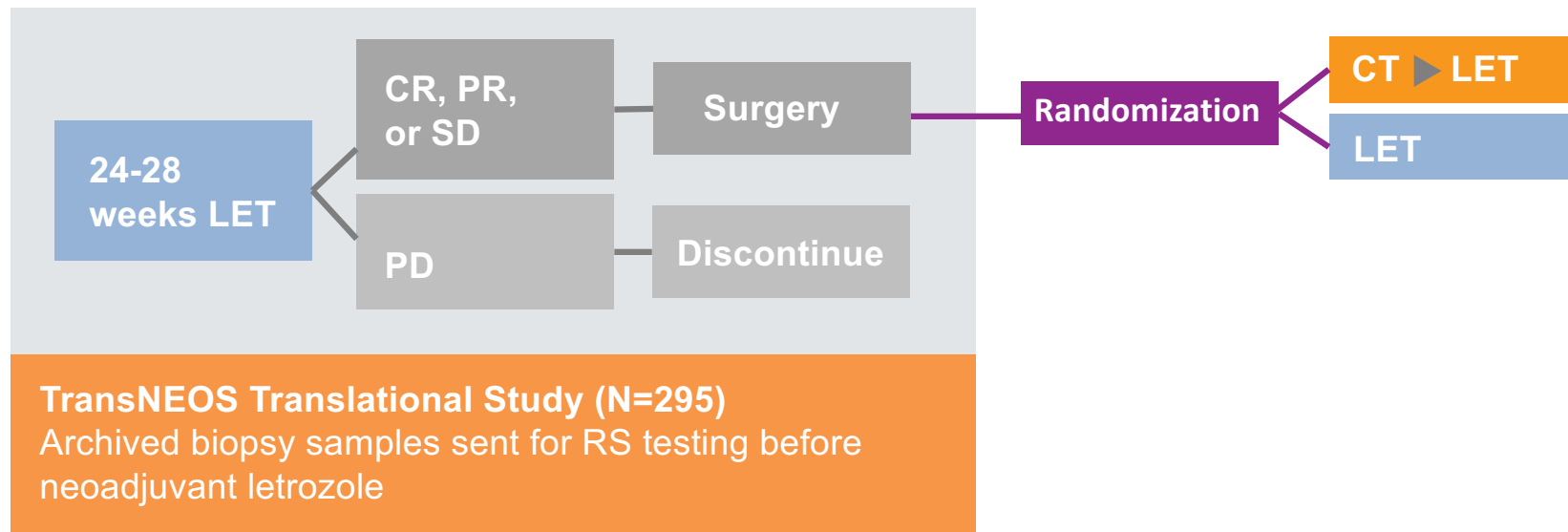


NAHT

TransNEOS: validation of RS result to select endocrine therapy in the neoadjuvant setting

NEOS Parent Trial

(904 post-menopausal women; T1c-T2, N0, M0, ER+, HER2-)



RS results predict response to neoadjuvant endocrine therapy

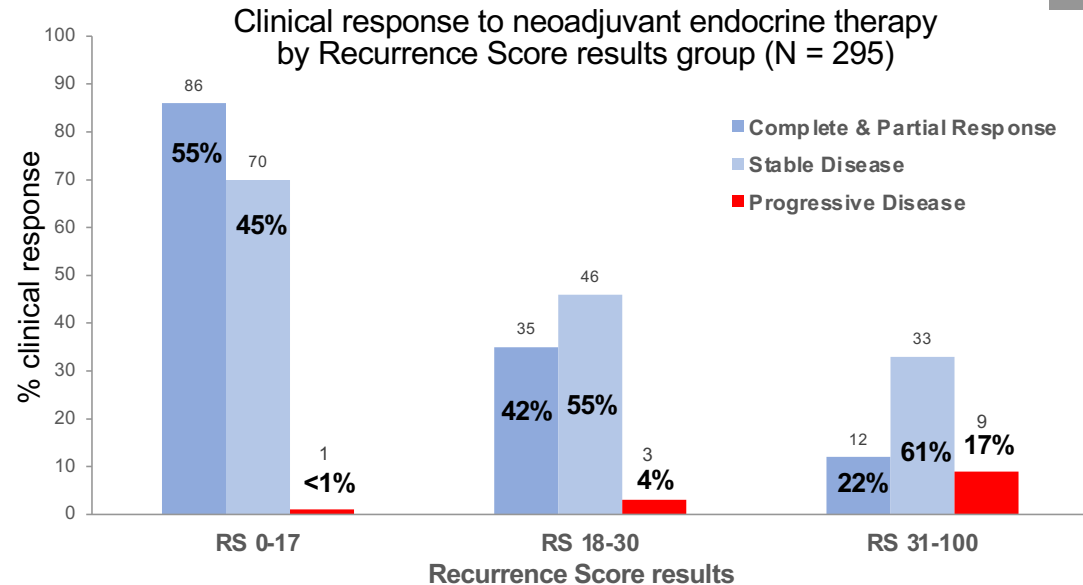


NAHT

TransNEOS study

ER+, HER2- early breast cancer
post-menopausal women, **T1c-T2, N0**,
receiving 24 weeks letrozole
Oncotype DX performed on core biopsies

Patient demographics and disease characteristics (N = 295)	
Age Median (range)	63 (49–75)
Age ≤ 60	94 (31.9%)
Tumor size, Median mm (range)	25 (20–65)
T-stage	
T1c	44 (14.9%)
T2	251 (85.1%)
Nuclear grade	
1	195 (66.1%)
2	59 (20.0%)
3	27 (9.2%)
Ki-67 expression	
< 10%	86 (29.2%)
10–30%	123 (41.7%)
> 30%	61 (20.7%)



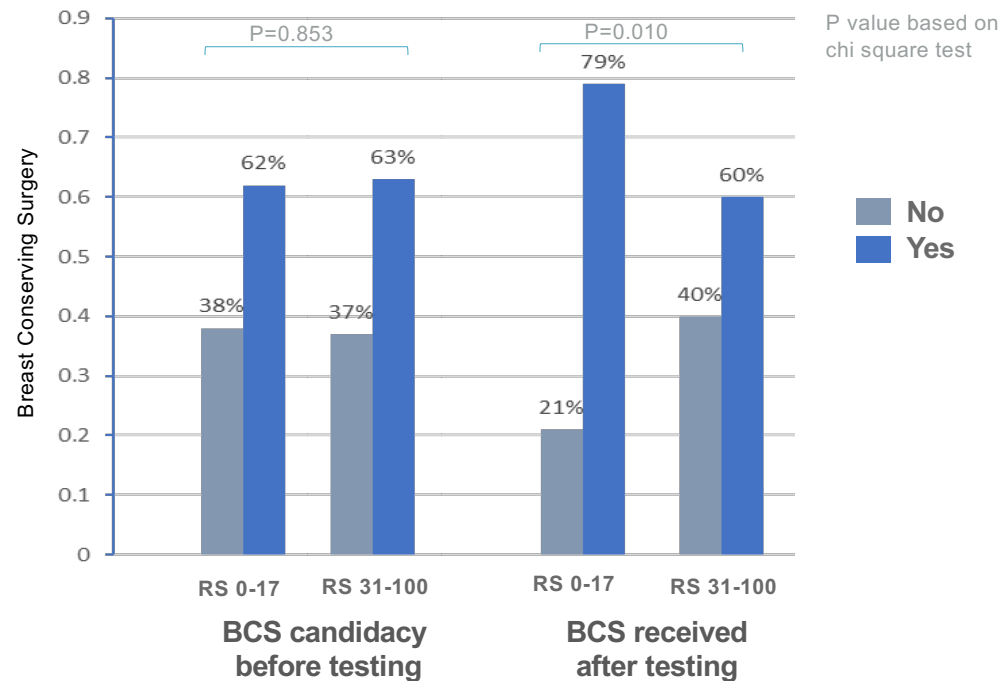
- RS results group (0-17 vs 31-100) was significantly associated with clinical response rate (P < .001)
- 99% of patients with results 0-17 had clinical response (CR, PR) or stable disease (SD), with low likelihood of progressive disease (<1%)

Patients with lower Recurrence Score[®] results were more likely to convert to breast conserving surgery TransNEOS study



NAHT

ER+, HER2- early breast cancer post-menopausal women,
T1c-T2, N0, receiving 24 weeks letrozole



Iwata H et al. *Breast Cancer Res Treat.* 2018.

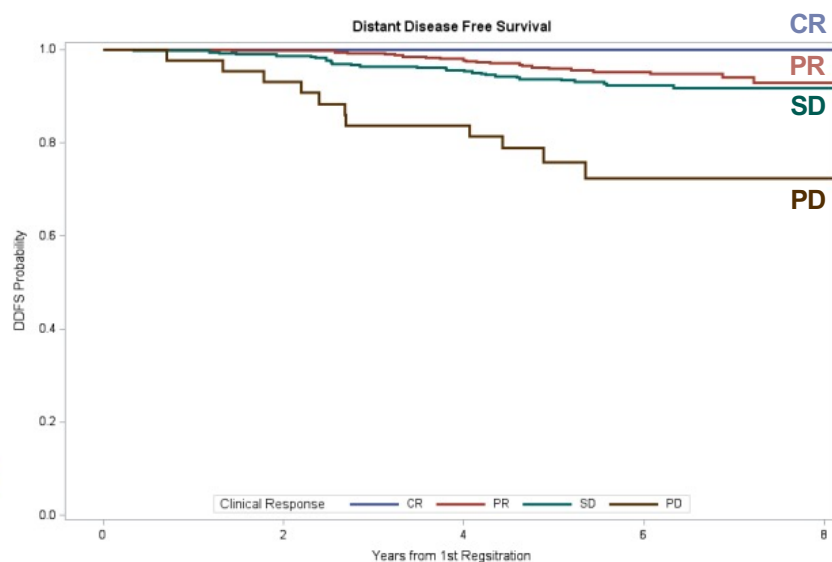
- Patients with **lowest Recurrence Score results** were more likely to **convert from non-candidates to actually receiving breast conserving surgery**
- RS results group was significantly associated with breast conserving surgery received after neoadjuvant endocrine treatment (p=0.010).



Patients treated with neoadjuvant endocrine therapy who did not progress had more favourable outcomes compared with those showing progressive disease

NAHT

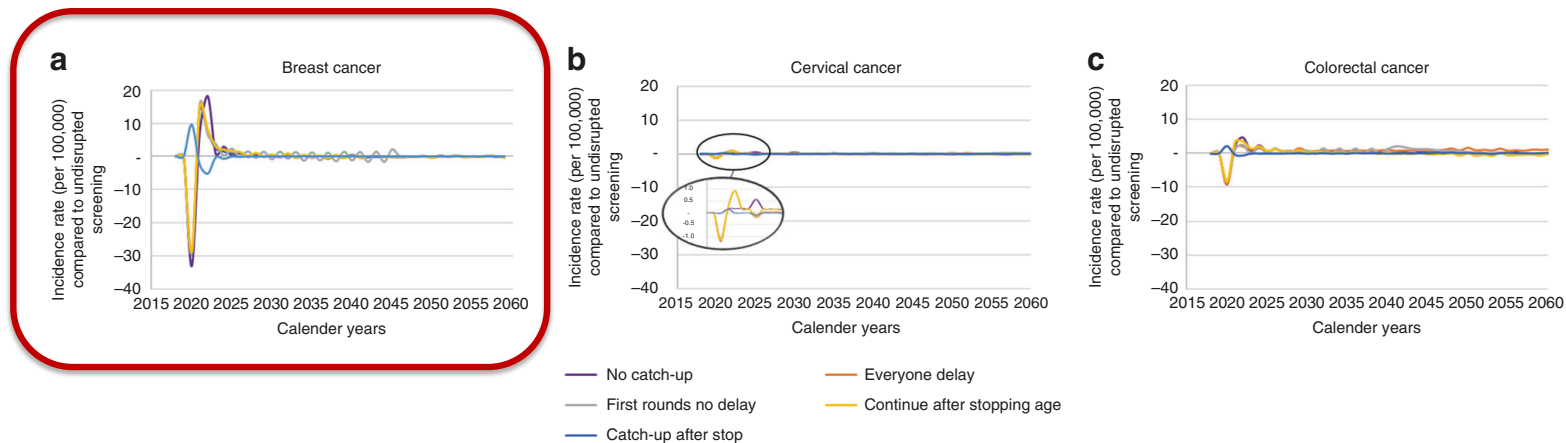
Distant Disease-Free Survival according to clinical response (N=883)



- ✓ 95% of patients showed Complete / Partial Response or Stable Disease
- ✓ 5% of patients had progressive disease
- ✓ 95% of patients treated with 24 wks of neoadjuvant letrozole showed complete / partial response or stable disease with more favourable 5-year DDFS outcomes compared with those showing progressive disease

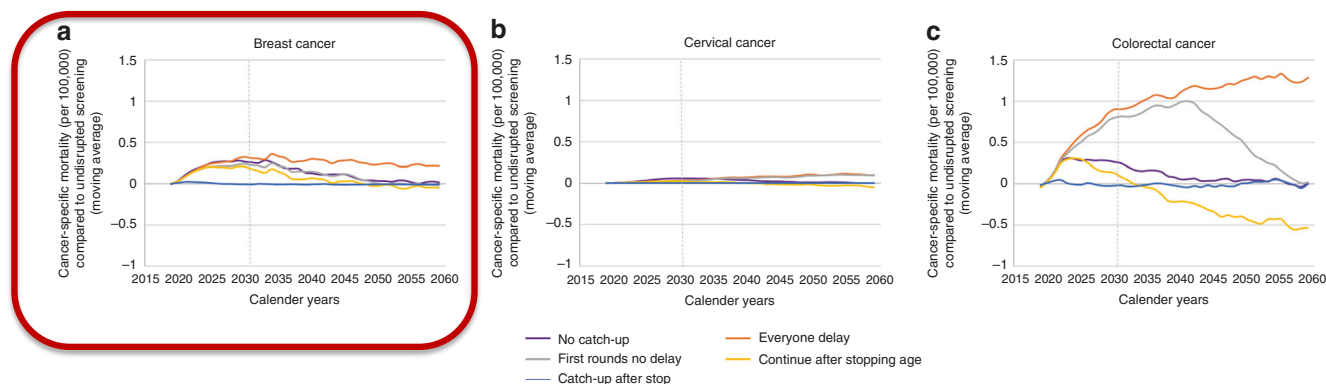


- 1. CDK4/6i in Adjuvant setting: myth or reality?**
- 2. ...In small steps towards NAHT**
- 3. the delay of today, the precocity of tomorrow**



The Covid Era Actual and Future of BC

Cancer incidence rate (per 100,000) after a 6-month disruption compared to uninterrupted screening over time for the different restart strategies



Moving average of cancer-specific death rate (per 100,000) after a 6-month disruption compared to uninterrupted screening over time for the different restart strategies

will we soon find ourselves
treating more locally
advanced BC ?